

From: Mason, Jahvonta
To: (b)(6)
Cc: Annino, Angelica; Berkovitz, Dan; Bloom, Liz; Burris, Kevin; Chea, Keo; Fischer, Amanda; Fischer, YJ; Fraye, Corey; Havenstein, Philipp; Helvin, Lisa; Johnson, Aisha; Klemmer, Corey; Large, Adam; Morse, Mika; Rao, Sai; Roper, Barbara; Schneider, Scott; Slavkin Corzo, Heather; Songer, Jennifer H; Tenreiro, Jorge; Wachter, Jessica; Yerramalli, Prashant
Subject: Brief Book 5/2 Monday
Date: Friday, April 29, 2022 5:41:53 PM

Hi Gary,

Please find your Monday brief book materials included.

(b)(5)

Best,

Jahvonta

Jahvonta A Mason

Special Assistant to the Chief of Staff

Office of Chair Gary Gensler | U.S. Securities and Exchange Commission

– 1 (b)(6)

From: Mason, Jahvonta
To: (b)(6)
Cc: Berkovitz, Dan; Bloom, Liz; Burris, Kevin; Chea, Keo; Fischer, Amanda; Fischer, YJ; Frayer, Corey; Havenstein, Philipp; Johnson, Aisha; Klemmer, Corey; Large, Adam; Morse, Mika; Nagashunmugam, Minu; Pokorny, Jenna; Rao, Sai; Roper, Barbara; Schneider, Scott; Slavkin Corzo, Heather; Songer, Jennifer H; Stone, Matthew; Sutaria, Ajay; Tenreiro, Jorge; Wachter, Jessica; Yerramalli, Prashant
Subject: Brief Book 8/22 Monday
Date: Friday, August 19, 2022 5:30:04 PM

Hi Gary,

Here are your Monday brief book materials.

(b)(5)

Jahvonta A Mason

Special Assistant to the Chief of Staff

Office of Chair Gary Gensler | U.S. Securities and Exchange Commission

From: Nagashunmugam, Minu
To: (b)(6)
Cc: Barbero, Megan; Berkovitz, Dan; Bloom, Liz; Burris, Kevin; Chea, Keq; Fischer, Amanda; Fischer, YJ; Frayer, Corey; Havenstein, Philipp; Johnson, Aisha; Klemmer, Corey; Krawitz, Jacob D.; Morse, Mika; Percival, Heather; Pokorny, Jenna; Rao, Sai; Roper, Barbara; Schneider, Scott; Slavkin Corzo, Heather; Stone, Matthew; Sutaria, Ajay; Suthammanont, Victor; Wachter, Jessica; Yerramalli, Prashant; Nagashunmugam, Minu
Subject: Brief Book 11/1 Tuesday
Date: Monday, October 31, 2022 6:01:02 PM
Attachments: image001.png
image003.png

Gary - Here are your Tuesday brief book materials.

TUESDAY BRIEF BOOK

(b)(5)

Minu Nagashunmugam

Special Assistant to the Chief of Staff

Office of Chair Gary Gensler | U.S. Securities and Exchange Commission

1 (b)(6)

**U.S. Securities and
Exchange Commission**

From: Chair
To: Help (OIEA Investor Complaints)
Subject: FW: A Reality Check: Twitter Valuation vs Spirit Airlines
Date: Wednesday, July 6, 2022 8:18:40 AM
Attachments: 20 for 2020 March 2020 Tech Advertising Dollars .docx

From: (b)(6)
Sent: Tuesday, July 5, 2022 6:13 PM
To: Chair <chair@sec.gov>
Subject: A Reality Check: Twitter Valuation vs Spirit Airlines

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Dear Chairman Gensler,

The following was sent to a different audience but I thought it warrants your attention:

Twitter has a valuation of \$44 billion- what (b)(6) and Spirit Airlines which is soon to be acquired by Frontier Airlines \$2.5. Both are publicly held companies. Their financial statements are relatively similar and Spirit comes with 110 planes (assets).

Over the Past 5 Years: (Range per year)	Twitter	Spirit Airlines
Total Revenue	3-5.2 Billion	1.8-3.8 Billion
Net Income Before Taxes	423 Million in 2018 -411 Million in 2021*	519 Million in 2019 -431 Million in 2021
Total Assets	10-14 Billion**	4-10 Billion
Total Liabilities	2-5.5 Billion	6.4-6.7 Billion

*Twitters tax provisions have varied widely from tax payments of over \$1 billion in 2020 and a tax credit/negative provision of over \$1 Billion in 2019 and \$700 million in 2018.

**9.5-11 Billion of Twitter Assets are Invested Capital: Invested capital is the total amount of money raised by a company by issuing securities to equity shareholders and debt to bondholders, where the total debt and capital lease obligations are added to the amount of equity issued to investors.

The 40+ Billion dollar premium may only be worth it to (b)(6)

(b)(6)

Tesla has a market cap valuation greater than Ford, GM, and Toyota all combines and

struggles with legitimate profitability and at times even being a “going concern”- building cars in the parking lot, etc. Deterministic Statistics have been inappropriately used in the stock market starting in the 1980’s and have facilitated “gamed” and ever escalating valuations for the market as whole and benefited and perhaps even employed by select companies- as evidenced by Game Stop in 2021. While the whole is market is largely escalated due to the software trading that relies on deterministic statistics, certain companies such as Amazon and Tesla and others have approximately the same slope to their catapulted growth in price per share. Deterministic statistics were developed by NASA for the space program and were developed to solve for unknown variables through maximizing or minimizing the unknown variable through a series of iterations of ranges of the know variable. It was never intended for the Stock Market and it started by Renaissance Technologies in the early 1980s and is now the norm. SEC (Securities and Exchange Commission) Chair Gary Gesler has now taken an interest in this area and openly asks for input. Civilitiville USA contributes on a regular basis. We have shared our concerns with (b)(6) (b)(6) and several others.

Now for a couple additional points: The Social Media Industry has entered maturity and in some cases a saturation point. Note that a significant portion of Twitter’s revenue is foreign suggesting that growth in the U S Market may be limited.

Regarding Tesla- It is not a technology company. It is an electric car manufacturer. The self driving aspect of Tesla’s business is not market driven but rather a fancy of (b)(6) (b)(6) cult following. There are many safety hazards and they are in fact a danger that the relevant government agencies have failed to address. Tesla’s technology in this area goes against the grain of industry thinking regarding safety practices in this niche industry.

Meme Stocks, Unicorns, and Crypto Currency are all schemes, disruptive, audacious, and present themselves as a fait accompli, much like South Korea did regarding hard drives in cell phones in 2005. (b)(6)

(b)(6)
(b)(6) One was a small holding company in CA that had a printing company it acquired for \$10 million, a floor cleaning company it acquired for \$5-\$10 million and one other non descript company that it acquired for about \$5million. There was no synergy and it was not profitable yet it had a market cap of over \$125 million. The second company was a healthcare company that for 5 years had never had any revenue yet it had a market cap of over \$1 Billion and the third was equally ridiculous. (b)(6) 3 for 3 grand losers presents a problem and there 2 degrees of freedom.

With index funds, mutual funds, and institutional investors playing a majority role in the market, few are paying attention to the financial fundamentals let alone the business fundamentals. Back in the day we used to call high growth low profitability companies a problem child (BCG). Need I say more! I think non profitable companies that struggle to invent a road to profitability and are a social and societal detriment like Uber- well jail time

might have been the result if they had ever gotten through all the check points present prior to the false reality full of ill gotten gain those in positions of authority like yourself struggle with calling out today.

Attached you will find an overview of Social Media's share of Advertising Revenue and it's implications. Note that mining someone's data for advertising purposes is really manipulative and predatory and never should have been allowed. In marketing research, it was considered a major violation of ethics to use survey data from someone to then try and sell them and what we have today, commonplace on social media is in reality indecent.

You are in our prayers and today I prayed for courage.

God bless you and those at the SEC.

(b)(6)

A large rectangular area of the document is redacted, indicated by a black border. The text "(b)(6)" is written in the top-left corner of this redacted area.

From: Chair
To: TCR-ENF
Subject: FW: (b)(6)
Date: Tuesday, October 11, 2022 10:02:09 AM
Attachments: (b)(6) - SEC Transcript.pdf

From: (b)(6)
Sent: Friday, October 7, 2022 3:02 PM
To: Chair <chair@sec.gov>
Subject: (b)(6)

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Mr. Gensler,

I would appreciate you reviewing this article (below) about the reasons that women do not want to work in cybersecurity or take a role as head of cybersecurity in an organization.

(b)(6)

(b)(6)

We suspect that lawyers are not eager to tangle with Berkshire Hathaway especially since the SEC has ignored the transcript issues.

The only advise that we get is to go public with the story.

They tell us that we will get considerable publicity considering all the issues including the female head of security and female CEO retribution.

I would expect that the SEC will be asked to explain why Business Wire and Berkshire Hathaway have not been reprimanded or fined for their lax security and hiding obvious hacking from their customers who were harmed by the illegal trading of their stocks?

Note that as the article states, the danger in a CISO position is taking the blame for hacks damaging or wrecking a career.

(b)(6) is a case study of this issue.

Regards

(b)(6)

<https://www.techrepublic.com/article/women-ciso-cso-roles/>

Why are so few women in security leadership positions?

The cause, the Accenture research found, is the public scrutiny CISOs can suffer through during a cybersecurity incident. Some 43% of respondents rated professional risk as a “very” or “most important” factor in declining a CISO or CSO position.

It is often shown that the CISO role is typically held responsible for breaches—even though they are rarely responsible for the vulnerability that is exposed and exploited by cyberattackers, according to the report. “Cybersecurity and resiliency are a function of multiple factors beyond the CISO’s control, including business transformations that do not consider cyber risks, innovative threat actors, extended supply chains and management inattention,” the report noted. “Nonetheless, CISOs are defending against persistent threats and high profile incidents that regularly capture national headlines and put their professional reputations on the line.” Concerns about professional risk factor into decisions about whether to accept a leadership position, the report said, adding that, while this may be the case, “successful women CISOs encourage other women to accept the risks.”

The Accenture research found that when women respondents decided to pursue the CISO role, they typically succeeded in a matter of months.

“Successful women aspirants were more likely to be recruited from another company and to apply for a CISO position directly than their male counterparts,” the report said.

Moving up internally, the picture is not as bright: 57% of male respondents were more likely to be asked to fill the CISO position in their current company compared to 40% of female respondents, according to the report.

From: (b)(6)

Sent: Tuesday, August 23, 2022 3:00 PM

To: 'chair@sec.gov' <chair@sec.gov>

Subject: (b)(6)

<https://www.cnn.com/2022/08/23/tech/twitter-whistleblower-peter-zatko-security/index.html>

Mr. Gensler,

There is a new report about a Twitter whistleblower receiving considerable attention in the media.

I think that the 2015 Business Wire situation was worse for customers and more blatant than Twitter 2022.

(b)(6)

We would urge the SEC finally to take some action against Business Wire.

Regards

(b)(6)

From: (b)(6)

Sent: Tuesday, July 26, 2022 11:37 AM

To: 'chair@sec.gov' <chair@sec.gov>

Subject: (b)(6)

<https://www.reuters.com/legal/government/two-men-fired-352-mln-over-hack-trade-scheme-2021-06-24/>

Mr. Gensler,

(b)(6)

The attached SEC transcript from (b)(6)

(b)(6)

The basic story is that (b)(6)

(b)(6)

(b)(6)

(b)(6)

I would urge the SEC to reopen the investigation of Business Wire and fine them using a similar model as Facebook for not protecting customer information.

<https://www.sec.gov/divisions/enforce/claims/facebook.htm>

Regards,

(b)(6)

Email. (b)(6)

Mobile

From: Chair
To: TCR-ENF
Subject: FW: AMC FTD'S QUESTION
Date: Tuesday, July 12, 2022 10:02:34 AM

From: (b)(6)
Sent: Monday, July 11, 2022 6:11 PM
To: Chair <chair@sec.gov>; CommissionerLee <CommissionerLee@SEC.GOV>; CommissionerPeirce <CommissionerPeirce@SEC.GOV>; CommissionerCrenshaw <CommissionerCrenshaw@SEC.GOV>; CommissionerUyeda <CommissionerUyeda@SEC.GOV>
Subject: Re: AMC FTD'S QUESTION

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Come on gang. No time to act like the present.

On Wednesday, July 6, 2022 at 09:48:57 AM EDT, (b)(6) wrote:

THE SEC NEEDS TO ITS JOB AND HAVE RETAIL'S TRADES COUNT INSTEAD ENDING UP IN FTD'S AND DARK POOL WORLD. DO YOUR JOBS!

PLEASE EMAIL ME WITH WHAT YOU ARE PLANNING TO DO TO CORRUPT THIS ABOMINATION!

<https://tremendous.blog/2022/06/30/amc-fails-to-deliver-pass-2-6-million-in-new-report/>

AMC FAILS TO DELIVER PASS 2.6 MILLION IN NEW REPORT

[JUNE 30, 2022 - TREMENDOUS.BLOG - 1 COMMENT](#)

I did a double-take when I saw the number.

Fails to deliver in shares of AMC Entertainment Holdings Inc. passed 2.6 million in June. The report, released today by the Securities and Exchange Commission, covers the first half of the month.

Fails to deliver hit 2,653,787 on June 3 before settling at 1,231,742 at the end of the reporting period. Fails to deliver topped 1 million numerous times.

Get the blog before anyone else...subscribe!

Email Address:

SUBSCRIBE

I've been writing about this topic for about a year, and I can't recall ever seeing a figure so massive. This despite a long history of large fails to deliver in this stock.

AMC's fails to deliver are way out of line with other stocks. Here are the numbers on the same day for several companies dramatically larger than AMC:

Amazon: 0

Microsoft: 0

Tesla: 24,983

But let's back up a second: what is a fail to deliver? A fail to deliver occurs when a trade is made but is never finalized.

Now why might a stock like AMC have a pattern of large and persistent fails to deliver? A common reason is naked short selling.

To sell a stock short, you must borrow shares and sell them. Naked shorting is the generally illegal practice of selling short shares you never borrowed.

This is a powerful way to push down a stock's price.

If you naked short, there's no limit to the number of shares you can short. After all, you never had to find any to borrow!

Huge numbers of trades have failed in this stock for at least a year. Despite this, the SEC has not investigated these irregularities.

I keep coming back to this topic because I'm amazed at the inaction. Why not find out why the market in this stock is functioning so poorly?

I hope exchanges and regulators dive into this topic right away. We need orderly and fair capital markets for our country to thrive.

From: Chair
To: Help (OIEA Investor Complaints)
Subject: FW: AMC FTD'S QUESTION
Date: Wednesday, July 6, 2022 10:15:51 AM

From: (b)(6)

Sent: Wednesday, July 6, 2022 9:49 AM

To: Chair <chair@sec.gov>; CommissionerLee <CommissionerLee@SEC.GOV>; CommissionerPeirce <CommissionerPeirce@SEC.GOV>; CommissionerCrenshaw <CommissionerCrenshaw@SEC.GOV>; CommissionerUyeda <CommissionerUyeda@SEC.GOV>

Subject: AMC FTD'S QUESTION

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Email Address:

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I hope exchanges and regulators dive into this topic right away. We need orderly and fair capital markets for our country to thrive.

From: (b)(6)
To: Burris, Kevin
Cc: Mason, Jahvonta; Grewal, Gurbir; Tenreiro, Jorge; Yerramalli, Prashant
Subject: FW: Brief Book 6/6 Monday
Date: Sunday, June 5, 2022 9:03:24 PM

Kevin,

(b)(5)

Gary

From: Havenstein, Philipp (b)(6)@SEC.GOV>
Sent: Friday, June 3, 2022 5:39 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Yerramalli, Prashant (b)(6)@SEC.GOV>; (b)(6)@SEC.GOV>
Subject: RE: Brief Book 6/6 Monday

(b)(5)

-Phil

From: Mason, Jahvonta (b)(6)@SEC.GOV>
Sent: Friday, June 3, 2022 5:31 PM
To: (b)(6)@SEC.GOV>
Cc: Annino, Angelica (b)(6)@SEC.GOV>; Berkovitz, Dan (b)(6)@SEC.GOV>; Bloom, Liz (b)(6)@SEC.GOV>; Burris, Kevin (b)(6)@SEC.GOV>; Chea, Keo (b)(6)@SEC.GOV>; Fischer, Amanda (b)(6)@SEC.GOV>; Fischer, YJ (b)(6)@SEC.GOV>; Frayer, Corey (b)(6)@SEC.GOV>; Havenstein, Philipp (b)(6)@SEC.GOV>; Helvin, Lisa (b)(6)@SEC.GOV>; Johnson, Aisha (b)(6)@SEC.GOV>; Klemmer, Corey (b)(6)@SEC.GOV>; Large, Adam (b)(6)@SEC.GOV>; Morse, Mika (b)(6)@SEC.GOV>; Rao, Sai (b)(6)@SEC.GOV>; Roper, Barbara (b)(6)@SEC.GOV>; Schneider, Scott (b)(6)@SEC.GOV>; Slavkin Corzo, Heather (b)(6)@SEC.GOV>; Songer, Jennifer H (b)(6)@SEC.GOV>; Stone, Matthew (b)(6)@SEC.GOV>; Tenreiro, Jorge (b)(6)@SEC.GOV>; Wachter, Jessica (b)(6)@SEC.GOV>; Yerramalli, Prashant (b)(6)@SEC.GOV>

Subject: Brief Book 6/6 Monday

Hi Gary,

Please find your Monday brief book materials included.

MONDAY BRIEF BOOK

(b)(5)

(b)(5)

Best,

Jahvonta

Jahvonta A Mason

Special Assistant to the Chief of Staff

Office of Chair Gary Gensler | U.S. Securities and Exchange Commission

- 1 (b)(6)

From: (b)(6)
To: Bloom, Liz
Subject: FW: Climate rule
Date: Thursday, March 24, 2022 9:14:41 PM

From: Morse, Mika (b)(6)@SEC.GOV>
Sent: Thursday, March 24, 2022 7:27 PM
To: (b)(6)@SEC.GOV>
Cc: Burris, Kevin (b)(6)@SEC.GOV>; Slavkin Corzo, Heather (b)(6)@SEC.GOV>; Yerramalli, Prashant (b)(6)@SEC.GOV>; Schneider, Scott (b)(6)@SEC.GOV>; Fischer, Amanda (b)(6)@SEC.GOV>; Berkovitz, Dan (b)(6)@SEC.GOV>; Barbero, Megan (b)(6)@SEC.GOV>
Subject: Re: Climate rule
(b)(5) Thanks for flagging.

On Mar 24, 2022, at 6:51 PM, (b)(6)@sec.gov> wrote:

I just happened to see this Schumer tweet on climate proposal:

Chuck Schumer on Twitter: "This is an important move from the SEC to require big corporations to disclose their carbon pollution. Those emissions hurt our planet and add a lot of risk to our economy. And the SEC is using sunlight as a disinfectant! <https://t.co/CJlHaElxfs>" / Twitter

From: Chair
To: TCR-ENF
Subject: FW: Compensation request / Cease & Desist Notice
Date: Tuesday, July 19, 2022 12:44:40 PM

From: (b)(6)
Sent: Tuesday, July 19, 2022 10:51 AM
To: Chair <chair@sec.gov>; (b)(6)
Cc: (b)(6)
Subject: Fwd: Compensation request / Cease & Desist Notice

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Dear Gentlemen,

(b)(6)

Both SEC and Tesla are failing their duties and obligations here.

Since Tesla now wants to do another stock-split and make Tesla's, directors, shareholders- and traders accountability even more complicated to calculate and to recover (b)(6)

(b)(6)

Should the love, that got mingled with all speculations and manipulations of Tesla shareholders, be real, (b)(6) can get part of it back as shared fund with private agreements later on. (b)(6)

(b)(6)

Let me also warn you that an investigation without my knowledge and protection of me does not make any sense. I know you SEC and shareholders of Tesla seemed to try with nasty activities, but it will be held against you.

An urgent response is in the interest of shareholders and for the SEC, the American taxpayers.

Kind regards,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Sat 7 May 2022 at 20:54
Subject: Compensation request / Cease & Desist Notice
To: (b)(6)

Dear (b)(6)

(b)(6)

I requested a pre-sum so we can appoint experts to calculate what is a fair amount to pay without seeing damages multiplied by needless distraction of one or more trials in court with an absurd movie that neither Tesla (b)(6) nor me, can afford. It won't be civil.

Resolution means a sincere apology and correction (public if desirable), compensation of damages, self-assigned punitive damages, and clarification.

Will you, like (b)(6) ignore this case further, or will you intend to cooperate and resolve this in order to (b)(6)?

Best regards,

(b)(6)

P.S. Please note that due to our dispute, you are legally required to preserve all relevant material to the case. We have already noticed that (b)(6) deleted several Tweets. This, and any other removal of evidence, will be held against (b)(6) and Tesla. We reserve all rights, remedies, claims, and causes of action.

--

Best regards,

(b)(6)

(b)(6)



(b)(6)



From: Chair
To: CGBLBS
Subject: Re: Crypto NFT Insider Trading Scam
Date: Monday, July 25, 2022 10:57:10 AM

From: (b)(6)

Sent: Sunday, July 24, 2022 6:22 PM

To: Chair <chair@sec.gov>; Chair <chair@sec.gov>; Commissioner Crenshaw <CommissionerCrenshaw@SEC.GOV>; Commissioner Peirce <CommissionerPeirce@SEC.GOV>; Commissioner Uyeda <CommissionerUyeda@SEC.GOV>; DEBA (b)(6)@SEC.GOV>; Osenge es <osenge es@sec.gov>; SEC Public Affairs Office (news@sec.gov) <news@SEC.GOV>; newyork <newyork@SEC.GOV>; OIG (b)(6)@SEC.GOV>

Subject: Re: Crypto NFT Insider Trading Scam

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TikTok has widely been used for promoting NFT scams. Here is the Trojan Horse of (b)(6) in China promoting TikTok with (b)(6)

This thread is spreading throughout other presidents offices not just the United States. Time to do something about it.

(b)(6)

(b)(6)

(b)(6)

(b)(6)

On Mon, Jul 4, 2022 at 10:35 PM (b)(6) wrote:

Time to contact (b)(6) Insider Trading NFT's.

References:

(b)(6)

Billionaire (b)(6) posts about the scams.

(b)(6)

(b)(6)

Another (b)(6) scam

(b)(6)

We have to end this now Security and Exchange Commissioners.

Happy 4th of July'!

On Sun, Jul 3, 2022 at 11:04 PM (b)(6) wrote:

(b)(6) a social media platform to collect influencers data across Facebook, Instagram, Twitter and Snapchat has committed fraud through aiding insider trading.

