SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)* 02/05/2007	Reporting Status (Check Appropriate Boxes)	Incumbent ☐	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate ☒	Termination Filer ☐ / Termination Date *(If Applicable) (Month, Day, Year)*
Reporting Individual's Name	Last Name Giuliani			First Name and Middle Initial Rudolph W	
Position for Which Filing	Title of Position Candidate for President of the United States			Department or Agency *(If Applicable)*	
Location of Present Office (or forwarding address)	Address *(Number, Street, City, State, and ZIP Code)* 5 Times Square, New York, NY 10036				Telephone No. *(Include Area Code)* (212) 931-7301
Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held None				
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination			Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☐ No	
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual Rudolph W. Giuliani				Date *(Month, Day, Year)* May 14, 2007
Other Review (If desired by agency)	Signature of Other Reviewer Lawrence [illegible]				Date *(Month, Day, Year)* 7/16/07
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official [illegible]				Date *(Month, Day, Year)* 7/17/07
Office of Government Ethics Use Only	Signature [illegible]				Date *(Month, Day, Year)* 10/17/07

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

(Check box if filing extension granted & indicate number of days ______) ☐

Reviewed for Apparent Compliance with the Federal Election Campaign Act

Replacement pages 11 & 12 inserted and changes made to several items in the the "Notes" section. VJS, 10/2/07

Replacement Annex "A" provided for minor clerical error made involving dates of speeches. VJS, 12/3/07

RECEIVED FEDERAL ELECTION COMMISSION OFFICE OF GENERAL COUNSEL 2007 MAY 15 P [illegible]

(Check box if comments are continued on the reverse side) ☐

Fee for Late Filing
Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding **two** calendar years and the current calendar year up to the date of filing.

Agency Use Only
Received by FEC on 5/15/07

OGE Use Only
JUL 23 2007

Supersedes Prior Editions, Which Cannot Be Used. 278-112 NSN 7540-01-070-8444
OGE/Adobe Acrobat version 1.0.2 (11/01/2004)

Reporting Individual's Name
Giuliani, Rudolph W

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
	Kempstone Equity Fund					x								x										x									
	IRA: Heartland 500 Index Fund							x						x											x								
1	Giuliani & Company LLC (1)										X																					Total: $4,108,328	
2	Bracewell & Giuliani LLP (2)																															Law Partnership Income: $1,200,901	
3	Washington Speakers Bureau (3)																															Speaker Fees: $11,390,000	
4	Disney Worldwide Services - Hyperion/Miramax Books (4)																															Book Royalties: $146,092	
5	SPE Corporate Services (5)																															Theatrical Royalty: $496	
6	Giuliani Partners 401K Fidelity - Freedom 2010 - Blended Fund			X										X							X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name
Giuliani, Rudolph W

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Bessemer Trust:																																
2	Bessemer Sweep Program (J) (6)		X																X				X										
3	U.S. Treasury Note - 5/31/07 (J)						X												X				X										
4	Old Westbury Municipal Bond Fund (J)									X				X												X							
5	Old Westbury Large Cap Equity (J)									X				X											X								
6	Old Westbury Mid Cap Equity Fund (J)							X						X										X									
7	Old Westbury Global Small Cap Fund (J)					X								X									X										
8	Old Westbury International Fund (J)							X						X											X								
9	Old Westbury Real Return Fund (J)						X							X									X										

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Reporting Individual's Name

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

#	BLOCK A: Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
1	Bessemer Sweep Program IRA (6)			X												
2	Federal Home Loan Bank IRA						X									
3	U.S. Treasury Note IRA - 5/31/07			X												
4	Old Westbury Fixed Inc. Fund IRA					X								X		
5	Old Westbury Large Cap Equity IRA				X									X		
6	Old Westbury Mid Cap Equity Fund IRA			X										X		
7	Old Westbury Global Small Cap Fund IRA		X											X		
8	Old Westbury International IRA			X										X		
9	Old Westbury Real Return Fund IRA		X											X		