(b)(6) met with Billionaire (b)(6) to extort (b)(6) personal brand to create a NFT on Instagram which quickly gained 1.7 million followers and got taken down by Instagram.

a NFT banned for promoting scams Article

(b)(6)

(b)(6) Extorted by social media influencers

(b)(6)

- Of the 1st 10 people that a NFT followed, (b)(6) was one of them. Specifically using (b)(6) or crypto scams.

- a NFT was used to promote questionable NFT scams many produced by (b)(6)

(b)(6)

Search

(b)(6) a NFT scam

(b)(6) Scam

(b)(6) Scam

(b)(6) worked behind the scenes on this (b)(6) knows.

These are the individuals doing NFT insider trading (b)(6) have started leaving the scene to work in the wrestling industry. Mainly to change identity and to get away from getting caught. But you know what to do.

(b)(6)

From: Chair
To: TCR-ENF
Subject: FW: Crypto Twitter Influencers
Date: Monday, October 3, 2022 10:51:23 AM

From: (b)(6)
Sent: Monday, October 3, 2022 7:50 AM
To: Chair <chair@sec.gov>
Subject: Crypto Twitter Influencers

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Dear Garry,

I am writing this email to you as a concern, could you give me some reassurance that the SEC will be investigating, Twitter and YouTube influencers that promote crypto tokens (pump & dump) schemes, which leaves many retail investors who have not done their research vulnerable. (b)(6) but it is noticeable that

more and more influencers are jumping on the band wagon (b)(6) and so on. I hope this email finds you and that investigation are underway.

kind regards

(b)(6)

From: Chair
To: TCR-ENF
Subject: FW: Cryptocurrency - Famous Youtube Influencer stole (b)(6) fans
Date: Tuesday, June 21, 2022 1:12:15 PM

From: (b)(6)
Sent: Friday, June 17, 2022 11:50 AM
To: Chair <chair@sec.gov>
Subject: Cryptocurrency - Famous Youtube Influencer stole (b)(6) fans

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Hey Gary Gensler,

I follow you on Twitter and was a big fan of some of your older video presentations about your thoughts on cryptocurrency and I would like to extend a video for you and your team to watch this video where a youtube influencer named (b)(6)

(b)(6)

(b)(6)

(b)(6)

Regards,

(b)(6)

From: Chair
To: Help (OIEA Investor Complaints)
Subject: FW: Cryptocurrency scam and no one will help.
Date: Tuesday, August 30, 2022 6:51:53 AM

From: (b)(6)
Sent: Monday, August 29, 2022 12:06 PM
To: Chair <chair@sec.gov>
Subject: Cryptocurrency scam and no one will help.

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Was lured by Twitter follower to join Coinbase crypto app. Was giving a link to do farming yield node through Coinbase. Coinbase has a two step system to verify money sent or received. The system failed. I was sent a link to join defifarmingpro for yield farming. Link: <https://www.defifarmingpro.com/h5/share.html?code=4Y35XH>. The individual instructed me how to move funds and become part of a community. (b)(6)
(b)(6)
(b)(6) Coinbase did not text for a code to be replied or ask for password. Coinbase will not do anything. Does this just keep going to the next victim. I was (b)(6) Coinbase reply "After an extensive review of the transaction details provided, the unauthorized activity you reported appears to have (b)(6)
(b)(6)
(b)(6) I was told the Coinbase has a 12 word phrase and password and was safe. I believe now after a lot of research, Coinbase is allowing this fraud to happen to not take accountability of there lack of security to there user's. (b)(6)
Twitter account name (b)(6) and goes by the name (b)(6) Supposedly from (b)(6) Supposedly real name is (b)(6) birthday is (b)(6)
Have a Link Social platform name: (b)(6)
(b)(6) I have great deal of screenshots. Not only did I get scammed. Coinbase doesn't notify you as a third party link connected to your wallet. Allows funds to be removed straight from your wallet without consent. Any help will be greatly appreciated.

PLEASE HELP ME

(b)(6)

From: Chair
To: TCR-ENF
Subject: FW: E&Y and cybersecurity - Fiduciary responsibilities as auditors - see transcript
Date: Tuesday, September 6, 2022 11:08:06 AM
Attachments: (b)(6) - SEC Transcript.pdf

From: (b)(6)
Sent: Friday, September 2, 2022 6:44 PM
To: Chair <chair@sec.gov>
Subject: E&Y and cybersecurity - Fiduciary responsibilities as auditors - see transcript

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

(b)(6)

Mr. Gensler,

Please see this German report about the responsibilities of auditors and regulators for audit integrity focused on E&Y.

(b)(6)

The fact that Business Wire had been hacked for 5 years and the hackers were selling the information to hedge funds trading on the information would seem to be a major fault in their audit process especially since the Business Wire security was awful and there were many signs of hacking which Business Wire management ignored.

We would appreciate the SEC reopening the Business Wire investigation looking at the responsibilities of E&Y for not executing on their fiduciary responsibilities as auditors.

Regards

(b)(6)

From: (b)(6)
Sent: Tuesday, August 23, 2022 3:00 PM

To: 'chair@sec.gov' <chair@sec.gov>

Subject: Twitter 2022 and Business Wire 2015 - similar situations - SEC actions?

<https://www.cnn.com/2022/08/23/tech/twitter-whistleblower-peiter-zatko-security/index.html>

Mr. Gensler,

There is a new report about a Twitter whistleblower receiving considerable attention in the media.

I think that the 2015 Business Wire situation was worse for customers and more blatant than Twitter 2022.

(b)(6)

We would urge the SEC finally to take some action against Business Wire.

Regards

(b)(6)

From: (b)(6)

Sent: Tuesday, July 26, 2022 11:37 AM

To: 'chair@sec.gov' <chair@sec.gov>

Subject: Business Wire - Why no fine for 2015 hack and not protecting customer quarterly reports?

<https://www.reuters.com/legal/government/two-men-fined-352 mln-over-hack-trade-scheme-2021-06-24/>

Mr. Gensler,

(b)(6)

The basic story is that (b)(6)

(b)(6)

(b)(6)

(b)(6)

We cannot understand how Business Wire was not fined for their bad security practices and lying to customers even though there was ample evidence of hacking?

(b)(6)

(b)(6)

I would urge the SEC to reopen the investigation of Business Wire and fine them using a similar model as Facebook for not protecting customer information.

<https://www.sec.gov/divisions/enforce/claims/facebook.htm>

Regards,

(b)(6)

Email. (b)(6)

Mobile. (b)(6)

From: Chair
To: TCR-ENF
Subject: FW: (b)(6) Why are you not protecting us.
Date: Tuesday, June 7, 2022 8:46:47 AM

From: (b)(6)

Sent: Monday, June 6, 2022 10:02 PM

To: Chair <chair@sec.gov>; Chair <chair@sec.gov>; CommissionerPeirce <CommissionerPeirce@SEC.GOV>; CommissionerCrenshaw <CommissionerCrenshaw@SEC.GOV>

Subject: (b)(6) Why are you not protecting us.

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Dear SEC heavy weights. (b)(6)

(b)(6)

(b)(6) Are you all taking kickbacks? NOTHING about your lack of response for YEARS makes sense.

This stock is complete BS. You all know that. If you don't , ask someone who knows about the valuation. Makes. No. Sense.

I am heartbroken.

DO SOMETHING.

Thanks,

(b)(6)

From: Chair
To: TCR-ENF
Subject: FW: Escalation Support from Singapore on Anchor Protocol
Date: Monday, May 23, 2022 10:31:15 AM

From: (b)(6)

Sent: Saturday, May 21, 2022 6:34 PM

To: Chair <chair@sec.gov>

Subject: Re: Escalation Support from Singapore on Anchor Protocol

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Dear Chairman US Sec,

I will like to share that I have been following through the entire fiasco of (b)(6) presence on social media ever since the collapse of the anchor protocol and terra Luna. I find it very concerning that at this stage (b)(6) is still active on social media and making a come back after a few days of absence. (b)(6) is irresponsible and not committing to return to investor the funds (b)(6) has taken.

I have nothing personal against (b)(6) but with all the evidence surfacing on (b)(6) intent that (b)(6) is trying to mastermind the bigger crypto ponzi fraud in the crypto space, I think that (b)(6) needs to be stopped from creating more damage while all the investigation and group legal suit case in the process in (b)(6) from what I have read.

(b)(6) has been trying to create votes on Agoda terra money to revive user faith in (b)(6) and support him with (b)(6) recovery plans.

I will strongly suggest US securities to monitor (b)(6) social media activity and detect any areas of non conformance as there are a lot of fake Twitter accounts in (b)(6) name currently spreading fake propaganda.

(b)(6)

I trust that US securities has the best intent and heart for investors money as global inflationary pressures is keeping everyone toes on their own financial health. A lot of people have lost their entire lifetime savings entrusting their money on this system and (b)(6)

(b)(6)

I am still hoping to get a positive response and developments from my local authorities here in (b)(6)

Lastly, I thank you sir for reading this email and I hope that the investigation and probe of terra labs will encover the truth and bring justice to all the terra users whom are very depressed at their future especially those whom invested huge amounts of money. On behalf of (b)(6) I like to sincerely thank all the good work that US securities have been taking charge to ensure that terra labs is kept in check and continue to prey on more funds from the people.

Best regards,

(b)(6)

On Wed, May 11, 2022, 00:24 (b)(6) wrote:

Dear Chairman US Sec,

(b)(6)

(b)(6)

I will like to personally request for a US Sec to step in and assist me to work (b)(6)

(b)(6)

have heard of this possibility in the crypto community and sincerely hope you will

consider my request. (b)(6)

(b)(6)

(b)(6) i sincerely appreciate your help.

Best regards,

(b)(6)

On Tue, May 10, 2022, 22:56 (b)(6) wrote:

Dear Chairman US Sec,

Apologies on reaching out on multiple emails but I sincerely wish for my email and messages to be strongly considered for consideration. (b)(6)

(b)(6) Please add this company terra labs on your alerts of investor list and send out a notice to alert all americans on this investment and proritize the stable coins regulation to stamp out fraud and missing funds.

Terraform Labs Singapore is not licensed or authorised by the Monetary Authority of

(b)(6) to provide financial services in (b)(6) As such, we are unable to

(b)(6) or comment on the entity and its operations. We would like to share that the Financial Institutions Directory at (b)(6) website

(b)(6) contains a list of financial institutions regulated by (b)(6) and the regulated activities they are authorised to provide.

Regardless of whether an entity is regulated by (b)(6) it is an offence to run a fraudulent or deceptive business in (b)(6) If you have reason to suspect that there may be criminal wrongdoing or fraud, you may wish to lodge a police report

Best regards,

(b)(6)

On Tue, May 10, 2022, 00:04 (b)(6) wrote:

Dear Chairman US Sec,

I will like to provide the latest update on (b)(6)

(b)(6)

(b)(6)

Please refer to the following article which I found online that shows there is an ongoing scam on anchor protocol.

<https://cryptopotato.com/terra-users-lose-4-3m-in-crypto-to-phishing-scam-over-10-days/>

I have reached out to many of the victims and they are very certain that there is nothing performed risky on their part and have all the necessary security controls on place to safeguard their funds. (b)(6)

(b)(6)

(b)(6)

(b)(6) As I am aware that to date, investors are still staking their hard earned money on this non regulated platform. I will like to strongly recommend rolling out the stable coins Act to eradicate all those non compliant defi protocol platform like anchor protocol. This is definitely one of the worst Ponzi schemes (b)(6)

(b)(6)

I will like to sincerely thank you for reading my

email and I hope that US Securities will enforce more authority and jurisdiction to stamp out non complaint defi platforms which is of growing concern globally

(b)(6)

Best regards,

(b)(6)

On Sat, May 7, 2022, 01:38 (b)(6) wrote:

Hi Chairman US Sec,

I have read all the news article and been catching on what terra form labs is up to recently. It's really shocking how (b)(6) can build a super high net worth crypto defi platform with no regulation and compliance and when something malicious happens to defi investors, (b)(6) just jumps out and keep insisting that it's not their fault and we could only blame ourself for choosing to stake on their platform. It's also alarming that their company is (b)(6)

(b)(6)

Adding to my email, I like to share that (b)(6)

(b)(6)

am writing to implore to US Securities as I am aware that you have jurisdiction and powers to give additional support in (b)(6)

this business from operating as its highly deceptive and non regulated.

If required, please let me know if a call is required for me to share on this.

Best regards,

(b)(6)

On Fri, May 6, 2022, 22:45 (b)(6) wrote:

Hi Chairman Gary Gensler,

I am a local (b)(6) here reaching out to you for escalation support from the US SEC. I have gotten your email contact from the following url. i.e.

<https://www.sec.gov/contact-information/sec-directory>.

(b)(6)

I am aware that there was some legal case involving terra form labs singapore and SEC. i.e. <https://www.sec.gov/litigation/litrelcases/2021/lr25262.htm> and I will hope for a favourable ruling for US SEC.

(b)(6)

As terra labs singapore has a global presence attracting crypto investors globally, I believe that it will poses a direct impact on american investors too. I will like to seek direct support from SEC to explore if there is any possibility of working together to bring justice to inculcate this organization and hoping that I could

(b)(6)

Thanks and stay safe.

Best Regards,

(b)(6)

From: Chair
To: TCR-ENF
Subject: FW: Flying V Group, Inc. suspicious twitter pumping accounts - CYBL Cyberlux
Date: Monday, July 25, 2022 11:03:27 AM
Attachments: image001.png

From: (b)(6)
Sent: Monday, July 25, 2022 10:14 AM
To: Issuer Services <issuers@otcm Markets.com>
Cc: Complaints@tigta.treas.gov; Civil.Feedback@usdoj.gov; CommissionerCrenshaw <CommissionerCrenshaw@SEC.GOV>; CommissionerLee <CommissionerLee@SEC.GOV>; CommissionerPeirce <CommissionerPeirce@SEC.GOV>; Chair <chair@sec.gov>; TwitterOTC@ice.dhs.gov; TradingAndMarkets <TradingAndMarkets@SEC.GOV>
Subject: Flying V Group, Inc. suspicious twitter pumping accounts - CYBL Cyberlux

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Hi All,

Could you please investigate Flying V Group, Inc. as I have heard rumors that they may be incentivizing larger twitter accounts to pump the CYBI stock. (e.g. OG_Tigress, WTF_OS)

SERVICE PROVIDERS

Accounting/Auditing Firm

Investor Relations/Marketing Communication

Transfer Agents & Company Web

Flying V Group, Inc.

Securities Counsel

Outside Law

Also the company has not filed the required disclosures since 2012 related to management share ownership and adequate information on its convertible loans and shares insurances. How long can the company trade without going Expert Market or Caveat Emperor while not disclosing this key information? This is setting a bad precedent for other OTC companies to show that I guess disclosing management share ownership is not an actual requirement. It's a bad look can you please perform the appropriate action to get them to disclose the REQUIRED information?

Were you able to verify if RB capital was issued any undisclosed shares in Q4 2021? I have saved all their PRs, Q&As and Twitter updates regarding the notes with RB Capital and if

SERVICE PROVIDERS

Accounting/Auditing Firm

Turner Jones & Company, LLC

Attorney

Watt, Tieder, Hoffar &

Watt, P.C.

Investment Advisor

Watt, Tieder, Hoffar &

Watt, P.C.

Watt, Tieder, Hoffar &

Investor Relations/Marketing Communications

Flying V Growth, Inc.

CYBL did issue shares to RB Capital, they lied continuously and actively tried to hide this but

(b)(6)

Could you please address this issue?

I have provided more than enough support to show RB Capital's misleading activity and lies along with the fraudulent activities of Cyberlux and related RB Capital companies.

Thanks,

(b)(6)

From: Chair
To: TCR-ENF
Subject: FW: Fwd: SMME Quotes on Twitter
Date: Thursday, September 22, 2022 7:31:19 AM

From: (b)(6)
Sent: Wednesday, September 21, 2022 2:41 PM
To: (b)(6)
Cc: Chair <chair@sec.gov>
Subject: Re: Fwd: SMME Quotes on Twitter

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Dear SEC Chair,

(b)(6)

Thanks,

(b)(6)

On Wed, Sep 21, 2022 at 9:42 AM (b)(6) wrote:

Dear SEC Chair,

(b)(6)

(b)(6)

Thanks,

(b)(6)

On Wed, Sep 21, 2022 at 7:32 AM (b)(6) wrote:

(b)(6)

Thanks,

(b)(6)

On Wed, Sep 21, 2022 at 1:26 AM (b)(6) wrote:

Hi,

(b)(6)

Thanks.

(b)(6)

(b)(6)

Direct: (b)(6)

----- Original message -----

From: (b)(6)

Date: 9/20/22 6:20 PM (GMT-08:00)

To: Chair@scc.gov, (b)(6)

Subject: Fwd: SMME Quotes on Twitter

Dear Sir,

(b)(6)

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)

Date: Tue, Sep 20, 2022 at 4:46 PM

Subject: SMME Quotes on Twitter

To: (b)(6)

Hi,

(b)(6)

(b)(6)

Thanks,

(b)(6)

From: Chair
To: SEC Public Affairs Office (news@sec.gov)
Subject: FW: Interview Request - Gary Gensler - Valuetainment Media
Date: Monday, July 18, 2022 9:15:02 AM
Attachments: image001.png

From: PBD Podcast <pbdpodcast@valuetainment.com>
Sent: Friday, July 15, 2022 3:58 PM
To: Chair <chair@sec.gov>
Subject: Interview Request - Gary Gensler - Valuetainment Media

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Hi Gary!

My name's Rob Garguilo, I'm with Valuetainment Media, the #1 network for entrepreneurs with over 4 million YouTube subscribers, 500 million views, and 7 billion minutes watched.

I'm writing to see if you're available for an interview on The PBD Podcast hosted by Patrick Bet-David.

Patrick's an entrepreneur, financial advisor, and *New York Times* bestselling author whose interviews focus on business, politics, and economic growth.

In addition to our 4 million YouTube subscribers, our social media reach includes 3.5 million followers on Instagram, 800K followers on Facebook, 250K followers on Twitter, and 3 billion viewers on TikTok.

Patrick's interviews with Leon Cooperman, Ray Dalio and Peter Schiff have millions of views, generating thousands of product sales for our guests.

Here's a highlight reel of previous guests who've appeared on the podcast: PBD Podcast Highlight Reel.

Please let me know what we can do to make this interview happen.

Thanks for your time Gary! Have a great day!

ROB GARGUILO

Head of Talent Relations | Valuetainment Media Group

Email: RGarguilo@valuetainment.com

Cell: (b)(6)



VALUETAINMENT

From: Chair
To: SEC Public Affairs Office (news@sec.gov)
Subject: FW: Interview Request - Gary Gensler - Valuetainment Media
Date: Tuesday, July 26, 2022 11:26:22 AM
Attachments: Media Kit - Patrick Bet-David (2022).pdf
Media Kit - Valuetainment (2022).pdf
image001.png
image002.png

From: PBD Podcast <pbdpodcast@valuetainment.com>
Sent: Tuesday, July 26, 2022 11:17 AM
To: PBD Podcast <pbdpodcast@valuetainment.com>; Chair <chair@sec.gov>
Subject: Re: Interview Request - Gary Gensler - Valuetainment Media

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Hi Gary!

This is Rob Garguilo with Valuetainment following up on our request for an interview on The PBD Podcast.

Do you have interest and availability for an interview via Zoom or from our Fort Lauderdale, FL studios?

Valuetainment provides round-trip airfare, hotel and ground transportation for all of our guests.

Some stats for your consideration:

- Valuetainment Media is the #1 network for entrepreneurs with over 4 million YouTube subscribers, 500 million views and 7 billion minutes watched.
- Our social media reach includes 3.5 million followers on Instagram, 800K followers on Facebook, 250K followers on Twitter, and 3 billion viewers on TikTok.
- Patrick's interviews with Leon Cooperman, Ray Dalio and Peter Schiff have hundreds of thousands of views, generating thousands of product sales for our guests.

Attached are media kits for your review.

Please let me know what we can do to make this interview happen.

Thanks for your time Gary! Have a great day!

ROB GARGUILO

Head of Talent Relations | [Valuetainment Media Group](#)

Email: RGarguilo@valuetainment.com

Cell: (b)(6)



VALUETAINMENT

From: PBD Podcast <pbdpodcast@valuetainment.com>

Sent: Friday, July 15, 2022 3:58 PM

To: Chair@sec.gov <Chair@sec.gov>

Subject: Interview Request - Gary Gensler - Valuetainment Media

Hi Gary!

My name's Rob Garguilo, I'm with [Valuetainment Media](#), the #1 network for entrepreneurs with over 4 million [YouTube](#) subscribers, 500 million views, and 7 billion minutes watched.

I'm writing to see if you're available for an interview on [The PBD Podcast](#) hosted by [Patrick Bet-David](#).

Patrick's an entrepreneur, financial advisor, and *New York Times* bestselling author whose interviews focus on business, politics, and economic growth.

In addition to our 4 million [YouTube](#) subscribers, our social media reach includes 3.5 million followers on [Instagram](#), 800K followers on [Facebook](#), 250K followers on [Twitter](#), and 3 billion viewers on [TikTok](#).

Patrick's interviews with [Leon Cooperman](#), [Ray Dalio](#) and [Peter Schiff](#) have millions of views, generating thousands of product sales for our guests.

Here's a highlight reel of previous guests who've appeared on the podcast: [PBD Podcast Highlight Reel](#).

Please let me know what we can do to make this interview happen.

Thanks for your time Gary! Have a great day!

ROB GARGUILO

Head of Talent Relations | [Valuetainment Media Group](#)

Email: (b)(6)@[valuetainment.com](#)

Cell: (b)(6)



VALUETAINMENT

From: Chair
To: TCR-ENF
Subject: FW: Labor Force Metrics and ESG Investing
Date: Thursday, July 14, 2022 8:32:38 AM

From: (b)(6)
Sent: Thursday, July 14, 2022 12:25 AM
To: Chair <chair@sec.gov>
Subject: Labor Force Metrics and ESG Investing

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Dear Chairperson Gary Gensler,

I hope this letter finds you well. First, I understand that you are a very busy person, so thank you for taking the time to read this. I've been working on ideas for incorporating labor and workforce metrics into the S aspect of ESG pillars of ethical investing, and during this latest round of research I came across an article from last year from CNBC displaying your Twitter account, "Investors want to better understand one of the most critical assets of a company: it's people. I've asked staff to propose recommendations for the Commission's consideration of human capital disclosure. This could include a number of metrics, such as workforce turnover, skills and development training, compensation, benefits, workforce demographics including diversity, and health and safety."