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	BLOCK A: Assets and Income	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Bessemer Sweep Program IRA (6)			X					X									
2	Federal Home Loan Bank IRA			X		X												
3	U.S. Treasury Note IRA - 5/31/07			X		X												
4	Old Westbury Fixed Inc. Fund IRA					X												
5	Old Westbury Large Cap Equity IRA					X												
6	Old Westbury Mid Cap Equity Fund IRA					X												
7	Old Westbury Global Small Cap Fund IRA					X												
8	Old Westbury International IRA					X												
9	Old Westbury Real Return Fund IRA					X												

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Reporting Individual's Name

SCHEDULE A continued
(Use only if needed)

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Bessemer Sweep Program (6) (7)		X																X						X							
2	Federal Home Loan Bk Short Term Note (7)					X													X		X											
3	Federal Home Loan Bank Short Term Note (7)						X												X		X											
4	U.S. Treasury Note - 5/31/07 (7)				X														X		X											
5	Old Westbury Fixed Inc. Fund (7)						X							X							X											
6	Old Westbury Large Cap Equity Fund (7)					X								X							X											
7	Old Westbury Mid Cap Equity Fund (7)			X										X							X											
8	Old Westbury G.L. Small Cap Fund (7)		X											X							X											
9	Old Westbury International Fund (7)				X									X							X											

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Reporting Individual's Name

SCHEDULE A continued
(Use only if needed)

#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Old Westbury Real Return Fund (7)			X										X							X													
2	Bessemer Sweep Program IRA (S) (6)			X															X		X													
3	Bristol-Meyers Squibb Co. IRA (S)		X														X				X													
4	Zimmer Holdings Inc. IRA (S)		X														X				X													
5	Bessemer Sweep Program (S) (6)		X																X			X												
6	U.S. Treasury Note - 5/31/07 (S)				X														X		X													
7	Old Westbury Municipal Bond Fund (S)						X							X							X													
8	Bristol-Meyers Squibb Co. (S)		X														X				X													
9	Zimmer Holdings Inc. (S)	X															X				X													

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Reporting Individual's Name

SCHEDULE A continued
(Use only if needed)

	Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C																
																	Type				Amount												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Old Westbury Mid-Cap Equity Fund (S)					X								X							X												
2	Old Westbury Large Cap Equity Fund (S)						X							X							X												
3	Old Westbury G.L. Small Cap Fund (S)			X										X							X												
4	Old Westbury International Fund (S)					X								X							X												
5	Old Westbury Real Return Fund (S)				X									X							X												
6	Bessemer Sweep Program (S) (6)				X														X			X											
7	Federal Home Loan Bank Short Term Note (S)						X												X		X												
8	U.S. Treasury Note - 5/31/07 (S)					X													X		X												
9	Old Westbury Municipal Bond Fund (S)						X							X							X												

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Reporting Individual's Name

SCHEDULE A continued
(Use only if needed)

	Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C — Type				Amount												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Old Westbury Large Cap Equity Fund (S)					X								X							X												
2	Old Westbury Mid Cap Equity Fund (S)					X								X							X												
3	Old Westbury G.L. Small Cap Fund (S)			X										X							X												
4	Old Westbury International Fund (S)					X								X							X												
5	Old Westbury Real Return Fund (S)				X									X							X												
6	Merrill Lynch (8):																																
7	ML Bank Deposit (S) (6)	X																	X		X												
8	Bristol-Meyers Squibb (S)	X															X					X											
9	Zimmer Holdings, Inc. (S)	X															X				X												

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Reporting Individual's Name: Giuliani, Rudolph W

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A) | **Valuation of Assets** at close of reporting period (BLOCK B) | **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Money Markets IRA (S) (6)	X																	X			X											
2	Bristol-Meyers Squibb IRA (S)	X															X					X											
3	Zimmer Holdings, Inc. IRA (S)	X															X				X												
4	Prudential Financial Stock		X																X		X												
5	Changing Our World, Inc. (S) (9) New York, NY																															Salary	
6	Rudolph W. Giuliani (S) New York, NY																															Salary	
7	Schwab (S) (10) Defined Benefit Plan				X																X												
8	JP Morgan Institutional Tax Free Money Management (11)									X				X														X					
9	JP Morgan Private Bank Checking Account (6)					X													X		X												

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Reporting Individual's Name: Giuliani, Rudolph W

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Prudential Financial Money Market (6) (12)			X										X									X										
2	Vanguard Group Growth and Income (12)			X										X								X											
3	Vanguard Group Total Stock Market (12)		X											X								X											
4	Merrill Lynch - Blackrock Balanced Cap Fund (13)		X											X							X												
5	Merrill Lynch - Blackrock National Municipal Bond Fund (13)		X											X							X												
6	Merrill Lynch Money Market Account (6) (13)		X																X		X												
7	Citibank - Checking (6)						X												X					X									
8	Citibank - Money Management (6)						X												X							X							
9	Citibank - Money Management (6)									X									X									X					

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Reporting Individual's Name: Giuliani, Rudolph W

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Citibank - Money Management (6)									X									X									X					
2 Citibank - Money Management (6)							X											X							X							
3 Citibank - Checking (S) (6)		X																X			X											
4 Citibank - Money Management (S) (6)	X																	X							X							
5 Citibank - Checking (J) (6)				X														X		X												
6 Citibank - Checking (J) (6)									X									X					X									
7 Omnicom (S) (14)				X												X					X											
8 Mutual of America Mid-Term Bond Fund (S) (15)				X									X										X									
9 Personal Loan to Kenneth Caruso New York, NY						X												X		X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name: Giuliani, Rudolph W

SCHEDULE A continued
(Use only if needed)

#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Merrill Lynch Basic Retirement																																
2	Retirement Reserves Cl I (6)		X														X				X												
3	Blackrock Balanced Cap Fund Inc. Instl			X										X									X										
4	Merrill Lynch Basic Retirement																																
5	Retirement Reserves Cl I (6)		X														X				X												
6	Blackrock Balanced Cap Fund Inc Instl			X										X									X										
7	Investment Residential Property (J) Hazelton, PA					X															X												
8	Vanguard Wellington IRA Fund							X						X												X							
9	New York City 457 Deferred Compensation Plan - Socially Responsible Mutual Funds (16)				X									X																			

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name: Giuliani, Rudolph W

SCHEDULE A continued
(Use only if needed)

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type				Amount												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Merrill Lynch IRA:																																
2	ML Bank USA RASP (6)		X														X				X												
3	Retirement Reserves Cl I (6)	X															X				X												
4	AIM Charter Fund Class B			X										X							X												
5	Alliancebernstein LG Cap Growth Fd Cl B		X											X							X												
6	Alliancebernstein Growth and Income Fd Cl B			X										X							X												
7	Leeds Equity Associates IV LLC (17)																															Stipend: $150,000	
8	Connecticut General Life Insurance Company Universal Life Policy (18)			X																	X												
9	Connecticut General Life Insurance Company Universal Life Policy (19)			X																	X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Giuliani, Rudolph W		14 of 18

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	Valuation of Assets (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Schwab (20) Defined Benefit Plan	X																			X												
2																																	
3																																	
4																																	
5																																	
6																																	
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name
Giuliani, Rudolph W