I'm with you. (b)(6) While evaluating various stocks, I looked up (b)(6) on MSCI's ESG database, which gave it an average ranking for the industry – that's impossible. (b)(6)

(b)(6)

(b)(6)

(b)(6)

(b)(6)

(b)(6)

Thank you again for your time and consideration. I appreciate your work and hope you and your team develop an excellent set of metrics that hold corporations accountable.

Sincerely

(b)(6)

--

From: Chair
To: Help (OIEA Investor Complaints)
Subject: FW: market manipulation and SEC incompetence
Date: Tuesday, September 6, 2022 11:03:15 AM

-----Original Message-----

From: (b)(6)
Sent: Friday, September 2, 2022 4:33 PM
To: Chair <chair@sec.gov>
Subject: market manipulation and SEC incompetence

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

(b)(6)

It's not just positions in "meme" stocks (generally counter to the garbage that is being pushed on social media and the like). Funny how (b)(6)

(b)(6)

(b)(6)

Whoever (plural) is manipulating the 'meme' stocks is likely (though illogically) manipulating entire massive indexes, and it was the same garbage that led to the massive run up in Tesla several years ago.

(b)(6)

It's one thing when something is highly illogical/improbably, but when the data routinely support it, is the data illogical too (and it's not just bad timing and strategy - at least not bad under a non highly manipulated market - that's being blamed on something else, no matter how illogical it seems). At this point to move an index that large it has to either be widespread spoofing or hacking of other entities market impacting code, as wash sales between distinct accounts utilized by the same entity would require so much volume it would be a near impossibility). Do your job!

It seems like any short term option position over \$7,000 gets targeted, no matter how large/high volume the underlying, even when placed over multiple close strike prices, even when the trading strategy is differentiated.

From: (b)(6)
To: Gensler, Gary
Subject: Fw: Massive Accounting and Securities Fraud
Date: Saturday, April 16, 2022 3:25:44 PM
Attachments: Buying A Dividend Disclaimer Risks - Presentation Given to SEC.pdf
Manifesto on Investor Losses .pdf

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Good Afternoon Mr. Gensler,

I hope this email finds you well. By this time, I assume you have heard of me? I spend every day working to protect investors from the daily, undisclosed losses they suffer when they buy income-producing securities. Please understand, that this issue is costing investors hundreds of billions of dollars and is the most important investor protection issue in my lifetime. I have included an email below that I sent to Commissioner Peirce with some additional information. I have also had video calls with Commissioner Lee and Frank Buda in **2020**.

I would like to partner with you to fix this issue and protect investors. The SEC's mission is to protect investors and create fair and efficient markets. Yet, 100,000,000 investors are unknowingly losing money each time they save for their well-being in retirement. Therefore, our markets are neither fair nor are they efficient.

By working together we can fix this issue to protect investors and create fair and efficient markets. You will be leading the first SEC in history to actually accomplish its mission and that accomplishment will go a long way in paving the way for your future endeavors - whatever they may be.

I am asking for a brief introductory meeting with you next week. Are you willing to spend 30 minutes with me for the purpose of protecting 100M people? I do not need my legal counsel present.

Gary - this world is so crazy at the moment. Let's do something noble and good together that will be written about in history books. I think our nation needs something positive and when we are successful, no one will ever question the SEC's commitment to protecting investors.

Very hopeful we can chat next week. I can make you aware of our go-to-market plan and solicit your feedback and counsel.

Regards,

(b)(6)

FairShares - We Create Fair and Efficient Markets

www.FairShares.com

(b)(6) Desk

<https://calendly.com/cremy-roseberry> Click this link to schedule a meeting with me

Proprietary and Confidential

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From: (b)(6)

Sent: Wednesday, March 23, 2022 7:47 AM

To: (b)(6)@sec.gov (b)(6)@sec.gov>

Subject: Massive Accounting and Securities Fraud

Dear Commissioner Peirce,

My name is (b)(6) and I have been talking to members of the SEC for almost 2 years regarding an important investor protection issue. Millions of people every day are victims of securities fraud and no one, even those who work at the SEC, have disagreed with me. It is provable and undisputed. It is illegal to sell securities to the public with undisclosed material risks, yet it happens millions of times per day.

On behalf of 100,000,000 investors, I am writing to seek your help. This is a serious and ongoing matter and investors deserve the truth so that they can attempt to manage and mitigate these risks.

I have attached an unpublished article I wrote which provides all of the pricing models that the SEC can use to quantify the losses and other adverse consequences that investors are subjected to daily - due to the inappropriate and punitive accounting treatment of dividends and capital gains. This accounting treatment inflates the value of securities, upon which Wall Street bills their investors, and as a result, taxable investors are subjected to paying taxes on income they never earned.

The equations in the document provide the answers to the following:

- How much money an investor will lose as a result of buying a dividend.
- How many fewer shares an investor will purchase as a result of inflated asset values that are a product of accounting for dividends as assets, instead of what they are - liabilities.
- How much additional and unneeded tax will be paid by an investor who has owned a security for at least 60 days but less than a year and wishes to sell the security.

- How much annual yield an investor will forfeit by purchasing a security with a dividend premium.
- How an investor can determine the fair value of any income-producing asset by discounting the dividends from the security's value. This method is needed for more accurate security valuation and portfolio management.

Using the math, you can quantify what this all means to investors on a larger scale by applying the equations to the volume of a security. For example, if 10,000,000 shares of a security are purchased today that will pay a \$2.00 distribution, and those investors are taxable and will hold through the next distribution, then they will lose \$5,000,000 if you assume a blended tax rate of 25%. **That is a \$5M loss in one security, in one day, or \$1.2 Billion in one year.** Think of what this means for ALL securities and ALL days. My calculator does not have enough digits for that extrapolation.

The reason that investors are completely unaware of these losses is that the disclosures that the industry has buried in a prospectus are materially false and misleading. They take a risk that is always present and minimize it to make investors believe it rarely happens. They have not been honest with the SEC or with investors. Investors have a right to know how much they are going to lose before they commit capital. My conclusion from speaking to about 100 executives is that disclosure is bad for their business.

I actually gave a couple of your colleagues a presentation on fraudulent disclosures in **2020**. They did not disagree with me. I will also attach that presentation for your records.

I am working on a product that will allow investors to understand real-time disclosure of their losses. I call it disclosure data and I will make it available to everyone. Would you like to see it when it is done? It should be ready in the next couple of weeks. If you enter in a ticker and a number of shares, the formulas mentioned above produce all the analytics required for investors to understand their risk.

If investors are given the disclosure that is required by law, they can attempt to manage and minimize their losses while maximizing their buying power. I find it odd that the SEC wants companies to disclose what kind of light bulbs they are using, but not the fact that an investor's net worth will be reduced with they buy a security.

I am sure you have seen all of the recent Twitter comments regarding the SEC. From reading the comments, it appears as though investors are losing faith in the SEC's ability to protect them. If the public loses faith in the SEC, they will lose confidence in our capital markets and that would be disastrous for our nation. I am about to take this to market in the next couple of weeks. I have a 20+ video course I will be released to the public describing the problem. The truth will be told about the hidden costs in our markets. When investors learn the truth, they

will ask "Does the SEC know." I happen to know this because it is the second most often asked question I get. I don't want to see the SEC get into another Bernie Madoff situation where the SEC was informed of fraud but did not act. Madoff impacted mostly wealthy accredited. This fraud impacts everyone and it is ongoing....every day. That is why I believe it is important for the SEC to act now, before I do. I am running a start-up and am burning a ton of money every month. I have paid myself \$10,000 since October. I have investors and I need to execute my business plan. I have waited for the SEC to lead on this issue - but I can no longer wait. Ideally, you and I get our communications in sync on this. If you act, the SEC's credibility will be unquestionable. If you don't act, people will accuse the SEC of protecting Wall Street instead of Main Street - the exact opposite of the SEC's mission. It will fuel the Twitter people. If you think it is better for me to lead and you to follow that is fine too. I just wanted to keep you in the loop on my timing in case you want to adjust yours.

I have spoken to nearly every major asset manager, market structure provider, auditor, and exchange on Wall Street. They all acknowledge the problem exists, and they acknowledge it can be fixed, yet they simply do not care about protecting investors. They have laughed out loud when I told them that the SEC was looking into this. **They are putting their potential liability ahead of their fiduciary duty to protect investors.** I was recently on the phone with a Big 4 accounting firm, which audits investment funds about a month ago and they told me that "investors should just "accept" these losses because investment funds are convenient and easy to buy." There is simply no interest in protecting investors or disclosure and they seem to think that the SEC won't do anything about it. I remain hopeful that the SEC will prove them wrong. The culture on Wall Street is corrupt to the core. I have never seen anything like it and I suspect that all my emails and my testimony will be sought by congress and by future legal proceedings.

I hope you find the attached information helpful and I am hopeful that the SEC acts to protect investors from the biggest problem they have ever faced. This problem is easily fixable - so the glass is half full. I have been trying to partner with the SEC to educate investors on this serious market structure issue and to partner on getting this problem fixed as quickly as possible. Unfortunately, I have not found anyone at the SEC willing to work with me, even though they acknowledge the problem I discovered. I was hoping that you might champion this cause and step up as a voice for the retail investor? For 3 years I have searched for someone to protect retail investors and I haven't found a single person, except for my advisors. I am hopeful that you have the courage to do so.

I have seen some of your recent testimony and believe that our approach to investor protection may be aligned. **I would like to speak with you - if you are willing.** Please let me know when you are free. **I do not need to have my attorney present.** I trust you.

Please let me know how I can be a resource to the SEC regarding this matter. I am a big

supporter of your work and your mission. Our country needs a strong and respected SEC and I think that seizing this opportunity to protect investors will solidify that position for decades to come. You guys have the opportunity to be the most successful Commission in history.

Thank you,

(b)(6)

FairShares - We Create Fair and Efficient Markets

www.FairShares.com

(b)(6) Desk

[YouTube Channel](#)

<https://calendly.com/cremy-roseberry> Click this link to schedule a meeting with me

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From: Chair
To: Help (OIEA Investor Complaints)
Subject: FW: My stock market experience
Date: Tuesday, June 21, 2022 1:06:51 PM

From: (b)(6)
Sent: Friday, June 17, 2022 6:39 AM
To: Chair <chair@sec.gov>
Subject: My stock market experience

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

I know your time is valuable but Please take you time to ready my story....

Here's something to think about.. (b)(6)

(b)(6)

(b)(6)

(b)(6)

(b)(6)

(b)(6)

Few days has past since as I was going through twitter I saw a lot of discussion about amc meeting and how they wanted to put out more shares out there and many amc shareholders (as myself) were totally against it and even voted no! Because it will dilute the outstanding shares AGAIN like when that "silverback" adam(popcorn) aron and his buddies dumped mass

amount of shares while the people who saved their company from bankruptcy were holding for a short squeeze and life changing money but many saw that as a sign to close position and move on since feeling betrayed.

(b)(6) then makes a post saying they will not do another share count because they done so many and that there are no proof to synthetic nor fake shares and I thought to myself only if we as the buyers were able to see the how many shares are left that are available to purchase on the stock market then immediately (b)(6)

(b)(6) Then that could protect us the retail buyers against the firms out there that do very illegal practices such as selling 1 share of any anything to whoever wants to buy hundred maybe million times over and over again so they can make millions if not billions my playing both sides as the market maker, hedge fund that holds massive short positions which also has their own dark pools...

Yes you read that correctly. We all who that firm is no need for names, but they do all of that to make money and this is how, market maker puts the shares available to short, then same market maker which has its own hedge fund goes and places short positions...then the same firm has their own dark pool that they run orders through coming in from all the retail brokers so that way they suppress the buying or selling pressure then they manage the price up or down depending on the positions they have taken long or short.

OHH by the way did I mention that SAME firm makes even MORE money through a practice that is illegal in most other democratic countries where the people are protected by their commission from such schemes?! This Ponzi scheme is called payment for order flow (PFOF) meaning that same firm gets to make money from each order that retail brokers send to them instead of the actual exchange directly, how crazy is that?!

Also! Those free broker apps that "sell" shares to retail investors never have to worry about if that amount of shares the client is buying are even available on the stock market! Well let me tell you they don't worry about checking at the exchange if those shares are in stock and if they can go around and located them for their client...just like the (b)(6)
Because the firm that is the market maker tells the retail free broker apps to send all orders to them and approve all buy orders right away and then free broker apps send a nice notification like hey here is your shares! Don't worry we GOTCHA! When they actual don't have any and just took the word of the market makers which also told them don't worry we GOTCHA! Which they say that millions of times over and over and that's how you get synthetic shares which basically are fake shares or better yet fugazi, they don't exist! They sold off shares to retail over and over and and creates a nightmare when the short sellers get a margin call and then need to locate the real shares and buy them to cover their short positions.

Well when you do this for years and years there are consequences sooner or later and we are watching the stock market melt down in front of our eyes and it will keep sinking until those Ponzi schemes are corrected because they have done that across the board on whichever stock

made them money.

I say all this to explain in the most basic way to anyone that's not familiar why the market is sinking and people that are loosing thousands daily and are going through very tough times and that are concerned on their life savings being taken away. Stay strong avoid option plays and short positions, obviously this is not financial advise do as you wish. Your money.

I wrote all of this to raise awareness what's happening and what needs to happen, 1.PFOF had to be banned it is a dirty way around the system to create your own gain as a market maker,hedge fund and dark pools, sounds like a monopoly too doesn't?

2.Buyers need transparency in the stock market so we know how many shares of the given stock are available for purchase 3. all sell and buy orders have to go directly to the stock market. 3. dark pools have to be banned because that's like having your own ledger and only you see what's happening.

1. If all of this means retail has to pay for each transaction since orders are going directly to the exchange then so be it, but at least we can have a fair market and a market that can last long times before melting.

To the SEC, your job is to protect the stock market and set laws to prevent Ponzi schemes so as one of many retail investors that unfortunately believed in the American stock market I ask of you to take actions and save our savings that we worked so hard for and earned each dime, don't just fine those who practice illegal activities because that money you call a fine is actually our money and you taking that money seems like that's your cut of the deal. Take actions or resignations are a must you are there to uphold the American stock market do your job with dignity and if you can't there has to be someone out there that can and isn't so easily curbed.

To my fellow retail investors, the only way we can have a fair market is by having our voices and stories heard and forced into actions, even if it means marching down Wall Street and SEC headquarters, share and instead of attacking each other support and uplift one another because if 1 of us loses then we all lose. Thankyou for reading my experience and my opinions I will be more than happy to read anyone else's if we can all share.

Sent from my iPhone

From: Chair
To: TCR-ENF
Subject: FW: new record short position on SLV
Date: Thursday, August 25, 2022 9:20:39 AM

From: (b)(6)
Sent: Thursday, August 25, 2022 9:15 AM
To: Chair <chair@sec.gov>
Subject: new record short position on SLV

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Chairman Gensler,

I thought your Twitter video on short selling was well-done and timely, as was your post about the SEC's whistleblower program.

In light of this, the new short report on SLV, the big silver ETF, sponsored by BlackRock, reached another new high, as 51.66 million shares were sold short as of August 15, 2022, the highest I can recall in the 16+ years of the trust's existence. In terms of a percentage of total shares outstanding, this represents more than 9.8% of total shares outstanding.

<https://www.wsj.com/market-data/quotes/etf/SLV>

Since the trust promises to back each share with one ounce of silver (minus accumulated annual management fees), this means that one out of every ten shares outstanding has no silver metal backing, since the only practical reason for a short sale is to evade the physical metal deposit provision of the prospectus.

(b)(6) but I'm afraid this may be over the heads of those involved.

I know it's not over your head (or of GC Berkovitz)

Respectfully,

(b)(6)

From: Chair
To: TCR-ENF
Subject: FW: please forward to Chair Gensler and GC Berkovitz
Date: Tuesday, September 13, 2022 3:10:55 PM

From: (b)(6)
Sent: Tuesday, September 13, 2022 10:07 AM
To: Chair <chair@sec.gov>
Subject: please forward to Chair Gensler and GC Berkovitz

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Chairman Gensler and General Counsel Berkovitz,

Pursuant to my previous notes of (b)(6), I must once again inform you that the short position in SLV, the I-Shares Silver Trust, owned and run by BlackRock, Inc., has reached another new record high of 60.35 million shares, as of the close of business Aug 31, 2022. Not only is this the largest short position in shares terms in the trust's 16-year existence, as a percentage of total shares outstanding (508.45 million), this comes to 11.9%, also the largest percentage in history. Since the sharp increase in the short position in SLV coincided with a more than \$2 decline in the share price to fresh two-year lows, it's hard not to conclude the new shorting had a manipulative purpose.
<https://www.wsj.com/market-data/quotes/etf/SLV>

As I indicated in my previous notes, SLV is a highly unique common stock in that its prospectus promises one ounce of silver to be deposited by the trust's custodian (JPMorgan) for each share outstanding (minus the cumulative annual management fee of 0.5%, now amounting to just over 8%). Since shorted shares evade the physical metal deposit requirement, this means that 12% of the effective share float (officially-issued shares plus shorted shares) do not have the required metal backing. As I also indicated previously, the 60 million shares held short are depriving BlackRock and its shareholders millions of dollars in management fees, as no fees are collected on shorted shares.

Further BlackRock, revised the prospectus for SLV in Feb 2021, in which it warned short sellers of SLV of the special risks of shorting the shares. At that time, the short position in SLV was 17 million shares, with the percentage of shorted shares to total shares outstanding under 3%. With the most recent short sale data, the number of shares held short is now three and half times higher and the percentage held short of total outstanding shares is now more than four times higher. This makes a mockery of BlackRock's prospectus revision and is evidence of a stark breach of its fiduciary responsibilities to shareholders of both SLV and BLK.

Your recent video posted on Twitter for the need for transparency for those shorting

shares was not only timely, but involves particular relevance in the case of SLV, as the most plausible explanation for the large short position in SLV is that only one or a very few large entities have shorted the shares to evade the metal deposit requirement and to depress the price, which is nothing less than manipulation and fraud.

I know you are involved in many important issues which need your attention, but this manipulative and fraudulent shorting of SLV also needs to be addressed. In the event my facts and conclusions are off-base, perhaps you could direct someone at the SEC to respond, as I would not wish to file false allegations.

(b)(6)

From: Gensler, Gary
To: Fischer, YJ; Schneider, Scott; Johnson, Aisha; Bloom, Liz
Cc: Fischer, Amanda; Yerramalli, Prashant; Slavkin Corzo, Heather; Burris, Kevin
Subject: FW: PRC Media Reaction
Date: Tuesday, August 30, 2022 6:44:37 AM
Attachments: image001.png
image002.png
image003.png

14 pages have been referred to the Department of State for direct response to the requester.

FYI

Department of State Equity

From: Chair
To: Help (OIEA Investor Complaints)
Subject: FW: Question Acquisition of Twitter (b)(6) -secret-
Date: Tuesday, October 11, 2022 3:22:03 PM

From: (b)(6)
Sent: Monday, October 10, 2022 12:12 PM
To: Chair <chair@sec.gov>
Cc: (b)(6)
Subject: AW: Question Acquisition of Twitter (b)(6) -secret-

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Dear Chairman Gensler,

I do not have unfounded doubts about the legality and seriousness of (b)(6) on Twitter!

That's why my questions;
Is the purchase sufficiently secured, including by (b)(6) own assets?

Is there a competitive consumption of this, including the medal power of Twitter?

Is the Tesla secured tomorrow the value, despite the energy crisis has what it should have?

I don't think so, because I (b)(6)
(b)(6) That's going to create problems for Tesla.

(b)(6)

Best regards (b)(6)

(b)(6)

(b)(6)

From: [Chair](#)
To: [OPE](#)
Subject: FW: Questions from MarketWatch
Date: Friday, July 15, 2022 10:54:58 AM

From: Hedgecock, Sarah <sarah.hedgecock@marketwatch.com>
Sent: Friday, July 15, 2022 10:46 AM
To: Chair <chair@sec.gov>
Subject: Questions from MarketWatch

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Gary --

I'm Sarah Hedgecock, with MarketWatch, and my team is putting together a list of people who are moving markets in 2022. The list is set to include big players (such as Janet Yellen and Elon Musk), and we're considering you for inclusion. As we go through this process, I'd like to fact-check some things with you. I'd appreciate responses to the below, and please let me know if you have any questions for me!

- Is it correct that you announced the new rule requiring retail brokers to conduct order-by-order auctions this past June?
- What do your new proposed disclosure rules look like? (Are they still in the works?)
- Are there any other 2022 projects or interesting metrics you'd like to share?

Thanks, and I look forward to hearing from you soon!

--

Sarah M. Hedgecock
Editorial consultant, MarketWatch

From: Chair
To: Security Mailbox
Subject: FW: Regarding Inquiries from the SEC to Duke (b)(6) (Information Risk Management Ltd.)
Date: Tuesday, May 31, 2022 1:32:55 PM

Security team - VA.

From: (b)(6)@gmail.com>

Sent: Saturday, May 28, 2022 5:31 AM

To: Chair <chair@sec.gov>; CommissionerLee <Commissioner.Lee@SEC.GOV>; CommissionerPeirce <CommissionerPeirce@SEC.GOV>; CommissionerCrenshaw <CommissionerCrenshaw@SEC.GOV>

Cc: (b)(6)

Subject: Regarding Inquiries from the SEC to Duke (b)(6) Information Risk Management Ltd.)

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(b)(6)

Mr. Gary Gensler, Chair
Mrs. Allison Herren Lee
Mr. Hester M. Peirce
Mrs. Caroline A. Crenshaw
U.S. Securities and Exchange Commission
100 F Street, NE
Washington, DC 20549
The United States of America

May 28th, 2022 (b)(6)

Dear Madam and Sir,

I am writing this email to you regarding inquiries from you, the SEC, which is a response from a complaint by the shareholders at Twitter Inc.

米SEC、イーロン・マスク氏の調査開始 | Twitter株取得巡り質問状 | 日本経済新聞 (nikkei.com)

I am pretty not sure about it, however I have heard a rumor about a plastic surgeon called (b)(6) who has a really bad rumor around (b)(6) and who is trying to manipulate information and who probably has a similar size of body with (b)(6)

Probably I assume that this claim is against this plastic surgeon?

I am going to write about (b)(6) badness/criminal activities below;

(b)(6) holds, at least, a (b)(6)

(b)(6)

(b)(6) I have never spoken to (b)(6) however (b)(6) pretends I am (b)(6) friend or (b)(6) customer. (b)(6) so I do not interact with him directly at all.

(b)(6)

(b)(6)

(b)(6)

(b)(6)

(b)(6)

(b)(6)

(b)(6) according to a rumor.

What I'd like to say is all these criminal activities would be somehow imposed on another one or under another person's name like (b)(6) because, I am not sure why, probably because (b)(6) is honorable and well-educated.

In any case, I suspect that they are trying to steal money.

I do trust Duke (b)(6) This is because I have known (b)(6) since 160 years ago.