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☒

	Creditors *(Name and Address)*	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x						
1																
2																
3																
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	Fidelity - Giuliani Partners 401K Freedom 2010 Blended Fund (21)	Giuliani Partners, New York, NY	01/02
2	Pursuant to book contract, filer will continue to receive royalties on book sales. (4)	Disney Worldwide Services, Hyperion/Miramax Books, New York	7/02
3	Partnership Agreement continues to exist (1) (22)	Giuliani & Company, LLC, New York, NY	1/02
4	Partnership Agreement continues to exist (2) (23)	Bracewell & Giuliani, Austin, TX	4/05
5	457 Deferred Compensation Socially Responsible Fund (no contributions allowed post-12/01)	City of New York Deferred Compensation Plan, New York, NY	9/94
6	Pursuant to standard theatrical contract, royalties will be paid for repeat showings of performances. (Various dates)	SPE Corporation, Culver City, CA (Paying Agent)	

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Reporting Individual's Name

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None [X]

	Creditors *(Name and Address)*	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x): $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x						
1																
2																
3																
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None [ ]

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	Chairman of the Board (17)	Leeds Equity Fund IV, Board of Advisors, New York, NY	06/02
2	Entitlement to Health Insurance Coverage from City of New York (24)	City of New York	5/06
3			
4			
5			
6			

Prior Editions Cannot Be Used.

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Reporting Individual's Name
Giuliani, Rudolph W

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo.,Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Giuliani & Company LLC, New York, NY (1) (25)	Holding Company	Chairman	01/2002	Present
2	Giuliani Partners LLC, New York, NY (1) (25)	Consulting Firm	Chairman and CEO	01/2002	Present
3	Bracewell & Giuliani LLP, New York, NY	Law Firm	Partner	04/2005	Present
4	Leeds Equity Fund IV, Board of Advisors, New York, NY	Investment Firm Advisory Board	Chairman (17)	06/2002	Present
5	Ronald Reagan Presidential Library Foundation, Simi Valley, CA (on leave)	Not-for-profit Presidential Library	Board Member	04/2003	04/2007
6	Rudolph W. Giuliani Center For Urban Leadership, New York, NY	Non-profit Library/Education	Board Member	01/2002	Present

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		

Reporting Individual's Name
Giuliani, Rudolph W

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	America's Camp Foundation Board of Advisors, New York, NY	Non-profit camp	Chairman	05/2003	Present
2	Giuliani Group LLC, New York, NY (1) (25)	Holding Company	Chairman	01/2002	Present
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		

Notes – Rudolph W. Giuliani Form SF 278

1) Giuliani & Company LLC
New York, NY
The filer is a thirty percent equity partner who received a guaranteed payment pursuant to his partnership agreement of $994,169 and a net distributive share of partnership income of $2,452,115 during the reporting period. Included in the reported amount is $600,000 in accrued guaranteed payments for 2007 and a distributive share of interest earned in the partnership of $62,044 for 2006, which amounts have not been paid yet.

Giuliani & Company LLC has ownership interests in the following companies, whose underlying asset values and income types and values are not readily ascertainable:

Giuliani Group LLC
New York, NY
Giuliani Group is an intermediary holding company that performs no direct services.

Giuliani Partners LLC
New York, NY
Giuliani Partners is a management consulting firm that provides strategic advisory services to clients.

Giuliani Capital Advisors LLC
New York, NY
GCA is a broker-dealer that no longer provides services following a sale of assets to Macquarie Bank.

Giuliani Security & Safety LLC
New York, NY
GSS is a consulting company that provides security and safety consulting services.

K.K. Giuliani Security & Safety Asia
Tokyo, Japan
GSSA is a consulting company that provides security and safety consulting services.

K.K. Giuliani Compliance Japan
Tokyo, Japan
GCJ is a consulting company that provides corporate governance consulting services.

Lenore Partners LLC
New York, NY
Lenore Partners is a holding company for an investment in Skywatch LLC, a technology company.

BioONE Solutions, LLC
Albany, NY
BioOne is a technology services company providing decontamination services to clients.

BSMB/Camelbak LLC
New York, NY
BSMB/Camelbak is a holding company that owns a majority interest in Camelbak Group, LLC, which manufactures personal, portable hydration systems.

The Nucleus Group LLC
New York, NY
The Nucleus Group is a consulting company that provides strategic consulting services to clients.

Leeds Equity Associates IV LLC
New York, NY
LEAIV is the General Partner of Leeds Equity Partners Fund IV L.P. ("LEPFIV"), a private equity fund that makes investments in knowledge and education industry-related companies. Currently, the fund has investments in the following companies whose underlying asset values, income types and values are not readily ascertainable.

Company	**Location**	**Nature of Business**
Sagus International	Oakbrook, IL	School Furniture
Millen Heiman, Inc.	Reno, NV	Sales Training & Consulting
Seaton Corp.	Chicago, IL	Staffing &Recruitment Process Outsourcing
Education Management Corp.	Pittsburgh, PA	Post-Secondary Education
Instituto de Banca y Comercio, Inc.	San Juan, PR	Post-Secondary Education
eInstruction	Denton, TX	K-12 and Postsecondary Education

2) Bracewell and Giuliani LLP
New York, NY
The filer is an income partner who receives an annual salary and a seven and one-half percent share of the revenue of the New York office of the firm, which seven and one-half percent share has not yet been calculated or distributed for the reporting period. The law firm represents clients in legal matters and has a lobbying component in some offices but not in the New York office.

3) Washington Speakers Bureau
Alexandria, VA
The Washington Speakers Bureau serves as an agent for the booking of speeches and lectures. Annex A contains a complete list of all appearances by the filer booked through the Washington Speakers Bureau, including the date of each speaking engagement, the identity of the entity contracting for each appearance and the gross and net revenue for each appearance. The filer is not currently accepting any paid speaking engagements.

4) Disney Worldwide Services – Hyperion/Miramax Books
Lake Buena Vista, Florida
Company is the publisher of the filer's book, "Leadership," and the reported income represents his gross royalty payments during the reporting period. The filer is entitled to receive royalty payments on future book sales. The amount of such future royalty payments is not readily ascertainable.

5) SPE Corporate Services
Culver City, CA
SPE is a paying agent for theatrical royalties.

6) These are cash accounts.

7) These accounts are a retirement plan for the filer that were in a Giuliani Partners defined benefit plan before being moved to Bessemer Trust in 2007.

8) These accounts have been closed and moved to Bessemer Trust.

9) Changing Our World, Inc.
New York, NY
Changing Our World is a fundraising and philanthropic consulting firm.

10) This represents a defined benefit plan for the filer's spouse originally designed to provide an estimated benefit of $8,600 per month at age 63. Funding ceased and the proceeds are in the process of being moved to a retirement account at Bessemer Trust.

11) This represents a tax free money market sweep which is comprised of a changing portfolio of cash and short-term, tax-free instruments.

12) This represents a one-half interest in accounts held in the name of Donna Hanover.

13) This represents a one-half interest in accounts held under the name Rudolph W. Giuliani and Donna Hanover.

14) This represents ownership of Omnicom stock held in an employee stock purchase program at Changing Our World.

15) This represents funds held in Mutual of America 401K plan from Changing Our World.

16) Income not readily ascertainable because the account does not pay dividends or interest. Rather, the value of the participants' holdings are adjusted quarterly.

17) As Chairman of the Advisory Board, the filer may elect to receive or defer a stipend of $150,000 or designate another to make such election. Giuliani Partners LLC has been designated and elected to defer the stipend in favor of a profits interest in the fund. Neither Giuliani Partners nor the filer received any actual income from this event.

18) The filer established the Rudolph W. Giuliani Trust containing a one million dollar life insurance policy for the benefit of his children, with his former spouse as a contingent beneficiary. The trust is administered by an independent Trustee and the filer has no legal right or authority to access the net funds or loan value of the policy.

19) The filer's former spouse has established the Donna H. Giuliani Trust containing a one million dollar life insurance policy for the benefit of her children, with the filer as a contingent beneficiary. The trust is administered by an independent Trustee and the filer has no legal right or authority to access the net funds or loan value of the policy.