Warm regards,

(b)(6)

Sincerely,

(b)(6)

(b)(6)

From: Chair
To: TCR-ENF
Subject: FW: Reporting - US Hedge fund promoting ponzi scheme - USD
Date: Thursday, May 19, 2022 1:47:46 PM

From: (b)(6)

Sent: Thursday, May 19, 2022 7:12 AM

To: Chair <chair@sec.gov>; CommissionerPeirce <CommissionerPeirce@SEC.GOV>; CommissionerCrenshaw <CommissionerCrenshaw@SEC.GOV>; SEC Ombudsman <ombudsman@SEC.GOV>

Subject: Reporting - US Hedge fund promoting ponzi scheme - USD

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(b)(6)

USA hedge fund : Galaxy Digital Capital Management LP

CEO : Michael Novogratz

107 Grand Street 7th Floor New York, NY 10013 United States

Recently as you may have heard of a stablecoin called Terra USD, which was meant to be pegged to the US dollar and was combined with LUNA coin.

In summary over 60 billion USD dollars has evaporated.

Numerous confirmed people have killed them selves over this ponzi scheme like coin, and many innocent elderly people, women and normal people losing their life savings in the USA and all over the world.

Im not just pointing out Galaxy digital hedge fund, many other people also promoted this coin. Though these firms were promoting a ponzi scheme coin promising 20% apy and many firms knowing this was not sustainable over the long term.

Galaxy digital a well know established firm with offices around New York and Chicago should be regulated from promoting ponzi scheme like coins, attracting billions of dollars with a mechanism doomed to fail over time.

This is similar to Bernie madoffs scheme, though because it's on the Blockchain and the CEO, plus the team reside in Korea, they think they can call it an "experiment" without any consequences.

Their must be strict regulation especially with firms well know in the USA from influencing uninformed people especially when the majority of these people who buy it do not know of the tail risks and mechanism issues.

(b)(6) has publicly promoted this coin, with such an influence this has most likely cause many to be influenced especially USA residents following (b)(6) promotions. (b)(6) stated (b)(6) invested early on, though I never saw any warning calls about the risks involved whilst promoting it at conferences, on Twitter and obviously to (b)(6) own stock.

I hope their will be stricter regulations and justice because as I stated before people have lost their live savings and unfortunately many have took their own life and killed themself over losing so much money, and this is in America and around the world.

Thank you for your time, excuse me for my writing,

I really appreciate it,

Regards, (b)(6)

From: [Chair](#)
To: [Rule-Comments](#)
Subject: FW: SEC Gary Gensler needs to read this email and the
96pageStanfordLawSchoolandNewYorkUnivSchoolofLawAuthorswithEuropeanCorporateGovernanceInstitute
onSPACripoffscamsattachment!!!! (S7-13-22)
Date: Monday, July 11, 2022 4:22:53 PM
Attachments: [StanfordLawSchoolandNewYorkUnivSchoolofLawASoberLookatSPACsSSRN-id3720919.pdf](#)

From: (b)(6)
Sent: Monday, July 11, 2022 4:00 PM
To: Chair <chair@sec.gov>
Cc: (b)(6)
Subject: Fw: SEC Gary Gensler needs to read this email and the
96pageStanfordLawSchoolandNewYorkUnivSchoolofLawAuthorswithEuropeanCorporateGovernanceInstitute
onSPACripoffscamsattachment!!!!

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To: SEC Chair: Gary Gensler.
I hope I am not too late on the time period to comment on taking the SPAC short cuts out and making these mergers go through the regular established protections that IPOs are required to go through so that the gullible masses who follow the hollywood popularity of celebrities do not get totally scammed out their life's savings.

Subject: SEC Gary Gensler needs to read this email and the
96pageStanfordLawSchoolandNewYorkUnivSchoolofLawAuthorswithEuropeanCorporateGovernanceInstitute
onSPACripoffscamsattachment!!!!

PLEASE SHARE THIS EMAIL WITH AMY KLOBUCHAR AND ELIZABETH WARREN AS WELL AS DEAN PHILLIPS AND MOST IMPORTANTLY WITH SEC DIRECTOR GARY GENSLER!!!!!!!!!!!!

Now Elan Musk can say that he believes in Free Speech for his reason to offer to buy Twitter but once he saw the SPAM BOTS from faked (cloned) anonymous sources that he did not like he apparently wants to take his buy out offer back. Trump had 88 million followers on Twitter but Twitter first labeled his tweets "Manipulated Media" approximately June, 2021.

PLEASE GOOGLE SEARCH "Trump left his media company weeks BEFORE FEDERAL SUBPOENAS WERE ISSUED, records show" dated July 7th, 2,022 and see MANY current news articles reporting on this.

Trump Media and Technology Group TMTG, which now has Devin Nunes as its CEO, and "Truth" Social mobile app were successfully incorporated into Rumble on April 22, 2,022, the video platform that wants to compete with youtube.

Rumble could (very soon!!!!) July or August, 2022 be merged with a SPAC company called CF Acquisition Corp. VI which has been trading since Dec. 2,021 on the Nasdaq with the symbol CFVI.
After the merger the new name of Rumble will be Rumble INC.

Does Senator Elizabeth Warren know this SPAC merger is about to occur since she is the one who sounded the alarm back in Dec. 2021 about the DWAC SPAC merger with TMTG?
A lot of Rumble's traffic is referred from Paler AND Trump officially joined Rumble on June 26, 2021.

Wikipedia.org, the online encyclopedia that gets 1.7 Billion unique user devices searching it Every Month from almost every country in the world has THE #1 BEST chronological history,
with 29 References Cited, on Rumble. SIMPLY TYPE INTO GOOGLE SEARCH "Wikipedia Rumble" FOR THE

#1 best history of Rumble video media company.

Many extremely disturbing facts will be seen about Rumble including that Rumble has RT RUSSIAN STATE-CONTROLLED INTERNATIONAL TELEVISION NETWORK funded by the Russian Government as one of its video offerings on Rumble!!!!!!

In addition, Rumble also has OAN One America News and OANN One American News Network, Fox News Sean Hannity, NewsMax, Alex Jones Info Wars ETC.. as some of its most watched video offerings.

Since April 22, 2022 Trump's TMTG and "Truth" Social have been migrated into Rumble. Is Rumble not the ultimate "Fake News" conglomerate for your most brainwashing propaganda videos? I had never heard the words "Fake News" until Donald Trump said that people must love fake news after he was elected in 2016 and in the oval office.

In addition, Trump spoke words of the effect that he took credit on the national news that the Facebook Meta FB \$250 Billion drop in one day on Febr. 4th, 2022 was because all those users left Facebook to go to his "Truth" Social which he planned to launch on President's Day Febr. 19th, 2022. Did no one else catch Trump's comment?, I heard it on the national news along with how he said he Loves others People's Money.

Subject:

96pageStanfordLawSchoolandNewYorkUnivSchoolofLawAuthorswithEuropeanCorporateGovernanceInstitute ON SPAC RIP OFF SCAMS, IS ATTACHED!!!!.

PLEASE SHARE THIS EMAIL WITH AMY KLOBUCHAR AND ELIZABETH WARREN AS WELL AS DEAN PHILLIPS AND most importantly with SEC Director GARY GENSLER!!!!!!!!!!!!!!

SPACs Special Purpose Aquisition Corps. are celebrity MeMe stock scams and although the celebrities are practically guaranteed to make tons of money everyone else get screwed out of their hard earned money. Google search "Trump's SPAC proves you can now create a meme stock on purpose" dated Dec. 7th, 2021 by Thornton McEnery on marketwatch.com which also talks about the GameStop and AMC social media inflated balloons during Covid lockdown that have subsequently burst.

Trump's "truth" social merger with DWAC nasdaq symbol Digital World Aquisition Corp. has not happened yet but it is a MeMe stock inflated by celebrity HYPE and has nothing for traditional IPO stock fundamentals to show because SPACs can bypass the traditional IPO process altogether.

Paul Ryan, former House speaker from WI is a big SPAC owner.

A shorter read on the SPAC "Dilution factor" that can be five times the current shares free to trade immediately upon the consummation of the SPAC merger that the PIPE preferred private investors in public equity are free to sell immediately when the merger deal closes so that they can get a minimum of a 40% discount reduced price per share with no lock-up binding can be read on realmoney.thestreet.com article entitled "The SPAC Acquiring Trump Media Isn't Worth Buying in This or Any Market" by Brad Ginesin dated March 8th, 2022.

the website [www. stockmarketmba.com](http://www.stockmarketmba.com) has a list of the current 734 SPACs which have close to \$200 Billion waiting to scrounge for target mergers.

Also google : "Lucrative SPAC trades spur Insider-Trading Probe" by Noah Buhayar and Matt Robinson dated April 11th, 2022 on

bloombergquint.com

which shows that Warrents that can be bought and sold on SPACs creating very fast money for scammers, crooks, con-artists, hollywood celebrities and even our U.S.A.s politicians since there are no SEC rules or laws protecting the gullible masses from this!!!!

You can find the attachment of Very Legal 96 page document by googling "A Sober Look at SPACs" and it includes ECGI European Corporate Governance Institute very legal info. on SPACs with Stanford Law School and New York Univ. School of Law authors.

From: Chair
To: TCR-ENF
Subject: FW: Shared information on Twitter with SEC and senior government officials regarding Algorithmic stablecoin TerraUSD
Date: Tuesday, July 5, 2022 1:16:08 PM

From: (b)(6)
Sent: Tuesday, July 5, 2022 1:10 PM
To: Chair <chair@sec.gov>
Subject: Shared information on Twitter with SEC and senior government officials regarding Algorithmic stablecoin TerraUSD

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Honorable SEC Chair,

(b)(6)

(b)(6) I shared the information at my earliest convenience , which was around the time I learnt that terraform Labs was using terra USD to purchase Bitcoin worth Billions of Dollars

(b)(6)

(b)(6)

(b)(6)

Please see below:

(b)(6)



Sincere Regards and Happy 4th to all at the SEC.

(b)(6)



Sent from Mail for Windows

From: Chair
To: TCR-ENF
Subject: FW: Stock symbol TXTM
Date: Thursday, October 13, 2022 1:29:30 PM

From: (b)(6)
Sent: Thursday, October 13, 2022 1:09 PM
To: Chair <chair@sec.gov>; CommissionerPeirce <CommissionerPeirce@SEC.GOV>; CommissionerCrenshaw <CommissionerCrenshaw@SEC.GOV>; CommissionerUyeda <CommissionerUyeda@SEC.GOV>; CommissionerLizarraga <CommissionerLizarraga@SEC.GOV>
Subject: Fwd: Stock symbol TXTM

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Hi,

I have sent 18 emails to the SEC for investigation of the company with the stock symbol of TXTM, I have never heard from the SEC. There is blatant falsehoods in the tweets of the management over promoting their stock, yet the SEC will not act. If shareholders do lose money from this scam, I will provide them the 18 emails sent to the SEC with no action taken.

This is a written notice that I have informed the SEC to investigate the company. For CEO on an OTC stock that was not pink current, no audited financials indicated "Nasdaq is in the bag", "Credit Suisse issues this stock" all the medical remedies their product repairs without any studies and that the company has AAA bond issued.

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Tue, Oct 11, 2022 at 5:30 PM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@sec.gov.ph>

This is a (b)(6) stating garbage studies of (b)(6) product.

(b)(6)

What does the SEC say about it?

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Tue, Oct 11, 2022 at 4:32 PM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@sec.gov.ph>

I will send this everyday until the SEC takes action, you can read the (b)(6) twitter, spreading lies and stealing shareholders money by pumping (b)(6) stock.

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Tue, Oct 11, 2022 at 12:37 PM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@sec.gov.ph>

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Tue, Oct 11, 2022 at 11:10 AM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@sec.gov.ph>

Does the SEC care about shareholders, when the (b)(6) posts false information on twitter to pump the stock.

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Mon, Oct 10, 2022 at 10:25 AM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@sec.gov.ph>

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Sat, Oct 8, 2022 at 7:28 PM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@sec.gov.ph>

Is this legal for an (b)(6) to say that Nasdaq is in the bag, when they are not pink current, SEC reporting and no audited financials? Is this not misleading shareholders?

(b)(6)

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Fri, Oct 7, 2022 at 7:12 PM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@sec.gov.ph>

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Wed, Oct 5, 2022 at 4:50 PM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@scc.gov.ph>

Is this legal for a company (b)(6)?

(b)(6)

Thanks,

(b)(6)

----- Forwarded message -----
From: (b)(6)
Date: Wed, Oct 5, 2022 at 2:48 PM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@scc.gov.ph>

At what point does the SEC take action against a (b)(6) lying that Nasdaq is in the bag, when they are not Pink Current, no audited financials or SEC Reporting?

Thanks,

(b)(6)

----- Forwarded message -----
From: (b)(6)
Date: Mon, Oct 3, 2022 at 9:39 PM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@scc.gov.ph>

After 8 emails, SEC has done nothing and the (b)(6) continues to (b)(6) promote the company in tweets that are inaccurate.

Thanks,

(b)(6)

----- Forwarded message -----
From: (b)(6)

Date: Mon, Oct 3, 2022 at 9:10 AM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@scc.gov.ph>

At what point does the SEC step in and look at the (b)(6) tweets and pumping (b)(6) stock with falsehoods and cost shareholders more money?

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Sun, Oct 2, 2022 at 11:50 PM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@scc.gov.ph>

At point does the SEC take actions to protect investors. I have dated stamped the first email, which was sent to your office, which was September 26, 2022, in case any shareholders want to hold anyone liable for no action.

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Sun, Oct 2, 2022 at 1:39 PM
Subject: Re: Stock symbol TXTM
To: <cgfd_md@scc.gov.ph>

Still no action by SEC for a (b)(6) to pump their (b)(6) stock and they Nasdaq is done, when they are not Pink Current or SEC Reporting. At what point does SEC intervene and save investors from losing their livelihood? I will keep my emails as evidence, that the SEC was notified and took no action, if any shareholders choose to sue the SEC for failure to act.

Thanks,

(b)(6)

On Fri, Sep 30, 2022 at 6:47 PM (b)(6) wrote:

Still no action by SEC for a (b)(6) to pump their (b)(6) stock and they Nasdaq is done, when they are not Pink Current or SEC Reporting. At what point does SEC intervene and save investors from losing their livelihood? I will keep my emails as evidence, that the SEC was notified and took no action, if any shareholders choose to sue the SEC for failure to act.

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Fri, Sep 30, 2022 at 10:18 AM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@sec.gov.ph>

Are we ever going to get any action for (b)(6) pumping their (b)(6) stock, by saying that Nasdaq is done when they are not SEC reporting or Pink Current. Is that not stock manipulation?

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Thu, Sep 29, 2022 at 9:43 PM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@sec.gov.ph>

This cant be legal???

Thanks,

(b)(6)

----- Forwarded message -----

From: (b)(6)
Date: Thu, Sep 29, 2022 at 4:18 PM
Subject: Fwd: Stock symbol TXTM
To: <cgfd_md@sec.gov.ph>

(b)(6)

Sent from my iPhone

Begin forwarded message:

From: (b)(6)
Date: September 29, 2022 at 10:24:42 AM CDT
To: cgfd_md@sec.gov.ph
Subject: Re: Stock symbol TXTM

What is the point of the SEC and protecting shareholders? You have a (b)(6) misleading (b)(6) shareholders, saying that Nasdaq is in the bag and they are not even Pink Current, Audited Financials and SEC Reporting. At what point is the SEC going to care about cleaning up blatant fraud?

Thanks,

(b)(6)

On Tue, Sep 27, 2022 at 12:36 PM (b)(6)
wrote:

This is the (b)(6) of TXTM, indicated that Nasdaq is in the bag, yet they are not even SEC reporting. Is that not misleading and lying to shareholders?

(b)(6)

Thanks,

(b)(6)

On Mon, Sep 26, 2022 at 9:45 PM
wrote:

(b)(6)

Hi,

I was under the impression, management for a public traded company cannot promote or pump their own stock.

This is the twitter address for the mgmt, this is blatant self promotion and pumping.

(b)(6)

Twitter should not be used by mgmt to hype up their (b)(6) stock.

Thanks,

(b)(6)

From: Chair
To: TCR-ENF
Subject: FW: (b)(6)
Date: Wednesday, August 24, 2022 9:26:36 AM
Attachments: (b)(6) - SEC Transcript.pdf

From: (b)(6)
Sent: Tuesday, August 23, 2022 6:00 PM
To: Chair <chair@sec.gov>
Subject: (b)(6)

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

<https://www.cnn.com/2022/08/23/tech/twitter-whistleblower-peiter-zatko-security/index.html>

Mr. Gensler,

There is a new report about a Twitter whistleblower receiving considerable attention in the media.

I think that the 2015 Business Wire situation was worse for customers and more blatant than Twitter 2022.

(b)(6)

We would urge the SEC finally to take some action against Business Wire.

Regards

(b)(6)

From: (b)(6)
Sent: Tuesday, July 26, 2022 11:37 AM
To: 'chair@sec.gov' <chair@sec.gov>
Subject: (b)(6)

<https://www.reuters.com/legal/government/two-men-fined-352-mln-over-hack-trade-scheme-2021-06-24/>

Mr. Gensler,

(b)(6)

(b)(6)

Note that the press release hack had been in progress for 5 years and if not for (b)(6) could still be going.

(b)(6)

We cannot understand how Business Wire was not fined for their bad security practices and lying to customers even though there was ample evidence of hacking?

(b)(6)

(b)(6)

I would urge the SEC to reopen the investigation of Business Wire and fine them using a similar model as Facebook for not protecting customer information.

<https://www.sec.gov/divisions/enforce/claims/facebook.htm>

Regards,

(b)(6)

Email. (b)(6)

Mobile. (b)(6)

From: Chair
To: TCR-ENF
Subject: FW: Verification Request (U.S. Securities and Exchange Commission)
Date: Friday, July 15, 2022 8:19:51 AM

From: (b)(6)
Sent: Friday, July 15, 2022 6:39 AM
To: Minister for Finance <minister@finance.gov.ie>
Cc: taoiseach@taoiseach.gov.ie; Chair <chair@sec.gov>; (b)(6)
(b)(6)
Subject: Verification Request (U.S. Securities and Exchange Commission)

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Verification Request (U.S. Securities and Exchange Commission)

This is a request for a "grounded" (see below) verification by Department of Finance of:
A submission by (b)(6) to Department of Finance (b)(6) on 09 Dec 2019
which was subsequently replied to by (b)(6)

(b)(6)
This submission and reply related to Treasuries and the distribution of the attaching coupons

A (b)(6)
Securities and Exchange Commission and members of the Fed Reserve; this (b)(6)

Grounded (verification also needed from Department of Finance (Ireland))
(b)(6) has No Permanent Address This Follows from the refusal of (partial)
Mediation by the State Solicitor (b)(6) in matter of (b)(6)

(b)(6)
(b)(6)

and
(b)(6) has No open Tax Account; This Follows from the refusal of (partial)
Mediation by the State Solicitor (b)(6)

(b)(6)

(b)(6) **SEC Submission)**

Sent on: Sat, 23 Oct 2021, 12:33

Sent to (b)(6)@sec.gov; (b)(6)

Treasury Bonds (Interest trading notifications)

(b)(6)

(b)(6)

Recent Activity on Issue

Recent relevant twitter activity covering general Interest Trading issue mentioning Fed and SEC was 24 Dec 20 [account (b)(6)]

Notifications sent via email:

09 Dec 2019 Minister for Finance (b)(6) [copy of email given below] [reference issued by Ministers Office: Our Ref (b)(6)]

General Notifications sent [copy of email given below]

(b)(6)

Relevant Employment History:

(b)(6)

Notification to Minister for Finance (b)(6) on 09 Dec 2019

Pensioners Revolt [Civilian notes to Minister for Finance (b)(6)]

Reading hint: it may be convenient to read starting with the 'cuts from my Twitters site

(b)(6)

Oireachtas Work

1. AN IMMEDIATE and COMPLETE restriction of all issuing of Government Bonds to be solely and directly made to administrators of ongoing retirement benefits with a NO TRADING barrier on these bonds

2. Recall all Government Bonds currently in circulation with a straight (say) eur1m paid to the current holder of a eur1m Bond with NO PENALTIES*** to be paid to these holders for this 'emergency' early recall

3. The early recalled bonds are to be immediately re-issued to administrators of ongoing retirement benefits, replacing the market value notional bond holding which currently underpins retirement benefits within the State, with a NO TRADING barrier on these bonds

4. Any losses on the trading of Government Bonds are to be severely restricted when assessing Tax liabilities within the state 19/20/21***

*** The 'No Penalties' clause is justified through the common principle that those who are professionally capable cannot claim to be ignorant of the laws that they are trained to act within; in this case those that greedily traded in these assets done so knowing that individuals rely on them for stability in their retirement.

Notes and requests sent to (b)(6) contained a question for The High Court which is to be appealed to European Court and then Globally the question is generally:

Q. Should people, who by law must match their tax authorities approved retirement funds with government issued bonds, be subjected to 'market forces'?

Cuts from my Twitter Site @ (b)(6)

(b)(6)

Pensioners Revolt (Global)

(b)(6)

(b)(6)

(b)(6)

(b)(6)

(b)(6)

General Notifications sent:

Contingency Planning (Financial Services)

The following is a "discussion case" with a 'very likely' contingent in the case or versions of the case happening around the globe; below also is a circular I have been distributing to finance ministers requesting action

'Case: Class Pensioners (Global) vs Financial Services

Type: Breach of Ethics

Description: All those parties who must act within Financial Regulations and who knowingly caused harm to Pensioners (obliged by law) by continuing trading in Government Bonds'

Copy of email sent to Irish and China Finance Ministry requesting action!

Pensioners Revolt (Global) [Civilian notes to Ministry (China)]

The following are a compilation of two emails sent by me to Minister for Finance (Ireland) and are extremely relevant to China Government Bond market and China Pensioners; my contact details are below; there are a few other relevant items on top of my twitter site

Reading hint: it may be convenient to read starting with the 'cuts from my Twitters site

(b)(6)

Action:

1. AN IMMEDIATE and COMPLETE restriction of all issuing of Government Bonds to be solely and directly made to administrators of ongoing retirement benefits with a NO TRADING barrier on these bonds
2. Recall all Government Bonds currently in circulation with a straight (say) eur1m paid to the current holder of a eur1m Bond with NO PENALTIES*** to be paid to these holders for this 'emergency' early recall
3. The early recalled bonds are to be immediately re-issued to administrators of ongoing retirement benefits, replacing the market value notional bond holding which currently underpins retirement benefits within the State, with a NO TRADING barrier on these bonds
4. Any losses on the trading of Government Bonds are to be severely restricted when assessing Tax liabilities within the state 19/20/21***

5. **what would be a safe move?** Make sure that every Defined Contribution pensioner in the State that bought a product using their tax approved retirement fund, with a registered provider, and is currently drawing down that tax authorities approved fund as a pension is credited immediately with a "j-rate" of say 5-6% in an actuarial review

*** The 'No Penalties' clause is justified through the common principle that those who are professionally capable cannot claim to be ignorant of the laws that they are trained to act within; in this case those that greedily traded in these assets done so knowing that individuals rely on them for stability in their retirement.