20) The filer established a defined benefit plan at Schwab that, effective January 2007, was scheduled to pay the filer a monthly benefit of $7,500 at age 63. The plan is in termination and the funds were moved to the Bessemer accounts identified by note 7.

21) As a partner, the filer is entitled to an annual contribution of $20,250 under a safe harbor program so long as he remains an active partner.

22) Pursuant to partnership agreement, the filer is a partner with a 30% equity interest and a guaranteed payment which is reviewed quarterly and subject to change. The current payment is $200,000 per month, which is accruing and has not been paid. See also Note 1.

23) Pursuant to partnership agreement, the filer receives guaranteed payments of one million dollars per year and 7 ½% of the revenue of the New York office. See also Note 2.

24) Pursuant to New York City Administrative Code Section 12–126.3, former Mayors of New York City who attain the age of 62 are entitled to the same health insurance coverage that city employees and retirees receive pursuant to Section 12–126 of such code. The filer has not availed himself of this benefit.

25) The filer is a partner and either CEO or Chairman of each entity. The filer does not hold an office with the related companies listed in Note 1.

Annex A--Rudolph W. Giuliani Form SF 278

Listed below are the organizations that retained the filer for speaking engagements in 2006 and 2007 through the Washington Speakers Bureau and the dates on which those speaking engagements occurred.* For each engagement, the gross amount of the contract paid to Washington Speakers Bureau is listed followed by the net amount paid to the filer.

Appearance Date	Organization	Gross Fee[(1)]	Net Speaker Fee
01/20/2006	IDX Systems Corporation	$100,000.00	$80,000.00
01/22/2006	Guidant Sales Corporation	$100,000.00	$80,000.00
01/24/2006	IQPC	$100,000.00	$80,000.00
01/25/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
01/25/2006	Life Win, Inc. (Get Motivated Seminars)	$25,000.00	$20,000.00
01/31/2006	Freescale Semiconductor, Inc.	$100,000.00	$80,000.00
02/02/2006	TD Waterhouse Investor Services, Inc.	$100,000.00	$80,000.00
02/06/2006	Novartis Pharmaceuticals Corporation	$60,000.00	$48,000.00
02/07/2006	National Grocers Association	$100,000.00	$80,000.00
02/08/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
02/08/2006	Life Win, Inc. (Get Motivated Seminars)	$25,000.00	$20,000.00
02/11/2006	Edwards Lifesciences	$100,000.00	$80,000.00
02/16/2006	Lexus Southern Area Dealers	$50,000.00	$40,000.00
02/22/2006	Sir Dorabji Tata Trust	$200,000.00	$180,000.00
02/27/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
03/05/2006	Reed Exhibitions	$50,000.00	$40,000.00
03/07/2006	Shell Oil Products US	$60,000.00	$48,000.00
03/08/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
03/09/2006	P4 Healthcare	$100,000.00	$80,000.00
03/16/2006	TraceyLocke	$50,000.00	$40,000.00
03/21/2006	Help-U-Sell Real Estate	$100,000.00	$80,000.00
03/24/2006	Oklahoma State University	$100,000.00	$80,000.00
03/28/2006	Dunkin' Brands Inc.	$100,000.00	$80,000.00
03/29/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
03/30/2006	Barrick Gold Corporation care of Ogilvy Renault	$50,000.00	$40,000.00
03/31/2006	Credit Suisse	$100,000.00	$80,000.00
04/05/2006	Los Angeles Venture Association	$100,000.00	$80,000.00
04/11/2006	Financial Times Ltd.	$200,000.00	$160,000.00
04/19/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
04/21/2006	University of Western Ontario	$120,000.00	$100,000.00
04/24/2006	Nelson Levine deLuca & Horst	$50,000.00	$40,000.00
04/24/2006	PGA Tour, Inc. [(2)]	$90,000.00	$72,000.00
05/01/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
05/02/2006	SAP America, Inc.	$100,000.00	$80,000.00
05/04/2006	City of Winnipeg	$120,000.00	$100,000.00
05/07/2006	Shore Memorial Health Foundation	$60,000.00	$48,000.00
05/07/2006	Richmond Events, Inc.	$50,000.00	$40,000.00
05/09/2006	Ohio Chamber of Commerce	$100,000.00	$80,000.00
05/10/2006	RedPrarie Corporation	$100,000.00	$80,000.00
05/11/2006	MAILCOM	$60,000.00	$48,000.00
05/12/2006	Project Management Institute Mile Hi Chapter	$100,000.00	$80,000.00