Notes and requests sent to (b)(6) contained a question for The High Court which is to be appealed to European Court and then Globally the question is generally:

Q. Should people, who by law must match their tax authorities approved retirement funds with government issued bonds, be subjected to 'market forces'?

Cuts from my Twitter Site (b)(6)

(b)(6)

Pensioners Revolt (Global)

(b)(6)

(b)(6)

(b)(6)

My Contact Details:

(b)(6)

Email (b)(6)

Mobile (b)(6)

DOB (b)(6)

(b)(6)

From: Chair
To: Help (OIEA Investor Complaints)
Subject: FW: Why is it legal for brokerages to stop showing us what we originally paid for a stock?
Date: Tuesday, October 25, 2022 11:02:24 AM

From: (b)(6)
Sent: Tuesday, October 25, 2022 7:31 AM
To: madcap@cnbc.com; Chair <chair@sec.gov>; Robinhood <support@robinhood.com>
Subject: Why is it legal for brokerages to stop showing us what we originally paid for a stock?

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Dear Jim Cramer,

This happens to me Too Much: (b)(6) Sometimes it's not too big of a deal, but sometimes it doesn't even look like the stock's in trouble, when it is. (b)(6)

(b)(6)
(b)(6) If Tesla can do it, shouldn't (b)(6) be able to hire the right people for the job? I would think Tesla left (b)(6) a blueprint for many of the stages of putting a car company like that together. I understand the need to raise more capital, (b)(6) but why can't Robinhood put the original price (example: 100s @ \$12.00) under 'portfolio diversity'? If I later buy 100 more shares for \$2.00, then it would 200s/\$7.00, under 'portfolio diversity'. EVEN IF THEY DECIDE 5 OLD SHARES = 1 NEW SHARE, that information, before the shift, should be displayed. The new share calculation of 40 shares @ avg. cost of \$35 (or whatever) remains at the top. Sure, we can look it up, but isn't the trend supposed to be toward transparency? (b)(6)

(b)(6)
(b)(6) Why are they protecting the names of companies that take our money, and not us?!
(b)(6)

I think brokerages should be transparent with what is happening with our S, don't you?

Sincerely,

(b)(6)

P.S. Dear Robinhood--- I realize all the brokerages do it; (b)(6) & I appreciate that. **THANK YOU!!!!**

Get Outlook for Android

From: (b)(6)
To: Chair
Subject: Investing
Date: Wednesday, June 1, 2022 8:52:12 AM

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

(b)(6) YOU are not protecting me. It is obvious that there is corruption in the market and YOU are NOT doing your job to protect (b)(6) from short selling, dark pool trading, and illegal market manipulation. YOU are actually making fun of (b)(6) with SEC posted videos on Youtube, it is all over Twitter, look it up. DO YOUR JOB!

Sincerely,

(b)(6)

From: (b)(6)
To: Chair; CommissionerLee; CommissionerPeirce; CommissionerCrenshaw
Cc: (b)(6)
Subject: Kerrisdale Capital- (b)(6) Short and Distort scheme
Date: Thursday, April 21, 2022 12:31:25 PM

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Good afternoon. I am sending out of concern over the illegal activity engaged in by Kerrisdale Capital and (b)(6). I have been monitoring the companies actions for the past 9 months and in that time have found that (b)(6) hedge fund takes short positions in companies and then when comfortable with their position they release a "short report" which from my understanding is illegal since they have a stake in the company they are attacking. This does a great deal of damage to retail investors (in your company purpose it is stated that the SEC exists to protect retail investors). (b)(6) has targeted (b)(6) with this tactic and caused great financial loss to retail. Yesterday Kerrisdale arrogantly proclaims that they "are short on DWAC" and produced a 27 page short report comprised of 6 months worth of "hit piece articles" by others. No facts. Upon further investigation we found that Kerrisdales largest investment position is in Twitter (a direct competitor of the now existing Truth Social). It is obvious to see that the illegal short and distort tactic is being used to protect (b)(6) Twitter investment while profiting on the short positions placed in DWAC. It is my understanding that Kerrisdale was named as a hedge fund being investigated by the DOJ and SEC. If that is true then (b)(6) is showing disrespect for the rule of law by continuing this behavior. If that is not true then its important in the interest of public trust in your organization that you engage with such and stop the hedge fund criminals. Retail is watching your action and/or inaction closely and at this point our trust is dwindling considering the money involved in Wall Street and how much may be used to have blind eyes turned. If you truly want to protect retail then Kerrisdale has given you all you need to make a start.

Sincerely,

(b)(6)

From: Johnson, Aisha
To: (b)(6)
Cc: Schneider, Scott; Klemmer, Corey
Subject: Q's from Today's Media Briefing/OCM Media Avail Prep
Date: Tuesday, July 12, 2022 4:34:14 PM
Attachments: image001.png
image003.png

Hi Gary,

For today's prep.

(b)(5)

(b)(5)

--

Aisha Johnson
Director of Media Relations
Office of Public Affairs

OFFICE	(b)(6)
MOBILE	
(b)(6)	@sec.gov



**U.S. Securities and
Exchange Commission**

From: Havenstein, Philipp
To: (b)(6)
Cc: Mason, Jahvonta; Yerramalli, Prashant
Subject: Re: Brief Book 5/16 Monday
Date: Friday, May 13, 2022 7:03:21 PM

Hi Gary:

(b)(5) No need to review it again.

Best,

Phil

On May 13, 2022, at 6:09 PM, Mason, Jahvonta (b)(6)@sec.gov> wrote:

Hi Gary,

Please find your Monday brief book materials included. There may be one or two additional items sent later.

MONDAY BRIEF BOOK

(b)(5)

(b)(5)

Best,

Jahvonta

Jahvonta A Mason

Special Assistant to the Chief of Staff

Office of Chair Gary Gensler | U.S. Securities and Exchange Commission

(b)(6)

From: (b)(6)
To: Rao, Sai; Mason, Jahvonta; Schneider, Scott; Johnson, Aisha; Burris, Kevin
Cc: Wachter, Jessica; Yerramalli, Prashant; Slavkin Corzo, Heather; Fischer, Amanda; Large, Adam
Subject: RE: Brief Book 8/9 Tuesday
Date: Tuesday, August 9, 2022 12:20:37 PM

Thanks. Looping in Scott, Kevin, & Aisha as well.

From: Rao, Sai (b)(6)@SEC.GOV>
Sent: Tuesday, August 9, 2022 10:29 AM
To: Mason, Jahvonta (b)(6)@SEC.GOV> (b)(6)@SEC.GOV>
Cc: Wachter, Jessica (b)(6)@SEC.GOV>; Yerramalli, Prashant (b)(6)@SEC.GOV>; Slavkin Corzo, Heather (b)(6)@SEC.GOV>; Fischer, Amanda (b)(6)@SEC.GOV>; Large, Adam (b)(6)@SEC.GOV>
Subject: RE: Brief Book 8/9 Tuesday

Hi (b)(6)

ISDA told us in our meeting this morning that they will publish the survey report tomorrow morning.

Thanks,
Sai

From: Mason, Jahvonta (b)(6)@SEC.GOV>
Sent: Monday, August 08, 2022 6:12 PM
To: (b)(6)@SEC.GOV>
Cc: Pokorny, Jenna (b)(6)@SEC.GOV>; Berkovitz, Dan (b)(6)@SEC.GOV>; Bloom, Liz (b)(6)@SEC.GOV>; Burris, Kevin (b)(6)@SEC.GOV>; Chea, Keo (b)(6)@SEC.GOV>; Fischer, Amanda (b)(6)@SEC.GOV>; Fischer, YJ (b)(6)@SEC.GOV>; Frayer, Corey (b)(6)@SEC.GOV>; Havenstein, Philipp (b)(6)@SEC.GOV>; Johnson, Aisha (b)(6)@SEC.GOV>; Klemmer, Corey (b)(6)@SEC.GOV>; Large, Adam (b)(6)@SEC.GOV>; Morse, Mika (b)(6)@SEC.GOV>; Rao, Sai (b)(6)@SEC.GOV>; Roper, Barbara (b)(6)@SEC.GOV>; Schneider, Scott (b)(6)@SEC.GOV>; Slavkin Corzo, Heather (b)(6)@SEC.GOV>; Songer, Jennifer H (b)(6)@SEC.GOV>; Stone, Matthew (b)(6)@SEC.GOV>; Tenreiro, Jorge (b)(6)@SEC.GOV>; Wachter, Jessica (b)(6)@SEC.GOV>; Yerramalli, Prashant (b)(6)@SEC.GOV>
Subject: Brief Book 8/9 Tuesday

Hi Gary,

Here are your Tuesday brief book materials.

(b)(5)

(b)(5)

Jahvonta A Mason

Special Assistant to the Chief of Staff

Office of Chair Gary Gensler | U.S. Securities and Exchange Commission

(b)(6)

From: Mason, Jahvonta
To: (b)(6)
Cc: Schneider, Scott; Yerramalli, Prashant
Subject: RE: Brief Book 8/15 Monday
Date: Sunday, August 14, 2022 9:30:41 PM

Hi Gary,

Sharing the twitter calendar for the week with you, for approval. [Link here](#).

Jahvonta

From: Mason, Jahvonta
Sent: Friday, August 12, 2022 5:06 PM
To: (b)(6)@SEC.GOV>
Cc: Pokorny, Jenna (b)(6)@sec.gov>; Berkovitz, Dan (b)(6)@SEC.GOV>; Bloom, Liz (b)(6)@sec.gov>; Burris, Kevin (b)(6)@SEC.GOV>; Chea, Keo (b)(6)@SEC.GOV>; Fischer, Amanda (b)(6)@sec.gov>; Fischer, YJ (b)(6)@SEC.GOV>; Frayer, Corey (b)(6)@SEC.GOV>; Havenstein, Philipp (b)(6)@SEC.GOV>; Johnson, Aisha (b)(6)@sec.gov>; Klemmer, Corey (b)(6)@sec.gov>; Large, Adam (b)(6)@SEC.GOV>; Morse, Mika (b)(6)@sec.gov>; Rao, Sai (b)(6)@SEC.GOV>; Roper, Barbara (b)(6)@SEC.GOV>; Schneider, Scott (b)(6)@SEC.GOV>; Slavkin Corzo, Heather (b)(6)@sec.gov>; Songer, Jennifer H (b)(6)@SEC.GOV>; Stone, Matthew (b)(6)@sec.gov>; Tenreiro, Jorge (b)(6)@SEC.GOV>; Wachter, Jessica (b)(6)@sec.gov>; Yerramalli, Prashant (b)(6)@SEC.GOV>
Subject: Brief Book 8/15 Monday

Hi Gary,

Here are your [Monday brief book](#) materials.

(b)(5)

(b)(5)

Jahvonta A Mason

Special Assistant to the Chief of Staff

Office of Chair Gary Gensler | U.S. Securities and Exchange Commission

(b)(6)

From: (b)(6)
To: Nagashunmugam, Minu
Cc: Havenstein, Philipp; Yerramalli, Prashant
Subject: RE: Brief Book 9/12 Monday
Date: Monday, September 12, 2022 2:02:33 PM
Attachments: 2. FY 2024 OMB Letter Draft v.9 (9.9.22) (002) (b)(6) docx

Please find attached a slightly revised version. Approved as attached.

From: Nagashunmugam, Minu (b)(6)@SEC.GOV>
Sent: Monday, September 12, 2022 9:57 AM
To: (b)(6)@SEC.GOV>
Cc: Havenstein, Philipp (b)(6)@SEC.GOV>; Yerramalli, Prashant (b)(6)@SEC.GOV>
Subject: RE: Brief Book 9/12 Monday

Hi Gary – My apologies if you have already spoken to staff about this, but I wanted to (b)(5)

(b)(5)

From: Nagashunmugam, Minu (b)(6)@SEC.GOV>
Sent: Friday, September 9, 2022 5:57 PM
To: (b)(6)@SEC.GOV>
Cc: Berkovitz, Dan (b)(6)@SEC.GOV>; Bloom, Liz (b)(6)@SEC.GOV>; Burris, Kevin (b)(6)@SEC.GOV>; Chea, Keo (b)(6)@SEC.GOV>; Fischer, Amanda (b)(6)@SEC.GOV>; Fischer, YJ (b)(6)@SEC.GOV>; Frayer, Corey (b)(6)@SEC.GOV>; Havenstein, Philipp (b)(6)@SEC.GOV>; Johnson, Aisha (b)(6)@SEC.GOV>; Klemmer, Corey (b)(6)@SEC.GOV>; Large, Adam (b)(6)@SEC.GOV>; Morse, Mika (b)(6)@SEC.GOV>; Pokorny, Jenna (b)(6)@SEC.GOV>; Rao, Sai (b)(6)@SEC.GOV>; Roper, Barbara (b)(6)@SEC.GOV>; Schneider, Scott (b)(6)@SEC.GOV>; Slavkin Corzo, Heather (b)(6)@SEC.GOV>; Songer, Jennifer H (b)(6)@SEC.GOV>; Stone, Matthew (b)(6)@SEC.GOV>; Sutaria, Ajay (b)(6)@SEC.GOV>; Tenreiro, Jorge (b)(6)@SEC.GOV>; Wachter, Jessica (b)(6)@SEC.GOV>; Yerramalli, Prashant (b)(6)@SEC.GOV>
Subject: Brief Book 9/12 Monday

Gary - Here are your Monday brief book materials.

(b)(5)

(b)(5)

Minu Nagashunmugam

Special Assistant to the Chief of Staff

Office of Chair Gary Gensler | U.S. Securities and Exchange Commission

(b)(6)

From: Rao, Sai
To: (b)(6); Nagashunmugam, Minu; Slavkin Corzo, Heather; Wachter, Jessica
Subject: RE: Brief Book 10/8 - 10/9 Weekend
Date: Sunday, October 9, 2022 4:44:48 PM
Attachments: (b)(5)

Hi (b)(6)

(b)(5)

Enjoy the rest of the long weekend,
Sai

From: Nagashunmugam, Minu (b)(6)@SEC.GOV>
Sent: Friday, October 07, 2022 6:02 PM
To: (b)(6)@SEC.GOV>
Cc: Berkovitz, Dan (b)(6)@SEC.GOV>; Bloom, Liz (b)(6)@SEC.GOV>; Burris, Kevin (b)(6)@SEC.GOV>; Chea, Keo (b)(6)@SEC.GOV>; Fischer, Amanda (b)(6)@SEC.GOV>; Fischer, YJ (b)(6)@SEC.GOV>; Frayer, Corey (b)(6)@SEC.GOV>; Havenstein, Philipp (b)(6)@SEC.GOV>; Johnson, Aisha (b)(6)@SEC.GOV>; Klemmer, Corey

(b)(6)@SEC.GOV>; Krawitz, Jacob D. (b)(6)@sec.gov>; Morse, Mika (b)(6)@SEC.GOV>; Percival, Heather (b)(6)@SEC.GOV>; Pokorny, Jenna (b)(6)@SEC.GOV>; Rao, Sai (b)(6)@SEC.GOV>; Roper, Barbara (b)(6)@SEC.GOV>; Schneider, Scott (b)(6)@SEC.GOV>; Slavkin Corzo, Heather (b)(6)@SEC.GOV>; Stone, Matthew (b)(6)@SEC.GOV>; Sutaria, Ajay (b)(6)@SEC.GOV>; Tenreiro, Jorge (b)(6)@SEC.GOV>; Wachter, Jessica (b)(6)@SEC.GOV>; Yerramalli, Prashant (b)(6)@SEC.GOV>; Nagashunmugam, Minu (b)(6)@SEC.GOV>

Subject: Brief Book 10/8 - 10/9 Weekend

Gary - Here are your weekend brief book materials. This email will be bumped up on Monday with any additions for Tuesday highlighted in yellow.

(b)(5)

(b)(5)

Minu Nagashunmugam

Special Assistant to the Chief of Staff

Office of Chair Gary Gensler | U.S. Securities and Exchange Commission

(b)(6)

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott
Subject: RE: Brief Book, 1.3.2021, Briefing
Date: Tuesday, January 4, 2022 6:17:57 PM

I approve,

Gary

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Monday, January 3, 2022 4:44 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 1.3.2021, Briefing

Hey Jahvonta,

Please find attached Gary's Twitter Editorial Calendar for this week.

(b)(5)

Basmah

--

Basmah Nada

Digital Director | Office of Public Affairs
U.S. Securities and Exchange Commission

(b)(6)@sec.gov

cell: (b)(6)

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott
Subject: RE: Brief Book, 1.17.2021, Briefing
Date: Monday, January 17, 2022 9:45:34 PM

Looks good to me

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Friday, January 14, 2022 3:51 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 1.17.2021, Briefing
Hey Jahvonta,

Please find attached Gary's Twitter Editorial Calendar for next week

(b)(5)

Thanks,
Basmah

--

Basmah Nada

Digital Director | Office of Public Affairs
U.S. Securities and Exchange Commission

(b)(6)@sec.gov

cell: (b)(6)

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott
Subject: RE: Brief Book, 1.31.2021, Briefing
Date: Monday, January 31, 2022 6:59:12 PM

ok

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Monday, January 31, 2022 3:49 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 1.31.2021, Briefing

Hey Jahvonta,

Can we include this in Gary's Brief Book?

(b)(5)

Thanks,

Basmah

--

Basmah Nada

Digital Director | Office of Public Affairs
U.S. Securities and Exchange Commission

(b)(6)@sec.gov

cell: (b)(6)

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott
Subject: RE: Brief Book, 2.7.2022, Briefing
Date: Saturday, February 5, 2022 5:54:08 PM

ok

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Friday, February 4, 2022 5:12 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 2.7.2022, Briefing
Hey Jahvonta,

Please find attached Gary's Twitter Editorial Calendar for next week.

(b)(5)

Thanks,
Basmah

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott
Subject: RE: Brief Book, 2.14.2022, Briefing
Date: Monday, February 14, 2022 6:14:14 PM

approved

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Monday, February 14, 2022 5:05 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 2.14.2022, Briefing

Hey Jahvonta,

Can we include this in Gary's brief book?

(b)(5)

Thanks,
Basmah

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott; Morse, Mika; Fischer, Amanda
Subject: RE: Brief Book, 3.1.2022, Briefing
Date: Tuesday, March 1, 2022 10:30:37 PM
Attachments: image001.png
image002.png

(b)(5)

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Tuesday, March 1, 2022 5:18 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 3.1.2022, Briefing

Hey Jahvonta,

Can we include this in Gary's brief book?

(b)(5)

Thanks,

Basmah

Basmah Nada

Digital Director
Office of Public Affairs

MOBILE (b)(6)

(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott
Subject: RE: Brief Book, 3.21.2022, Briefing
Date: Tuesday, March 22, 2022 9:54:40 AM
Attachments: image001.png
image002.png

approved

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Monday, March 21, 2022 5:20 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 3.21.2022, Briefing

Hey Jahvonta,

Can we include this in Gary's brief book?

(b)(5)

Thanks,

Basmah

Basmah Nada

Digital Director

Office of Public Affairs

MOBILE (b)(6)

(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Schneider, Scott
Cc: Mason, Jahvonta
Subject: RE: Brief Book, 3.29.2022, Briefing
Date: Monday, March 28, 2022 9:35:59 PM
Attachments: image001.png
image002.png

The twitter calendar looks fine, though I wonder if we might add:

(b)(5)

Gary

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Monday, March 28, 2022 4:48 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Subject: Brief Book, 3.29.2022, Briefing

Hey Jahvonta,

Can we include this in Gary's brief book?

(b)(5)

Thanks,

Basmah

Basmah Nada

Digital Director
Office of Public Affairs

MOBILE (b)(6)

(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott
Subject: RE: Brief Book, 4.18.2022, Briefing
Date: Saturday, April 16, 2022 6:22:05 PM
Attachments: image001.png
image002.png

Before I sign off,

(b)(5)

Gary

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Friday, April 15, 2022 3:56 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 4.18.2022, Briefing

Hey Jahvonta,

Can we include this in Gary's brief book?

(b)(5)

Have a great weekend!

Thanks,

Basmah

Basmah Nada

Digital Director
Office of Public Affairs

MOBILE (b)(6)

(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott; Bloom, Liz
Subject: RE: Brief Book, 4.21.2022, Briefing
Date: Thursday, April 21, 2022 7:37:56 PM
Attachments: image001.png
image002.png

Basmah,
Looks great. Approved.
Gary

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Thursday, April 21, 2022 4:50 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 4.21.2022, Briefing
Hey Jahvonta,
Can we include this in Gary's brief book today?

(b)(5)

Thanks,
Basmah
Basmah Nada
Digital Director
Office of Public Affairs
MOBILE (b)(6)
(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott
Subject: RE: Brief Book, 5.2.2022, Briefing
Date: Saturday, April 30, 2022 5:44:29 PM
Attachments: image001.png
image002.png

Looks good. approved

I look forward to reviewing the (b)(5)

Gary

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Friday, April 29, 2022 5:11 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 5.2.2022, Briefing

Hey Jahvonta,

Can we include this in Gary's brief book?

(b)(5)

Have a great weekend!

Thanks,

Basmah

Basmah Nada

Digital Director
Office of Public Affairs

MOBILE (b)(6)

(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott
Subject: RE: Brief Book, 5.9.2022, Briefing
Date: Monday, May 9, 2022 6:42:19 PM
Attachments: image001.png
image002.png

(b)(5)

Approved otherwise.

Gary

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Monday, May 9, 2022 4:08 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 5.9.2022, Briefing
Hey Jahvonta,
Can we include this in Gary's brief book?

(b)(5)

Thanks,

Basmah

Basmah Nada

Digital Director
Office of Public Affairs

MOBILE (b)(6)

(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott
Subject: RE: Brief Book, 5.16.2022, Briefing
Date: Monday, May 16, 2022 9:49:41 AM
Attachments: image001.png
image002.png

Thx. That's great

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Monday, May 16, 2022 9:45 AM
To: Gensler, Gary (b)(6)@SEC.GOV>; Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Re: Brief Book, 5.16.2022, Briefing

Hey Gary,

Got it, thank you for these notes! Just noted a few things below.