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05/13/2006	General Motors	$100,000.00	$80,000.00
05/16/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
05/18/2006	Atlanta Journal Constitution	$100,000.00	$80,000.00
05/19/2006	Gail & Rice, Inc.	$100,000.00	$80,000.00
05/21/2006	Suffolk University	$100,000.00	$80,000.00
05/22/2006	Junior Achievment of Eastern MA, Inc.	$100,000.00	$80,000.00
05/23/2006	Merrill Lynch & Co., Inc.	$100,000.00	$80,000.00
05/24/2006	American Jewish Committee	$50,000.00	$40,000.00
05/25/2006	Financial Pacific Insurance Company	$100,000.00	$80,000.00
05/25/2006	Advertising Specialty Institute	$50,000.00	$40,000.00
05/31/2006	Saginaw County Chamber of Commerce	$50,000.00	$40,000.00
06/01/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
06/01/2006	Life Win, Inc. (Get Motivated Seminars)	$25,000.00	$20,000.00
06/02/2006	Council of Insurance Agents and Brokers	$100,000.00	$80,000.00
06/05/2006	Cloverlea Entertainment	$100,000.00	$80,000.00
06/06/2006	Cendant Corporation	$100,000.00	$80,000.00
06/07/2006	HSM Americas, Inc.	$100,000.00	$80,000.00
06/07/2006	HSM Americas, Inc.	$5,000.00	$4,000.00
06/09/2006	America's Health Insurance Plans	$100,000.00	$80,000.00
06/14/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
06/21/2006	Global Leaders Ltd.	$200,000.00	$160,000.00
06/27/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
06/28/2006	Bowling Proprieters' Association of America	$100,000.00	$80,000.00
07/07/2006	Assurant Health	$50,000.00	$40,000.00
07/21/2006	Merrill Lynch & Co., Inc.	$100,000.00	$80,000.00
07/25/2006	Associacion E3 Futura	$150,000.00	$120,000.00
08/05/2006	Sports, Etcetera	$100,000.00	$80,000.00
08/05/2006	Ameriprise Financial	$100,000.00	$80,000.00
08/16/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
08/18/2006	Shaklee Corporation	$100,000.00	$80,000.00
08/29/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
09/01/2006	Steiner Sports Marketing	$75,000.00	$60,000.00
09/05/2006	Life Win, Inc. (Get Motivated Seminars)	$50,000.00	$40,000.00
09/06/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
09/07/2006	Masterplan, Inc.	$55,000.00	$44,000.00
09/09/2006	Allegheny Casualty International Fidelity Associated Bond (AIA Holdings)	$50,000.00	$40,000.00
09/12/2006	HSM Americas, Inc.	$10,000.00	$8,000.00
09/12/2006	HSM Americas, Inc.	$50,000.00	$40,000.00
09/19/2006	National Beer Wholesalers Association	$100,000.00	$80,000.00
09/20/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
09/20/2006	World Affairs Council of Philadelphia	$100,000.00	$80,000.00
09/22/2006	Assist America	$50,000.00	$40,000.00
09/23/2006	Empire Entertainment, Inc.	$50,000.00	$40,000.00
09/29/2006	Iceland Telecom - Siminn	$200,000.00	$160,000.00
10/04/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
10/05/2006	International Sanitary Supply Association	$100,000.00	$80,000.00
10/09/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
10/16/2006	Institute for International Research Poland	$200,000.00	$160,000.00
10/23/2006	Healthways, Inc.	$100,000.00	$80,000.00
10/24/2006	HSM Deutschland GMBH	$125,000.00	$100,000.00