(b)(5)

Best,
Basmah

From: "Gensler, Gary" (b)(6)@SEC.GOV>
Date: Saturday, May 14, 2022 at 7:24 PM
To: "Nada, Basmah" (b)(6)@SEC.GOV>, "Mason, Jahvonta" (b)(6)@SEC.GOV>
Cc: "Schneider, Scott" (b)(6)@SEC.GOV>
Subject: RE: Brief Book, 5.16.2022, Briefing

(b)(5)

Gary

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Friday, May 13, 2022 4:34 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 5.16.2022, Briefing

Hey Jahvonta,

Can we include this in Gary's brief book?

(b)(5)

(b)(5)

Have a great weekend!

Thanks,

Basmah

Basmah Nada

Digital Director

Office of Public Affairs

MOBILE (b)(6)

(b)(6) @sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott
Subject: RE: Brief Book, 5.23.2022, Briefing
Date: Sunday, May 22, 2022 4:35:26 PM
Attachments: image001.png
image002.png
2022-05-23 (b)(6) twitter Editorial Calendar (002) GG.docx

Slightly edited approved twitter calendar attached.

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Friday, May 20, 2022 4:27 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 5.23.2022, Briefing

Hey Jahvonta,

Can we include this in Gary's brief book?

(b)(5)

Have a great weekend!

Thanks,

Basmah

Basmah Nada

Digital Director

Office of Public Affairs

MOBILE (b)(6)

(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta
Cc: Schneider, Scott
Subject: RE: Brief Book, 7.25.2022, Briefing
Date: Friday, July 29, 2022 12:34:32 PM
Attachments: image001.png
image002.png

Good to go on (b)(5)

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Friday, July 29, 2022 12:24 PM
To: Gensler, Gary (b)(6)@SEC.GOV>; Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Re: Brief Book, 7.25.2022, Briefing

Hi Gary,

Are we good to post the (b)(5)

(b)(5)

The team is also working really hard trying to wrap up the (b)(5) and we're hoping to have that your way soon.

Thanks,
Basmah

From: "Gensler, Gary" (b)(6)@SEC.GOV>
Date: Sunday, July 24, 2022 at 7:47 PM
To: "Nada, Basmah" (b)(6)@SEC.GOV>, "Mason, Jahvonta" (b)(6)@SEC.GOV>
Cc: "Schneider, Scott" (b)(6)@SEC.GOV>
Subject: RE: Brief Book, 7.25.2022, Briefing

Thank you for sharing. Some modest edits:

(b)(5)

Otherwise, all looks good.

Gary

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Friday, July 22, 2022 5:19 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 7.25.2022, Briefing

U.S. Securities and Exchange Commission

Hey Jahvonta,

Can we include this in Gary's brief

book? (b)(5)

(b)(5)

(b)(5)

Thanks,

Basmah

Basmah Nada

Digital Director

Office of Public Affairs

MOBILE (b)(6)

(b)(6) @sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Havenstein, Philipp
Cc: Schneider, Scott
Subject: RE: Brief Book, 8.2.2022, Briefing
Date: Tuesday, August 2, 2022 6:16:04 PM
Attachments: image001.png
image002.png

Approve the twitter calendar other than the following:

(b)(5)

Gary

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Tuesday, August 2, 2022 5:17 PM
To: Havenstein, Philipp (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 8.2.2022, Briefing

Hey Phil,

Can we include this in Gary's brief book?

(b)(5)

(b)(5)

Thanks,
Basmah

Basmah Nada
Digital Director
Office of Public Affairs

MOBILE (b)(6)
(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Nagashunmugam, Minu; Mason, Jahvonta; Bloom, Liz
Cc: Schneider, Scott; Pena, Arlene
Subject: RE: Brief Book, 8.19.2022, Briefing
Date: Tuesday, August 23, 2022 1:00:03 PM
Attachments: image004.png
image005.png
image006.png

Approved. Thanks to entire team.

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Tuesday, August 23, 2022 12:53 PM
To: Gensler, Gary (b)(6)@SEC.GOV>; Nagashunmugam, Minu (b)(6)@SEC.GOV>; Mason, Jahvonta (b)(6)@SEC.GOV>; Bloom, Liz (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>; Pena, Arlene (b)(6)@SEC.GOV>
Subject: Re: Brief Book, 8.19.2022, Briefing

Hey Gary,

(b)(5)

Thanks,
Basmah

From: "Gensler, Gary" (b)(6)@SEC.GOV>
Date: Sunday, August 21, 2022 at 4:32 PM
To: "Nada, Basmah" (b)(6)@SEC.GOV>, "Nagashunmugam, Minu" (b)(6)@SEC.GOV>, "Mason, Jahvonta" (b)(6)@SEC.GOV>, "Bloom, Liz" (b)(6)@SEC.GOV>
Cc: "Schneider, Scott" (b)(6)@SEC.GOV>, Arlene Pena (b)(6)@SEC.GOV>
Subject: RE: Brief Book, 8.19.2022, Briefing

Basmah,

This all looks good - with one edit within the (b)(5) video:

1)

(b)(5)

(b)(5)

Copying Liz as well with (b)(6)

Thanks,

Gary

From: Nada, Basmah (b)(6)@SFC.GOV>

Sent: Friday, August 19, 2022 2:06 PM

To: Nagashunmugam, Minu (b)(6)@SFC.GOV>; Mason, Jahvonta (b)(6)@SFC.GOV>

Cc: Schneider, Scott (b)(6)@SFC.GOV>; Pena, Arlene (b)(6)@SFC.GOV>

Subject: Brief Book, 8.19.2022, Briefing

Hey Jahvonta,

Can we include this in Gary's brief book?

(b)(5)

(b)(5)

Thanks,

Basmah

Basmah Nada

Digital Director

Office of Public Affairs

MOBILE (b)(6)

(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Mason, Jahvonta; Nagashunmugam, Minu
Cc: Schneider, Scott; Bloom, Liz
Subject: RE: Brief Book, 8.26.2022, Briefing
Date: Sunday, August 28, 2022 9:07:50 PM
Attachments: image001.png
image002.png

approved

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Friday, August 26, 2022 5:05 PM
To: Mason, Jahvonta (b)(6)@SEC.GOV>; Nagashunmugam, Minu (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>; Bloom, Liz (b)(6)@SEC.GOV>
Subject: Brief Book, 8.26.2022, Briefing

Hey Jahvonta and Minu,

Can we include this in Gary's brief book?

(b)(5)

(b)(5)

Thanks,
Basmah

Basmah Nada
Digital Director
Office of Public Affairs

MOBILE (b)(6)
(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Schneider, Scott; Nada, Basmah; Nagashunmugam, Minu
Subject: RE: Brief Book, 9.12.2022, Briefing
Date: Wednesday, September 21, 2022 10:51:21 AM
Attachments: image001.png
image002.png

Works for me. approved

From: Schneider, Scott (b)(6)@SEC.GOV>
Sent: Wednesday, September 21, 2022 9:20 AM
To: Gensler, Gary (b)(6)@SEC.GOV>; Nada, Basmah (b)(6)@SEC.GOV>; Nagashunmugam, Minu (b)(6)@SEC.GOV>
Subject: RE: Brief Book, 9.12.2022, Briefing

Gary (b)(5)
(b)(5)

From: Gensler, Gary (b)(6)@SLC.GOV>
Sent: Monday, September 19, 2022 7:19 PM
To: Nada, Basmah (b)(6)@SLC.GOV>; Nagashunmugam, Minu (b)(6)@SLC.GOV>
Cc: Schneider, Scott (b)(6)@SLC.GOV>
Subject: RE: Brief Book, 9.12.2022, Briefing

Approved, other than (b)(5)
(b)(5) (b)(5)
(b)(5)

From: Nada, Basmah (b)(6)@SFC.GOV>
Sent: Monday, September 19, 2022 5:03 PM
To: Nagashunmugam, Minu (b)(6)@SFC.GOV>
Cc: Schneider, Scott (b)(6)@SFC.GOV>
Subject: Brief Book, 9.12.2022, Briefing

Hey Minu,

Can we include this in Gary's brief book?

(b)(5)

(b)(5)

Thanks,
Basmah

Basmah Nada
Digital Director
Office of Public Affairs

MOBILE (b)(6)
(b)(6) @sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Nagashunmugam, Minu
Cc: Schneider, Scott; Bloom, Liz; Stone, Matthew
Subject: RE: Brief Book, 9.12.2022, Briefing
Date: Sunday, September 11, 2022 1:30:35 PM
Attachments: image001.png
image002.png

approved

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Friday, September 9, 2022 3:59 PM
To: Nagashunmugam, Minu (b)(6)@SEC.GOV>
Cc: Mason, Jahvonta (b)(6)@SEC.GOV>; Schneider, Scott (b)(6)@SEC.GOV>; Bloom, Liz (b)(6)@SEC.GOV>; Stone, Matthew (b)(6)@SEC.GOV>
Subject: Brief Book, 9.12.2022, Briefing

Hey Minu,

Can we include this in Gary's brief book?

(b)(5)

(b)(5)

Thanks,
Basmah

Basmah Nada
Digital Director
Office of Public Affairs

MOBILE (b)(6)
(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Nagashunmugam, Minu
Cc: Schneider, Scott
Subject: RE: Brief Book, 9.23.2022, Briefing
Date: Saturday, September 24, 2022 4:05:14 PM
Attachments: image001.png
image002.png

I made some modest edits to several tweets. Most significant, I moved the Monday tweet to Tuesday. (b)(6)

(b)(6)

Thanks,

Gary

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Friday, September 23, 2022 5:24 PM
To: Nagashunmugam, Minu (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 9.23.2022, Briefing

Hey Minu,
Can we include this in Gary's brief book?

(b)(5)

(b)(5)

Thanks,
Basmah
Basmah Nada
Digital Director
Office of Public Affairs

MOBILE (b)(6)
(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Schneider, Scott; Nada, Basmah; Nagashunmugam, Minu
Cc: Jarvis, Cory; Stone, Matthew
Subject: RE: Brief Book, 10.7.2022, Briefing
Date: Tuesday, October 11, 2022 5:59:35 PM
Attachments: image001.png
image002.png

Yes, This tweet works for me. Thanks, Gary

From: Schneider, Scott (b)(6)@SEC.GOV>
Sent: Tuesday, October 11, 2022 5:20 PM
To: Gensler, Gary (b)(6)@SEC.GOV>; Nada, Basmah (b)(6)@SEC.GOV>; Nagashunmugam, Minu (b)(6)@SEC.GOV>
Cc: Jarvis, Cory (b)(6)@SEC.GOV>; Stone, Matthew (b)(6)@SEC.GOV>
Subject: RE: Brief Book, 10.7.2022, Briefing

(b)(5)

From: Gensler, Gary (b)(6)@SEC.GOV>
Sent: Monday, October 10, 2022 11:15 AM
To: Nada, Basmah (b)(6)@SEC.GOV>; Nagashunmugam, Minu (b)(6)@SEC.GOV>
Cc: Jarvis, Cory (b)(6)@SEC.GOV>; Schneider, Scott (b)(6)@SEC.GOV>; Stone, Matthew (b)(6)@SEC.GOV>
Subject: RE: Brief Book, 10.7.2022, Briefing

Thanks for both of these. A couple of small edits.

(b)(5)

Gary

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Friday, October 7, 2022 3:17 PM
To: Nagashunmugam, Minu (b)(6)@SEC.GOV>
Cc: Jarvis, Cory (b)(6)@SEC.GOV>; Schneider, Scott (b)(6)@SEC.GOV>; Stone, Matthew (b)(6)@SEC.GOV>
Subject: Brief Book, 10.7.2022, Briefing

Hey Minu,

Can we include the following 2 things in (b)(6) Brief Book?

(b)(5)

Thanks,

Basmah

Basmah Nada
Digital Director
Office of Public Affairs

MOBILE (b)(6)
(b)(6) @sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Nagashunmugam, Minu
Cc: Schneider, Scott
Subject: RE: Brief Book, 10.24.2022, Briefing
Date: Monday, October 24, 2022 6:17:03 PM
Attachments: image001.png
image002.png

Approved.

Gary

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Monday, October 24, 2022 4:37 PM
To: Nagashunmugam, Minu (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 10.24.2022, Briefing

Hey Minu,

Can we include this in Gary's brief book?

(b)(5)

(b)(5)

Thanks,
Basmah

Basmah Nada
Digital Director
Office of Public Affairs

MOBILE (b)(6)
(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: Gensler, Gary
To: Nada, Basmah; Nagashunmugam, Minu
Cc: Schneider, Scott
Subject: RE: Brief Book, 10.31.2022, Briefing
Date: Monday, October 31, 2022 6:20:27 PM
Attachments: image001.png
image002.png

approved

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Monday, October 31, 2022 5:26 PM
To: Nagashunmugam, Minu (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: Brief Book, 10.31.2022, Briefing

Hey Minu,

Can we include this in Gary's brief book?

(b)(5)

(b)(5)

Thanks,
Basmah

Basmah Nada
Digital Director
Office of Public Affairs

MOBILE (b)(6)
(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: (b)(6)
To: Nada, Basmah
Cc: Schneider, Scott
Subject: RE: For Review: 4/25 Twitter Editorial Calendar
Date: Saturday, April 23, 2022 3:47:21 PM
Attachments: image001.png
image002.png

Approved. (b)(5)

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Saturday, April 23, 2022 1:23 PM
To: (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: For Review: 4/25 Twitter Editorial Calendar

Hey Gary,

(b)(5)

Best,

Basmah

Basmah Nada

Digital Director
Office of Public Affairs

MOBILE (b)(6)

(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: (b)(6)
To: Nada, Basmah
Cc: Schneider, Scott; Bloom, Liz
Subject: RE: For Review: Cybersecurity Video
Date: Monday, October 31, 2022 5:38:55 PM
Attachments: image001.png
image002.png

Thanks to the team and you

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Monday, October 31, 2022 2:33 PM
To: (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>; Bloom, Liz (b)(6)@SEC.GOV>
Subject: Re: For Review: Cybersecurity Video

Thank you! The video is now live: <https://twitter.com/GaryGensler/status/1587150579150700544>

From: (b)(6)@SEC.GOV>
Date: Monday, October 31, 2022 at 2:01 PM
To: "Nada, Basmah" (b)(6)@SEC.GOV>
Cc: "Schneider, Scott" (b)(6)@SEC.GOV>, "Bloom, Liz" (b)(6)@SEC.GOV>
Subject: RE: For Review: Cybersecurity Video

Looks good to go. Approved

From: Nada, Basmah (b)(6)@SEC.GOV>
Sent: Monday, October 31, 2022 1:22 PM
To: (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>; Bloom, Liz (b)(6)@SEC.GOV>
Subject: For Review: Cybersecurity Video

Hey Gary,

Can you let us know if you're good with us pushing out the following tweet and video today?

@SEC.Gov we're working on cybersecurity & cyber hygiene policy around public issuers' incident reporting & on data privacy in relation to the financial sector—so you're not spooked about where your data is.

Happy Halloween & Happy last day of

<attach video>

Video here:

(b)(5)

Thanks,
Basmah

Basmah Nada
Digital Director
Office of Public Affairs

MOBILE (b)(6)
(b)(6)@sec.gov

**U.S. Securities and
Exchange Commission**

From: [Kate Finn](#)
To: [Chair](#)
Cc: [Chea, Keg](#); [Shannon Jowett](#)
Subject: Re: Invitation to deliver keynote address at Toward Net Zero by 2050 conference on April 26, 2022, in Vancouver Canada
Date: Friday, February 4, 2022 3:01:05 PM
Attachments: [invite_ChairmanGensler_FNMPCConference_April26.pdf](#)

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Dear Chairman Gensler:

I write to ask your consideration to speak at a major North American conference that my organization, [First Peoples Worldwide](#), is co-hosting, April 25-26, 2022, with the [First Nations Major Projects Coalition](#), in Vancouver, B.C., Canada. In particular, **we would like to know if you are interested and available to give the keynote address on April 26, introducing a series of panels that explore where business, finance, and Indigenous worldviews are coalescing around net zero policy towards mutually beneficial sustainable development.**

We feel the conference would greatly benefit from your insights and perspective on any number of related topics you've recently addressed: the link between sustainable finance and the urgency necessary to meet climate goals as ESG metrics are in development; the ways that policy can address the racial investing gap given the risks and opportunities inherent in the move to a low carbon economy; and/or the data required in disclosure regimes for companies and investors to address historical biases in emerging policy in ways that guarantee fair access and prices in financial markets. The conference will bring together over 1,500 delegates from Indigenous communities, private sector executives, and government heads from both the U.S. and Canada for two days of expert discussions. A primary goal of the conference is to coordinate and streamline the conversation between Indigenous nations in both countries, as well as the many corporate sectors and government agencies on both sides of the border involved in the transition to a net zero global economy.

We have partnered to bring together American and Canadian speakers and attendees from the corporate sector (e.g., representatives from the electric vehicle battery mineral supply chain), governments, and Indigenous nations, businesses and organizations (e.g., Chiefs of Indigenous nations with equity ownership of clean energy infrastructure). Notable speakers who have already confirmed attending our conference include:

- Honorable Bruce Ralston, Minister of Energy, Mines and Low Carbon Innovation, British Columbia
- Honorable Jonathan Wilkinson Minister of Natural Resources Canada
- Mark Cutifani, Global CEO, Anglo American
- Ivan Vella, Chief Executive, Aluminum, Rio Tinto
- Aimee Boulanger, Executive Director, Initiative for Responsible Mining Assurance
- Bryan van Stippen, Program Director, National Indian Carbon Coalition
- Tanksi Clairmont, Director, Tribal Solar Accelerator Fund
- Robert Blake, Executive Director, Native Sun Community Power Development

Invitations are also under consideration from Apple, Microsoft, Tesla, Ford, members of the U.S. Department of the Interior and the Department of Energy, and high-profile tribal and First Nations entities.

First Peoples Worldwide has worked more than 25 years with Indigenous and industry leaders to better implement the rights of Indigenous Peoples in investment, development, and through the capital markets. First Nations Major Project Coalition, our Canadian co-host of this conference, is a national 80+ Indigenous nation collective in Canada working towards the enhancement of the economic well-being of its members, with a project portfolio exceeding a combined total capital cost of over \$17 billion. A key area of focus is to support Indigenous ownership opportunities of major clean energy, natural resource, and infrastructure projects such as electricity, solar, hydrogen, geothermal, transmission lines, and battery mineral supply.

To have your keynote to provide direction to this conference would be an excellent touchstone as to where the U.S. and Canada can work together to accelerate partnerships with Indigenous nations and sustainable economic development.

Please find enclosed a draft agenda to give you a sense of the net zero and related topics that this conference will cover.

To confirm your participation, please have your office reach out to me by email at kathleen.finn@colorado.edu, or call at (b)(6) Please do not hesitate to contact me directly if you have any questions. I look forward to hearing from you at your convenience.

Best,

Kate Finn

--

Kate R. Finn, Esq.

Executive Director

First Peoples Worldwide

University of Colorado

Mobile: (b)(6)

Kathleen.finn@colorado.edu

From: Gensler, Gary
To: Hirsch, David L; Tenreiro, Jorge
Cc: Fischer, Amanda; Frayer, Corey; Schneider, Scott
Subject: RE: Money Stuff: DeFi Discovers New Market Manipulations
Date: Thursday, October 13, 2022 2:15:00 PM

Thanks for this update

From: Hirsch, David L (b)(6)@SEC.GOV>
Sent: Thursday, October 13, 2022 12:08 PM
To: Gensler, Gary (b)(6)@SEC.GOV>; Tenreiro, Jorge (b)(6)@SEC.GOV>
Cc: Fischer, Amanda (b)(6)@SEC.GOV>; Frayer, Corey (b)(6)@SEC.GOV>; Schneider, Scott (b)(6)@SEC.GOV>
Subject: RE: Money Stuff: DeFi Discovers New Market Manipulations

(b)(5)

From: Gensler, Gary (b)(6)@SEC.GOV>
Sent: Thursday, October 13, 2022 11:52 AM
To: Hirsch, David L (b)(6)@SEC.GOV>; Tenreiro, Jorge (b)(6)@SEC.GOV>
Cc: Fischer, Amanda (b)(6)@SEC.GOV>; Frayer, Corey (b)(6)@SEC.GOV>; Schneider, Scott (b)(6)@SEC.GOV>
Subject: FW: Money Stuff: DeFi Discovers New Market Manipulations

FYI (b)(5) or otherwise

From: Matt Levine <noreply@mail.bloombergview.com>
Sent: Wednesday, October 12, 2022 2:33 PM
To: Gensler, Gary (b)(6)@SEC.GOV>
Subject: Money Stuff: DeFi Discovers New Market Manipulations

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

The simplest form of market manipulation is: Buy a lot of Thing X, pushing its price up. Sell it at the new high price, for a profit. This,



Bloomberg



Mango

The simplest form of market manipulation is:

1. Buy a lot of Thing X, pushing its price up.
2. Sell it at the new high price, for a profit.

This, I frequently point out, should not work. In Step 1, you push up the price of X by buying it; therefore, in Step 2, you will push the price down by selling

it. There are no free lunches that are *this simple*.

Here is a small modification that seems promising:

1. Buy a lot of Thing X, pushing its price up.
2. *Borrow against your X*: If you have 100 million X, and X is now worth \$1, then you have \$100 million worth of X, and maybe someone will give you, say, a \$50 million margin loan against it.
3. Run away with the \$50 million.

The reason this seems promising is that you are not *selling* X in Step 2, which means you are not pushing down its price. Still it strikes me as doubtful, in the general case. You had to spend a lot of money to (1) accumulate 100 million X and (2) push its price up. If X started at \$0.01, and ended at \$1, and you bought 100 million of it to get it there, then you probably paid an average price of around \$0.50. (You bought your first 1 million at \$0.01, your next 1 million at \$0.02, etc.) So you paid about \$50 million to push the value of your holdings to \$100 million. But you can't borrow the full \$100 million: At best, you'll probably get a margin loan of about \$50 million. So you'll get back roughly what you put in, or realistically less given various frictional costs.

I don't want to rule this out entirely: This might work if (1) you are *good* at manipulating the price, in the sense that you know how to make the price move without spending too much money, and (2) someone will give you a margin loan at a high loan-to-value ratio. This approach is roughly what Bill Hwang is accused of at Archegos Capital Management: He allegedly traded tactically in ways that moved stock prices a lot, and borrowed 90% or more of the value of his positions from his banks. If he played it perfectly — and there is no evidence that he did — then he could have taken out way more money than he put in, leaving his banks with the losses. Overall though this approach seems hard.

But you can work with it. Here is a subtler modification:

1. Thing X trades at \$0.01.
2. You go to a not-particularly-busy *futures* trading platform, open an account and offer 100 million X futures for \$0.01. Futures are generally leveraged products, where you don't have to pay the full amount of the trade

upfront. But let's say you do: You put up \$1 million of collateral for this \$1-million-notional position.

3. You walk out of the futures trading platform, put on a fake mustache, walk back in, open a new account and bid to *buy* 100 million X futures. You put up, say, \$1 million of collateral for this position.
4. The futures platform is not particularly busy, so no one else wants to buy or sell X futures and you end up trading with yourself. You don't move the price of X or anything, but your two orders cross and you end up both long and short 100 million X futures — in two different accounts — at \$0.01.
5. You go to a regular exchange and start buying X in the open market, pushing the price up to \$1. Let's say X is not all that liquid. You buy 10 million X, starting at \$0.01 and ending up at \$1. Your average cost is about \$0.50, so you spend about \$5 million.
6. You have spent a total of \$7 million: \$1 million to get long futures, \$1 million to get short futures, \$5 million to push up the price of X.
7. Now you put the fake mustache back on, go back to the futures exchange and say "I see that my long futures position is in-the-money by \$99 million. I would like to borrow \$40 million against my winnings."
8. The futures exchange looks at the \$1 price on the spot market and says, yep, sure enough, you're up \$99 million. They want to keep some collateral against this position, but they'll give you some back. So they give you the \$40 million, and you stuff it in a suitcase and drive off.
9. Meanwhile they call you on your other phone number — the number you gave them in Step 2 — to say "hey your 100 million short futures position moved against you by \$99 million, could you please send us that money," but that call goes straight to voicemail and they never hear from you again.
10. Your net profit is \$33 million, the \$40 million from Step 8 minus the \$7 million from Steps 2, 3 and 5.

This approach is stupid and should not work. It requires:

- A pretty illiquid market for the underlying Thing X, so that you can push the price around without spending much money.
- A very sleepy futures market, where you can just trade with yourself without anyone noticing and without moving the price.
- A futures market that will let you trade very large *size*, despite being very

sleepy: Given how illiquid and volatile Thing X is in my example, it would be silly for a futures market to actually let you put on a 100 million X futures position, particularly with so little collateral.

- A futures market that will cheerfully *cash you out* on your unrealized gains in Step 7, even though the contract has moved in your favor rapidly and suspiciously.
- A futures market that won't come after you for your losses in Step 9.

I think you would have a hard time running this trade in, like, the oil market. Still it hangs together in a rough schematic way, which means you can try it in the *crypto* market. Crypto, particularly decentralized finance, has some key advantages for this, including:

- Weird and fragmented liquidity, so that you can trade with yourself on a futures exchange, and you can move the price of a token a lot on the spot market;
- A love of mechanical rules and automated markets, so that if your X position spikes from \$1 million to \$100 million, some decentralized finance platform will say "yup, now it's worth \$100 million, so it's good collateral for a \$40 million loan"; and
- A presumption of anonymity, so exchanges will let you trade with yourself, and won't be able to come after you for your losses, since they just have some anonymous wallet addresses.

Here you go:

An attacker spirited away about \$100 million from decentralized finance provider Mango by manipulating the price of its token in an exploit that wiped out depositors on the crypto platform.

The heist began with two accounts funded with the stablecoin USD Coin, the platform said Wednesday on Twitter. The accounts took large positions in Mango perpetual futures, causing the price of the Mango token to spike.

The price jump stoked an unrealized profit from the futures. The attacker used that to borrow and withdraw roughly a net \$100 million from the protocol in a range of tokens -- leaving depositors with nothing, according to Mango.

"This incident has effectively resulted in a total draining of all equity available," the platform said on Twitter, adding the attackers are communicating with Mango and "indicating a willingness to negotiate."

Crypto derivatives trader Joshua Lim explained the exploit on Twitter, and it is pretty much the schematic thing I laid out above. The attacker funded one account on the Mango perpetual futures exchange with \$5 million, offered 483 million futures on Mango's own MNGO governance token, funded another account with another \$5 million, and lifted those 483 million futures at a price of \$0.0382. Then the "attacker started to move the price of MNGO" on the spot market, by buying MNGO tokens on centralized exchanges. "At MNGO/USD price of \$0.91 per unit, account B was in the money by 483mm * (\$0.91 - \$0.03298) = \$423mm," and "that was enough unrealized P&L to take out a loan of \$116mm across a bunch of tokens, which then left Mango and leaves the protocol at a deficit."

Look, I had never heard of the Mango token until this morning, which is a crucial advantage for me. If you had come to me and said "I have Mango tokens with a market value of \$423 million, I want to use them as collateral for a loan, would you lend me \$116 million against these Mango tokens?" I would have said "absolutely not, Mango tokens, not a thing." And that would have been *correct*, both in the narrow sense that the "real" market value of 483 million Mango tokens was about \$18 million and in the broader sense of, well, why was it even \$18 million? But the Mango protocol itself just had some price oracles that connected to some exchanges, and when those exchanges showed that the tokens were trading at \$0.91, it believed them. So it computed a value of \$423 million, and that was plenty of collateral to support a \$116 million loan, and there you go. Mango could look at market prices, but it could not apply common sense, and that was its problem.



Bored apes

Bored Ape Yacht Club (BAYC) is an entity that owns the intellectual property rights to some cartoons and tries to make money by licensing them to Seth Green or whatever. BAYC issues several sorts of financial instruments, including “Apes,” which convey certain intellectual property rights to particular cartoons, and “ApeCoins,” which give you the right to vote on things like (1) who BAYC should license its cartoons to and (2) how the money should be spent. These instruments trade on financial markets, and the more demand there is for the cartoons (and the more money BAYC makes), the more valuable Apes and ApeCoins will be.

That is a loose description of the situation, and you could quibble with some details. Still I think it is more right than wrong. What legal conclusions can you draw from it? It’s never legal advice around here, but here are some

thoughts:

1. ApeCoins are *shares of stock* in the BAYC entity, and are therefore securities under US law;
2. Apes are *arguably* securities under US law, though it is debatable.

A security is “the investment of money in a common enterprise with a reasonable expectation of profits to be derived from the efforts of others.” Is an Ape — which conveys certain rights to one particular cartoon — an investment in the “common enterprise” of BAYC, or is it more like buying a particular work of art that you can then do with as you please? I think there’s a pretty good case that it’s more like a work of art, like buying a cartoon — even though the value of that cartoon will obviously depend on the collective value of BAYC and the efforts of its managers. But the ApeCoins — which are fungible and carry voting rights to do things like elect the board of directors of BAYC — I mean, what could they possibly be but securities of the entity that is BAYC?

Anyway:

The US Securities and Exchange Commission is investigating Yuga Labs Inc., the creator of the popular Bored Ape Yacht Club collection of NFTs, over whether sales of its digital assets violate federal law.

The SEC is examining whether certain nonfungible tokens from the Miami-based company are more akin to stocks and should follow the same disclosure rules, according to a person familiar with the matter, who asked not to be named because the probe is private. Wall Street’s main regulator is also examining the distribution of ApeCoin, which was given to holders of Bored Ape Yacht Club and related NFTs. The cryptocurrency was created in part for web3, a vision of a decentralized internet built around blockchains.

Now I think that BAYC has some good defenses here. “Certain nonfungible tokens from the Miami-based company” (Apes, and various spinoff cartoons) *may* in fact be “more akin to stocks,” but I think there’s a good argument that they’re not. (They’re works of art, let’s say.)

Meanwhile ApeCoin seems like stock to me, but for the most part Yuga/BAYC did not *sell it for cash*: ApeCoins were given away to holders of Apes, without payment, so there was no investment of money and no offering for cash. Meanwhile hundreds of millions more ApeCoins were given to the BAYC treasury (to be sold to raise cash for the entity), to Yuga Labs (to reward the corporation for its investment in building BAYC) and to its founders and other “launch contributors,” all of which can be sold for cash, but most (not all) of those were locked up for 12 months or more, so Yuga and BAYC have an argument that they did not do an illegal securities offering. [1] “Sure we gave out stock, but we didn’t *sell* it, so it’s fine,” is roughly the defense, which is not perfect but also not ridiculous.

Still I guess the main points here are (1) governance tokens of crypto projects are probably securities and (2) the SEC has noticed.

One other thing. I have called BAYC an “entity,” which is a nice generic term, but it appears to call itself a DAO, a decentralized autonomous organization. There are some advantages to calling your entity a DAO rather than a corporation. For one thing, shares of stock of corporations are *obviously* securities subject to SEC regulation, while governance tokens of DAOs are ... *also* obviously securities subject to SEC regulation, I think, and the SEC thinks, but some people seem to disagree. So you can say “what, it’s a DAO, governance tokens, not stock, no securities here,” and maybe someone will believe you. For another thing, it is good marketing: The people buying your DAO governance tokens believe in a future of crypto and decentralization, and they’d be disappointed to buy shares of stock in a corporation. They want some “decentralization” branding on their shares, so you give it to them.

But there is also a big disadvantage to calling your entity a DAO rather than a corporation. A corporation is a particular sort of legal entity that has one key feature, which is *limited liability*. If you own shares of a corporation, you are not generally responsible for (1) the debts of that corporation or (2) its crimes. (If you are also an executive and you *do* the crimes, you are responsible, but *simply being a shareholder* does not make you responsible for the actions of the corporation.) This is a special feature of corporations (and some other entities like limited liability companies or limited partnerships), and corporations have to take affirmative steps (file incorporation documents, pay fees, etc.) to get this protection.

If you don't do that — if you just get together with your buddies and start a business without incorporating it — then you don't get those protections. Instead, you have the default form of business organization, just a group of people doing a business without paperwork, which might be called a “general partnership” or an “unincorporated association.” A general partnership does *not* have limited liability. If your partnership incurs a debt — if one of your partners borrows money on behalf of the partnership and then loses it — then you are responsible for it. If the partnership does crimes, you might get in trouble.

This is why people, in traditional business, tend to do the paperwork to form corporations (or limited liability companies, limited partnerships, etc.). In crypto business, some combination of libertarianism, naivety, we're-doing-stuff-no-one-has-seen-before exceptionalism, and a desire to evade securities laws leads people to *avoid* that paperwork. And then you have a DAO with no paperwork, which is a general partnership, and oops.

And so last month the US Commodity Futures Trading Commission brought an enforcement action against a decentralized finance platform called bZeroX and a DAO called Ooki DAO, and said:

The Ooki DAO is an unincorporated association comprised of holders of OokiDAO Tokens (“Ooki Tokens”) who vote those tokens to govern (e.g., to modify, operate, market, and take other actions with respect to) the bZx Protocol (which the Ooki DAO has renamed the “Ooki Protocol”).

The CFTC's view is that just *buying* governance tokens doesn't make you a general partner in the DAO, but buying those tokens and *voting* them does. As one CFTC commissioner said in dissent:

Under the Commission's definition, [a token holder who votes] has now become a member of the unincorporated association and (possibly unknowingly) assumed personal liability and is subject to CFTC sanctions for any violations of the [Commodity Exchange Act] by the Ooki DAO.

It is possible that DAOs are just the worst of all worlds: Their tokens are similar enough to corporate shares to be subject to securities laws, but

different enough to create unlimited liability for their holders.



Oh Elon

Look, I think that Elon Musk is going to close his deal to buy Twitter Inc. by the end of the month. He has said he will, Twitter (presumably) wants that, he has the money, he will get extremely in trouble with the Delaware Court of Chancery if he does not, he seems to have a renewed enthusiasm for messing with Twitter, I think it will happen. (Not, ever, investment advice!) That said lots of people are understandably skeptical, and he changes his mind a lot, so I keep reading theories about how he might still wiggle out.

Here I want to discuss two of them briefly, not because I think they are great theories but because people keep asking me about them. One is that Musk has put a lot of effort recently into spreading Russian and Chinese

propaganda? On Twitter, he has endorsed most of Vladimir Putin's war aims in Ukraine, and in a lunch interview with the Financial Times he called for China to annex Taiwan. (There is also a sketchy report, which he has denied, that Musk talked to Putin before endorsing his annexation of parts of Ukraine.) He is a man with many weird hobbies, but this is a particularly odd hobby, and as far as I can tell a *new* one. One possibility here is that (as he says) he is worried about the risk of nuclear war and wants to defuse geopolitical tensions by tweeting polls or whatever. Another possibility is that China is a critical market for Tesla Inc., and he is seeking China's favor.

But the fancier possibility is that he is trying to *get American government officials worried*, so that they will step in to block the Twitter deal. "We can't let Twitter, the 'town square' of American political discourse, be owned by a guy who might be a Chinese or Russian agent, so we have to block the deal," people think Musk thinks the government will think. By winkingly hinting that he might be doing Vladimir Putin's bidding, the theory goes, Musk will force the US government to block his acquisition of Twitter. Which would get him out of it, which is — perhaps — what he wants.

I don't really buy this — I don't think that the US government has much of a mechanism to block the deal at this point, and I think it would be too controversial for anyone to touch — but it is a *funny* theory so I am passing it along. On the other hand, if you don't believe this theory, and I don't, then you are left with an alternative theory like "Musk really does like spreading Chinese and Russian propaganda, and now he'll own Twitter," and that's perhaps not ideal.

The other, less funny theory is that Musk will tank his debt financing for the deal by refusing to deliver a solvency certificate. Musk's obligation to close the deal is conditional on his banks funding their \$13 billion loan commitment, and their obligation to fund is conditional on — well, it's conditional on *very little*; there are almost no excuses for them not to fund, but there is one. There is a condition in the commitment letters that requires that, before funding:

Customary legal opinions, customary officer's closing certificates (including incumbency certificates of officers), organizational documents, customary evidence of authorization and good standing certificates in jurisdictions of formation/organization, in

each case with respect to the Borrower and the Guarantors (to the extent applicable), customary requests for borrowing and a solvency certificate (as of the Closing Date after giving effect to the Transactions and substantially in the form of Annex E-1 attached hereto, certified by a senior authorized financial officer of the Borrower) shall have been delivered to the Lead Arrangers.

That is, before the banks will lend money to Twitter so that Musk can buy it, they'll need some paperwork saying things like "Twitter is a real company" and "Twitter wants to borrow this money" and "the person who signed the document on Twitter's behalf actually works at Twitter and is authorized to sign that document." And they will need some paperwork — the solvency certificate — saying that:

1. The sum of the liabilities (including contingent liabilities) of the Borrower and its restricted subsidiaries, on a consolidated basis, does not exceed the present fair saleable value of the present assets of the Borrower and its restricted subsidiaries, on a consolidated basis.
2. The fair value of the property of the Borrower and its restricted subsidiaries, on a consolidated basis, is greater than the total amount of liabilities (including contingent liabilities) of the Borrower and its restricted subsidiaries, on a consolidated basis as such liabilities become absolute and mature.
3. The capital of the Borrower and its restricted subsidiaries, on a consolidated basis, is not unreasonably small in relation to their business as contemplated on the date hereof.
4. The Borrower and its restricted subsidiaries, on a consolidated basis, have not incurred and do not intend to incur, or believe that they will incur, debts including current obligations beyond their ability to pay such debts as they become due (whether at maturity or otherwise).

Here I am quoting from the "Form of Solvency Certificate" in Annex E-1 to the commitment letters; "the Borrower," here, means Twitter, though Twitter "after giving effect to" Musk's acquisition. It is not entirely clear to me who has to sign this certificate — technically, it's an officer of *Twitter* — but people seem to think that Musk can say "well, if I take over Twitter, I will appoint myself as

chief financial officer, and then I will refuse to sign this certificate, and then the banks won't lend, so I can't take over Twitter." That is convoluted but, fine, I guess, I don't know.

Could he do this? I mean, (1) not investing advice! but (2) no? He agreed — *last week!* — to pay \$46 billion for Twitter, so it would be hard for him to argue that it's not worth more than \$13 billion, the amount it will borrow. And while Twitter *will* have to work a bit to pay its debt — "Twitter now faces an annual interest burden of nearly \$1.2 billion," reports Bloomberg's Paula Seligson, and "might not become free-cash-flow positive until 2025" — it has a lot of cash on its balance sheet and is unlikely to run out of money to pay interest anytime *soon*. Twitter will have a lot of debt, but it seems hard to argue that it's insolvent. Also, just, Musk's lawyers told a court last week that they expected the banks to fund; if he blows that up now he's going to have a lot of explaining to do. I don't see it, but I pass it along to you because I know nothing and there are still like two weeks left on this thing. Presumably *something* will happen that surprises me.

Things happen

Gilts sell off as Bank of England reiterates plan to end bond-buying scheme. BOE Credibility on the Line Amid Pension Deadline Confusion. White House Weighs Ban on Russian Aluminum Over Ukraine War Escalation. That Sky-High I Bond Interest Rate Will Be Coming Down to Earth. CVC's biggest bet yet: the fiercely private buyout firm set to go public. Nigeria Exploring Restructuring Some Debt, Finance Minister Says. Credit Suisse Shares Drop on US Tax Probe Over Accounts. Nike to Crack Down on Sneaker-Buying Bots, Dealing a Blow to Resale Market. Crypto Exchange Bittrex to Pay \$29 Million Over Violating Sanctions. Musk Launches New 'Burnt Hair' Perfume With Fragrance of 'Repugnant Desire.' Fake Russian Astronaut Duped Woman Of Rs 24 Lakh, Said He Needed Money To 'Return To Earth.'

If you'd like to get Money Stuff in handy email form, right in your inbox, please subscribe at this link. Or you can subscribe to Money Stuff and other great Bloomberg newsletters here. Thanks!

[1] There is a rough rule of thumb that if you get securities from an issuer and wait one year before selling them, then they are exempt from registration and you can sell them freely. This is not legal advice and there are many

exceptions, but it's part of why many crypto projects have one-year lockups on tokens that they sell to investors.

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From: (b)(6)
To: Burris, Kevin; Fischer, YJ; Hutchinson, Kathleen; Munter, Paul H; Guinan, Natasha; Greiner, Matthew; Barbero, Megan; Morris, Daniel (Bryant); Gumagay, Paul; Hodgman, Melissa R.; Bloom, Liz
Cc: Schneider, Scott
Subject: RE: Sherman letter to Ambassador Qin
Date: Wednesday, July 27, 2022 11:04:23 AM

Thanks

From: Burris, Kevin (b)(6)@SEC.GOV>
Sent: Wednesday, July 27, 2022 9:47 AM
To: (b)(6)@SEC.GOV>; Fischer, YJ (b)(6)@SEC.GOV>; Hutchinson, Kathleen (b)(6)@sec.gov>; Munter, Paul H (b)(6)@SEC.GOV>; Guinan, Natasha (b)(6)@SEC.GOV>; Greiner, Matthew (b)(6)@SEC.GOV>; Barbero, Megan (b)(6)@SEC.GOV>; Morris, Daniel (Bryant) (b)(6)@sec.gov>; Gumagay, Paul (b)(6)@sec.gov>; Hodgman, Melissa R. (b)(6)@sec.gov>; Bloom, Liz (b)(6)@SEC.GOV>
Cc: Schneider, Scott (b)(6)@SEC.GOV>
Subject: RE: Sherman letter to Ambassador Qin

Gary, in response to your earlier question on whether this letter has been made public, Rep. Brad Sherman published the letter via Tweet:

https://twitter.com/BradSherman/status/1550582917876908035?s=20&t=_4d9hH20VG6o16Li/WI69g

From: (b)(6)@SEC.GOV>
Sent: Tuesday, July 26, 2022 8:13 PM
To: Fischer, YJ (b)(6)@SEC.GOV>; Hutchinson, Kathleen (b)(6)@sec.gov>; Munter, Paul H (b)(6)@SEC.GOV>; Guinan, Natasha (b)(6)@SEC.GOV>; Greiner, Matthew (b)(6)@SEC.GOV>; Barbero, Megan (b)(6)@SEC.GOV>; Morris, Daniel (Bryant) (b)(6)@sec.gov>; Gumagay, Paul (b)(6)@sec.gov>; Hodgman, Melissa R. (b)(6)@sec.gov>; Bloom, Liz (b)(6)@SEC.GOV>
Cc: Burris, Kevin (b)(6)@SEC.GOV>; Schneider, Scott (b)(6)@SEC.GOV>
Subject: Sherman letter to Ambassador Qin

FYI

From: (b)(6)
To: Suthammanont, Victor
Cc: Yerramalli, Prashant; Sutaria, Ajay
Subject: RE: This week's cases
Date: Sunday, October 30, 2022 2:44:28 PM

Victor,

(b)(5); (b)(6); (b)(7)(C)

Gary Gensler

From: Suthammanont, Victor (b)(6)@SEC.GOV>
Sent: Sunday, October 30, 2022 8:30 AM
To: (b)(6)@SEC.GOV>
Cc: Yerramalli, Prashant (b)(6)@SEC.GOV>; Sutaria, Ajay (b)(6)@SEC.GOV>
Subject: This week's cases

Gary,

(b)(5)

(b)(5)

Thanks,
Victor

(b)(5); (b)(6); (b)(7)(C)

From: (b)(6)
To: Tenreiro, Jorge
Cc: Yerramalli, Prashant
Subject: RE: This week's seriatim votes
Date: Monday, June 20, 2022 1:48:46 PM

Jorge,

(b)(5); (b)(6); (b)(7)(C)

Gary Gensler

From: Tenreiro, Jorge (b)(6)@SEC.GOV>
Sent: Monday, June 20, 2022 1:12 PM
To: (b)(6)@SEC.GOV>
Cc: Yerramalli, Prashant (b)(6)@SEC.GOV>
Subject: RE: This week's seriatim votes

The two are attached.

Will do re: (b)(5)

Thx

From: (b)(6)@SEC.GOV>
Sent: Monday, June 20, 2022 1:09 PM
To: Tenreiro, Jorge (b)(6)@SEC.GOV>
Cc: Yerramalli, Prashant (b)(6)@SEC.GOV>
Subject: RE: This week's seriatim votes

Jorge,

(b)(5); (b)(6); (b)(7)(C)

Gary Gensler

From: Tenreiro, Jorge (b)(6)@SEC.GOV>
Sent: Sunday, June 19, 2022 9:19 AM
To: (b)(6)@SEC.GOV>
Cc: Yerramalli, Prashant (b)(6)@SEC.GOV>
Subject: This week's seriatim votes

Hi Gary—

Welcome back. (b)(5)

(b)(5)

Thanks,

Jorge

(b)(5); (b)(6); (b)(7)(C)

From: (b)(6)
To: Tenreiro, Jorge
Cc: Yerramalli, Prashant; Helvin, Lisa
Subject: RE: This week's seriatim votes
Date: Sunday, January 30, 2022 11:28:10 AM

Jorge,

(b)(5); (b)(6); (b)(7)(C)

Gary Gensler

From: Tenreiro, Jorge (b)(6)@SEC.GOV>
Sent: Sunday, January 30, 2022 10:07 AM
To: (b)(6)@SEC.GOV>
Cc: Yerramalli, Prashant (b)(6)@SEC.GOV>; Helvin, Lisa (b)(6)@SEC.GOV>
Subject: This week's seriatim votes

Gary—

(b)(6)

Thanks,

Jorge

(b)(5); (b)(6); (b)(7)(C)

From: (b)(6)
To: [CommissionerLizarraga](#); [Chair](#); [CommissionerPeirce](#); [CommissionerCrenshaw](#); [Help \(OIEA Investor Complaints\)](#); [SEC Public Affairs Office \(news@sec.gov\)](#); [OIG](#); [OCA](#); [Joshua Downs](#)
Subject: SEC, (b)(6)
Date: Saturday, October 1, 2022 2:05:20 PM
Attachments: Press Release, (b)(6).pdf
(b)(6).pdf
image.pdf
ATTN SEC.pdf

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Hello/ATTN: Securities and Exchange Commission (SEC),

I reached out to your agency to notify you of (b)(6)
many of which are in your jurisdiction

(b)(6)

I don't have too many professional or personal happenings that would warrant involvement or contact with the SEC.