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10/26/2006	HSM Italia, S.R.L. UNIPERSONALE	$125,000.00	$100,000.00
10/31/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
11/08/2006	HSM Argentina S.A.	$10,000.00	$8,000.00
11/08/2006	HSM Argentina S.A.	$125,000.00	$100,000.00
11/11/2006	American Hotel & Lodging Association & Educational Institute	$50,000.00	$40,000.00
11/12/2006	Wilkes University	$100,000.00	$80,000.00
11/13/2006	Life Win, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
11/14/2006	McCreath Communications	$100,000.00	$80,000.00
11/14/2006	McCreath Communications	$100,000.00	$80,000.00
11/17/2006	Hindustan Times Group	$200,000.00	$160,000.00
11/20/2006	LaSalle Bank Corporation	$100,000.00	$80,000.00
12/01/2006	JPMorgan Chase & Company	$50,000.00	$40,000.00
12/06/2006	Future Tech Enterprise, Inc.	$50,000.00	$40,000.00
12/06/2006	Cambridge Strategic Management Group	$50,000.00	$40,000.00
03/13/2006	Forval Corporation	$100,000.00	$90,000.00
09/15/2006	Forval Corporation	$150,000.00	$135,000.00
12/12/2006	Softbrain Corporation	$200,000.00	$180,000.00
11/20/2005	Sage Capital Group (3)	$300,000.00	$270,000.00
01/09/2007	Commercial Mortgage Securities Association	$100,000.00	$80,000.00
01/10/2007	Needham and Company	$50,000.00	$40,000.00
01/22/2007	Ringling School Library Association Town Hall	$150,000.00	$120,000.00
01/22/2007	JPMorgan Securities Inc.	$100,000.00	$80,000.00
01/24/2007	Premier, Inc.	$100,000.00	$80,000.00
01/25/2007	Lehman Brothers	$100,000.00	$80,000.00
01/25/2007	LifeWin, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
01/26/2007	Quesada	$50,000.00	$40,000.00
01/31/2007	Armed Forces Communications and Electronics Association	$100,000.00	$80,000.00
02/03/2007	Leadership 100	$90,000.00	$72,000.00
02/08/2007	Association of Foreign Investors In Real Estate	$50,000.00	$40,000.00
02/09/2007	Quesada	$50,000.00	$40,000.00
02/14/2007	LifeWin, Inc. (Get Motivated Seminars)	$100,000.00	$80,000.00
02/14/2007	LifeWin, Inc. (Get Motivated Seminars)	$25,000.00	$20,000.00
	Total	$11,390,000.00	$9,195,000.00

* The filer contracted for a speech on January 17, 2007 for the Associazione Calabria Pro Zambia: Bridge of Solidarity in Italy. The speech was completed but all funds were returned.

(1) This column represents the gross amount of fees paid for the filer's speaking engagements, including amounts retained by Washington Speakers Bureau as agent fees.

(2) The filer had a contract to speak at an event in Louisiana for the PGA Tour, Inc. Within one day of the appearance he donated $80,000 in personal contributions to New Orleans charities addressing the aftermath of Hurricane Katrina including: For! Kids Foundation, St James AME Church, Catholic Charities Archdiocese of New Orleans, Friends of the New Orleans Fire Department, Dillard University and St. Dominic's Church.

(3) Washington Speakers Bureau did not receive payment until January 2006 and did not pay Mr. Giuliani until 2006 – this was a 2005 event.