(b)(6)

(b)(6)

(b)(6)

From: Schneider, Scott
To: (b)(6)
Cc: Yerramalli, Prashant
Subject: Talking point
Date: Friday, February 11, 2022 1:11:15 PM
Attachments: image001.png
image003.png

Hi Gary – (b)(5)

(b)(5)

Scott Schneider
Counselor to the Chair and Director of Public Affairs
OFFICE (b)(6)
MOBILE (b)(6)
(b)(6) @sec.gov

**U.S. Securities and
Exchange Commission**

From: (b)(6)
To: (b)(6); (b)(7)(C)
Cc: (b)(6); (b)(7)(C)
Subject: (b)(6)
Date: Monday, September 5, 2022 9:40:35 PM
Attachments: [image.png](#)
[image.png](#)

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Dear (b)(6); (b)(7)(C)

(b)(6) Please confirm receipt of this e-mail when you have a moment.

(b)(6)
(b)(6) Last Friday (9/2/22) was a down day for the market. The NASDAQ was down approx 1.3% while most of the hydrogen stocks were down, with a few exceptions, approx 3.5%.

This graphic shows some of the hydrogen stocks at the market close on Friday.

H2-FC > • Add Symbol			
Symbol	Current Price	Change	Change %
NEWH <small>Next Energy Corp.</small>	0.0174	-0.0007	-3.59%
BE <small>Beigee Energy Solutions Inc.</small>	23.67	-0.99	-4.01%
BLDP <small>Bluebird bio Inc.</small>	7.26	-0.15	-2.02%
FCEL <small>FuelCell Energy Inc.</small>	3.9400	-0.0300	-0.76%
HDRO <small>Hydronomics Inc.</small>	11.87	-0.41	-3.34%
HJEN <small>Hydrogen Energy Inc.</small>	15.86	-0.33	-2.01%
ITMPF <small>ITM Power Ltd.</small>	2.0700	-0.0550	-2.59%
PLUG <small>Plug Power Inc.</small>	25.87	-1.07	-3.97%

Given the recent Inflation Reduction Act plus the Bipartisan Infrastructure Law that Congress passed with funding for hydrogen technologies and the very enthusiastic market response around the time the IRA bill passed (late July/early August), it would be logical that hydrogen technology stocks would do a bit better than the NASDAQ on most days. But almost every

Plug Power Inc. (PLUG)












































25.87 -1.07 (-3.97%)











































25.90 -0.03 (-0.12%)

NasdaqGM NasdaqGM Real Time Price Data Delayed 15 min.

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Premium  All 22 Events                                          

Technical Events                                          

Short Term
Bearish Mid Term
BullishLong Term
BullishSupport
25.75Resistance
28.74Stop Loss
20.28

 Indicators  Comparison  Events  Date Range **1D** 5D 1M 3M 6M YTD 1Y 2Y 5Y Max  1Min   

PLUG 27.27

27.27

27.41
27.40
27.33
27.27
838.85%
3.77%

27.00

26.75

26.50

26.25

26.00

25.75

142.50

100

0

100

VISITOR TREND

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9/2 9:30 AM

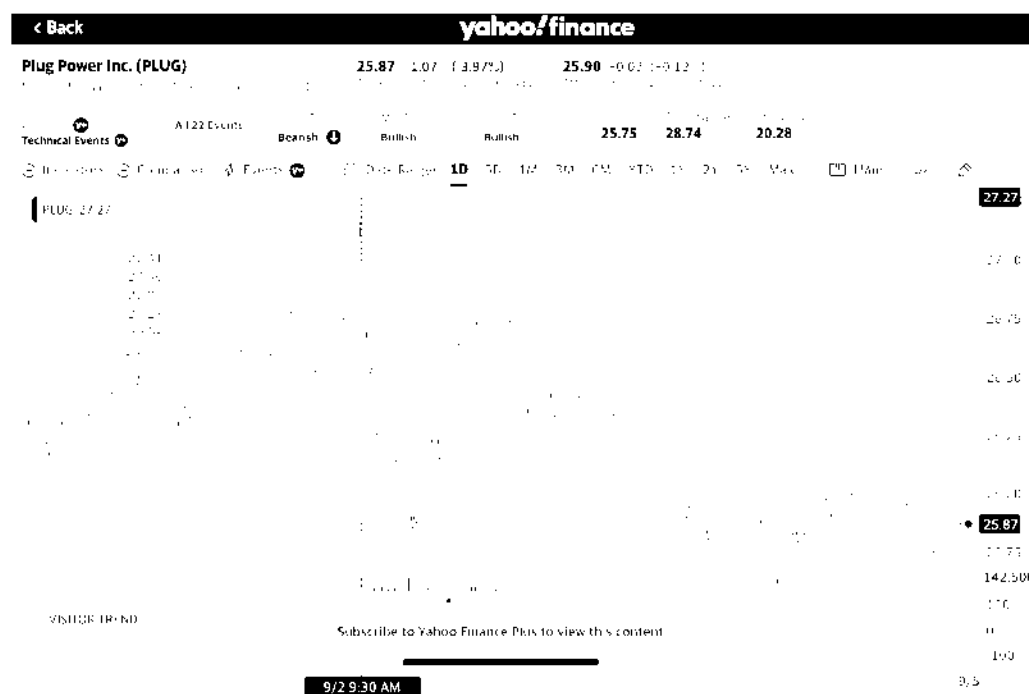
9/5

day that the market is down, hydrogen stocks are down significantly more than the NASDAQ.

Short interest is clearly "piling on" when they have a tailwind, selling in high volumes. I am very skeptical that day after day, they are properly identifying and borrowing shares to sell short in the volumes necessary to move the market the way that they are. Their capacity to sell seems almost unlimited, rising to counter rallies and then steadily pushing PPS back down after the enthusiasm has begun to wear off. I have no issue with legal short selling but naked short selling is a different story and I have faith that your colleagues in enforcement are up to the task of determining if there is naked short selling or other illegal forms of short selling occurring and then, if naked short selling has occurred, bringing an enforcement action against the bad actors involved.

If I am right that one or more of the major short interests/operatives trace back to (b)(6) Tesla, then I can understand how it would be a bit more tricky for the SEC to take action. But as we have been saying about former president Trump, no one should be above the law and investors need to be protected even if it would be a tricky enforcement. (I'm sure that the DOJ would assist if needed.)

To demonstrate the enthusiasm that the market has in hydrogen technology, please see the screenshot below for last Friday's trading on Plug Power stock. Note the opening buying volume of over 835K shares at the open.



If I can assist in any way(s) please don't hesitate to let me know. We cannot allow hydrogen technology, supported by the U.S. Government and being adopted in many countries as a zero-carbon solution to climate change, to be suppressed by nefarious actors who are putting their own interests ahead of investors' whose money is being sucked out of the market (larceny) by unruly short seller(s).

With my best regards,

(b)(6)



From: Suthammanont, Victor
To: (b)(6);
Cc: Yerramalli, Prashant; Sutaria, Ajay
Bcc: Suthammanont, Victor
Subject: This week's cases
Date: Sunday, October 30, 2022 8:29:51 AM
Attachments: S-2023-038-OWB.pdf

Gary,

(b)(5)

Thanks,
Victor

(b)(5); (b)(6); (b)(7)(C)

From: (b)(6)
To: Chair
Subject: (b)(6)
Date: Tuesday, August 23, 2022 6:02:51 PM
Attachments: (b)(6) - SEC Transcript.pdf

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<https://www.cnn.com/2022/08/23/tech/twitter-whistleblower-peiter-zatko-security/index.html>

Mr. Gensler,

There is a new report about a Twitter whistleblower receiving considerable attention in the media.

I think that the 2015 Business Wire situation was worse for customers and more blatant than Twitter 2022.

(b)(6)

We would urge the SEC finally to take some action against Business Wire.

Regards

(b)(6)

From: (b)(6) (b)(6)
Sent: Tuesday, July 26, 2022 11:37 AM
To: 'chair@sec.gov' <chair@sec.gov>
Subject: (b)(6)

<https://www.reuters.com/legal/government/two-men-fined-352-mln-over-hack-trade-scheme-2021-06-24/>

Mr. Gensler,

(b)(6)

The basic story is that (b)(6)

(b)(6)

(b)(6)

Note that the press release hack had been in progress for 5 years and if not for (b)(6) could still be going.

(b)(6)

We cannot understand how Business Wire was not fined for their bad security practices and lying to customers even though there was ample evidence of hacking?

(b)(6)

(b)(6)

I would urge the SEC to reopen the investigation of Business Wire and fine them using a similar model as Facebook for not protecting customer information.

<https://www.sec.gov/divisions/enforce/claims/facebook.htm>

Regards,

(b)(6)

Email. (b)(6)

Mobile (b)(6)

From: Schneider, Scott
To: (b)(6)
Cc: Yerramalli, Prashant; Morse, Mika; Bloom, Liz
Subject: Twitter thread
Date: Friday, February 11, 2022 3:29:02 PM
Attachments: image001.png
image003.png
(b)(5)

Hey Gary – As discussed this morning, (b)(5)
(b)(5)

Thanks,

Scott

Scott Schneider

Counselor to the Chair and Director of Public Affairs

OFFICE (b)(6)

MOBILE

(b)(6) @sec.gov

**U.S. Securities and
Exchange Commission**

From: (b)(6)
To: Nada, Basmah; Nagashunmugam, Minu
Cc: Schneider, Scott; Pokorny, Jenna
Subject: 9/6 twitter calendar
Date: Tuesday, September 6, 2022 7:34:50 PM

I approve this week's twitter calendar as included in tonight's brief book.

From: (b)(6)
To: Chair
Subject: (b)(6)
Date: Friday, October 7, 2022 3:09:26 PM
Attachments: (b)(6) - SEC Transcript.pdf

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Mr. Gensler,

I would appreciate you reviewing this article (below) about the reasons that women do not want to work in cybersecurity or take a role as head of cybersecurity in an organization.

(b)(6)

I would expect that the SEC will be asked to explain why Business Wire and Berkshire Hathaway have not been reprimanded or fined for their lax security and hiding obvious hacking from their customers who were harmed by the illegal trading of their stocks?

Note that as the article states, the danger in a CISO position is taking the blame for hacks damaging or wrecking a career.

(b)(6) is a case study of this issue.

Regards

(b)(6)

<https://www.techrepublic.com/article/women-ciso-cso-roles/>

Why are so few women in security leadership positions?

The cause, the Accenture research found, is the public scrutiny CISOs can suffer through during a cybersecurity incident. Some 43% of respondents rated professional risk as a “very” or “most important” factor in declining a CISO or CSO position

It is often shown that the CISO role is typically held responsible for breaches—even though they are rarely responsible for the vulnerability that is exposed and exploited by cyberattackers, according to the report.

“Cybersecurity and resiliency are a function of multiple factors beyond the CISO’s control, including business transformations that do not consider cyber risks, innovative threat actors, extended supply chains and management inattention,” the report noted. “Nonetheless, CISOs are defending against persistent threats and high profile incidents that regularly capture national headlines and put their professional reputations on the line.”

Concerns about professional risk factor into decisions about whether to accept a leadership position, the report said, adding that, while this may be the case, “successful women CISOs encourage other women to accept the risks.”

The Accenture research found that when women respondents decided to pursue the CISO role, they typically succeeded in a matter of months.

“Successful women aspirants were more likely to be recruited from another company and to apply for a CISO position directly than their male counterparts,” the report said.

Moving up internally, the picture is not as bright: 57% of male respondents were more likely to be asked to fill the CISO position in their current company compared to 40% of female respondents, according to the report.

From: (b)(6)

Sent: Tuesday, August 23, 2022 3:00 PM

To: 'chair@sec.gov' <chair@sec.gov>

Subject: (b)(6)

<https://www.cnn.com/2022/08/23/tech/twitter-whistleblower-peiter-zatko-security/index.html>

Mr. Gensler,

There is a new report about a Twitter whistleblower receiving considerable attention in the media.

I think that the 2015 Business Wire situation was worse for customers and more blatant than Twitter 2022.

(b)(6)

We would urge the SEC finally to take some action against Business Wire.

Regards

(b)(6)

From: (b)(6)

Sent: Tuesday, July 26, 2022 11:37 AM

To: 'chair@sec.gov' <chair@sec.gov>

Subject: (b)(6)

<https://www.reuters.com/legal/government/two-men-fined-352 mln-over-hack-trade-scheme-2021-06-24/>

Mr. Gensler,

(b)(6)

(b)(6)

We cannot understand how Business Wire was not fined for their bad security practices and lying to customers even though there was ample evidence of hacking?

(b)(6)

(b)(6)

I would urge the SEC to reopen the investigation of Business Wire and fine them using a similar model as Facebook for not protecting customer information.

<https://www.sec.gov/divisions/enforce/claims/facebook.htm>

Regards,

(b)(6)

Email. (b)(6)

Mobile. (b)(6)

From: (b)(6)
To: SEC Public Affairs Office (news@sec.gov); Chair; CommissionerLee; CommissionerPeirce; CommissionerCrenshaw; DERA
Subject: (b)(6) Fails to Deliver
Date: Thursday, June 30, 2022 8:37:42 PM

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To Whom It May Concern,

I am a (b)(6) who is currently invested in the security, (b)(6) I would like to ask what the SEC is doing regarding the 2.6 million fails to deliver within my investment. How is a stock that has a smaller market cap than Google, Tesla, etc., have more FTD's than multi-billion bluechip stocks?

Can you please tell me if the SEC is aware of this suspicious activity? This matter should be considered looked into and be investigated.

I look forward in your response.

Respectfully,

(b)(6)

From:

(b)(6)

To:

CommissionerPeirce; DERA; CommissionerCrenshaw; Torres_NYSDChambers@nysd.uscourts.gov; Chair;

(b)(6)

hysdchambers@nysd.uscourts.gov;

(b)(6)

Subject:

Amici

Date:

Thursday, July 14, 2022 12:07:42 PM

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Everyone who requested Amici was a member of @Ripple on Twitter before buying XRP and liked dozens of comments about "Ripple Labs".

I will soon send a report on the history of @Ripple Twitter followers and the text of the tweets that show they are aware of Ripple Labs Inc. Even they know Ripple managers and follow them.

From: Mason Jahyonta
To: (b)(6)
Cc: [Annino, Angelica](#); [Berkovitz, Dan](#); [Bloom, Liz](#); [Burris, Kevin](#); [Chea, Keo](#); [Fischer, Amanda](#); [Fischer, YJ](#); [Frayer, Corey](#); [Havenstein, Philipp](#); [Helvin, Lisa](#); [Johnson, Aisha](#); [Klemmer, Corey](#); [Large, Adam](#); [Morse, Mika](#); [Rao, Sai](#); [Roper, Barbara](#); [Schneider, Scott](#); [Slavkin Corzo, Heather](#); [Songer, Jennifer H](#); [Tenreiro, Jorge](#); [Wachter, Jessica](#); [Yerramalli, Prashant](#)
Subject: Brief Book 3/18 Friday
Date: Thursday, March 17, 2022 6:09:23 PM

Hi Gary,

Please find your [Friday brief book](#) materials included.

(b)(5)

(b)(5)

Jahvonta A Mason

Special Assistant to the Chief of Staff

Office of Chair Gary Gensler | U.S. Securities and Exchange Commission

(b)(6)

From: Mason, Jahvonta
To: (b)(6)
Cc: Annino, Angelica; Berkovitz, Dan; Bloom, Liz; Burris, Kevin; Chea, Keo; Fischer, Amanda; Fischer, YJ; Fraye, Corey; Havenstein, Philipp; Helvin, Lisa; Johnson, Aisha; Klemmer, Corey; Large, Adam; Morse, Mika; Rao, Sai; Roper, Barbara; Schneider, Scott; Slavkin Corzo, Heather; Songer, Jennifer H; Tenreiro, Jorge; Wachter, Jessica; Yerramalli, Prashant
Subject: Brief Book 4/11 Monday
Date: Friday, April 8, 2022 6:24:55 PM

Hi Gary,

Please find your Monday brief book materials included.

(b)(5)

Jahvonta A Mason

Special Assistant to the Chief of Staff

Office of Chair Gary Gensler | U.S. Securities and Exchange Commission

(b)(6)

From: Nada, Basmah
To: (b)(6)
Cc: Schneider, Scott
Subject: For Review: 4/25 Twitter Editorial Calendar
Date: Saturday, April 23, 2022 1:23:16 PM
Attachments: image001.png
image002.png
(b)(5)

Hey Gary,

I wasn't able to get this in your brief book in time so for this week, just sending your way directly.

(b)(5)

Best,

Basmah

Basmah Nada

Digital Director

Office of Public Affairs

MOBILE (b)(6)

(b)(6) @sec.gov

**U.S. Securities and
Exchange Commission**

From: Chair
To: TCR-ENF
Subject: FW: Please Have someone contact me
Date: Thursday, October 27, 2022 4:45:30 PM

From: (b)(6)
Sent: Thursday, October 27, 2022 4:18 PM
To: Chair <chair@sec.gov>; CommissionerPeirce <CommissionerPeirce@SEC.GOV>; CommissionerCrenshaw <CommissionerCrenshaw@SEC.GOV>; CommissionerUyeda <CommissionerUyeda@SEC.GOV>; CommissionerLizarraga <CommissionerLizarraga@SEC.GOV>
Subject: Re: Please Have someone contact me

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(b)(6)

Thanks,

(b)(6)

On Tuesday, August 23, 2022, (b)(6) wrote:

I have been trying to have someone contact me. I received a blocked call from someone claiming to be (b)(6)

(b)(6)

(b)(6)

Thanks

(b)(6)

From: Chair
To: SEC Public Affairs Office (news@sec.gov)
Subject: FW: PolitiFact Arizona Information Inquiry
Date: Monday, October 24, 2022 1:16:18 PM

From: Sydney Carruth <srcarrut@asu.edu>
Sent: Monday, October 24, 2022 12:46 PM
To: Chair <chair@sec.gov>
Subject: PolitiFact Arizona Information Inquiry

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Hello,

I am a reporter with PolitiFact Arizona and I am currently fact-checking the following (b)(6) Twitter claim, "1973: (b)(6) banned for life from stock trading by the SEC." I am reaching out to acquire the original SEC documents with (b)(6) charges and the declaration of (b)(6) lifetime ban. If you could please send me all of the 1973 documents relating to (b)(6) charges or direct me to where I can find them it would be greatly appreciated. Thank you for your immediate attention to this.

Sincerely,
Sydney Carruth
srcarrut@asu.edu

From: [Chair](#)
To: [SEC Public Affairs Office \(news@sec.gov\)](mailto:news@sec.gov)
Subject: FW: question from reporter
Date: Wednesday, July 20, 2022 2:15:12 PM

From: Paul Sperry <psperry@realclearinvestigations.com>
Sent: Wednesday, July 20, 2022 2:12 PM
To: Chair <chair@sec.gov>
Subject: question from reporter

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Afternoon:

We are doing some fact-checking for a story: Has SEC Chairman Gary Gensler been contacted by the Special Counsel's Office as part of the ongoing Durham investigation?

Thank you in advance for your time and assistance.

Best regards,

Paul Sperry
RealClearInvestigations
Real Clear Media Group
<https://www.realclearinvestigations.com>

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[Investigations, News, Analysis, Video](#)
[and Polls](#)

Investigations, News, Analysis, Video and Polls. No
opinion and analysis are allowed. No Mark Twain, never on
Twitter, not because they think it will contribute to right-
wing extremism, which exists and always has...

www.realclearinvestigations.com

From: (b)(6)
To: Chair; Chair; CommissionerCrenshaw; CommissionerPeirce; CommissionerUveda; DERA; losangeles; SEC Public Affairs Office (news@sec.gov); newyork; OIG
Subject: Re: Crypto NFT Insider Trading Scam
Date: Sunday, September 18, 2022 11:20:15 PM

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(b)(6) is using insiders of twitter to verify all (b)(6) friends accounts. Creating an army of influencers after manipulating crypto twitter to scam people. And using #CancelCulture. Manipulating the system then using influencers to attack (b)(6) because (b)(6) gearing up to (b)(6). They used BOTS to influence peoples accounts.

(b)(6)

First comments is important when someone is posting and they are using bots and software to add the same comments over and over when (b)(6) or anybody close to (b)(6) community makes a post.

I referenced (b)(6) NFT scam when I realized (b)(6) and (b)(6) were working together on NFTs.

(b)(6) made a comment using the last name of the (b)(6) and (b)(6) was celebrating it all around thinking they would provoke me and they did.

(b)(6) has been controlling media and behind the scenes is responsible for a lot of the biggest media companies coverage and posts across media effectively controlling media behind the scenes.

Government, Celebrity propaganda, etc influence. You can commit treason using media.

(b)(6)

On Sun, Jul 3, 2022 at 11:04 PM (b)(6) wrote:
(b)(6) a social media platform to collect influencers data across Facebook, Instagram, Twitter and Snapchat has committed fraud through aiding insider trading.

(b)(6) met with (b)(6) to extort (b)(6) personal brand to create (b)(6) on Instagram which quickly gained 1.7 million followers and got taken down by Instagram.

(b)(6) banned for promoting scams Article

(b)(6)

Mark Cuban Extorted by social media influencers

(b)(6)

- Of the 1st 10 people that (b)(6) followed, (b)(6) was one of them. Specifically using (b)(6) for crypto scams.

- (b)(6) was used to promote questionable NFT scams many produced by (b)(6) & (b)(6)

(b)(6)

Search

(b)(6)

(b)(6) worked behind the scenes on this, (b)(6) knows.

These are the individuals doing NFT insider trading (b)(6) have started leaving the scene to work in the wrestling industry. Mainly to change identity and to get away from getting caught. But you know what to do.